

On Univalent Functions with Negative and Missing Coefficients*

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Abstract Let $P_k(A, B)$ be the class of functions $f(z) = z - \sum_{n=k}^{\infty} |a_n| z^n$, $k \geq 2$, regular in the unit disc $U = \{z: |z| < 1\}$ and satisfying $|(f'(z) - 1)/(A - Bf'(z))| < 1$ for $z \in U$, where $-1 \leq B < A \leq 1$. In this paper we obtain coefficient estimate, distortion and closure theorems and radius of convexity for the class $P_k(A, B)$ under the assumption $-1 \leq B \leq 0$. We also obtain class preserving integral operators of the form

$$F(z) = \frac{c+1}{z^c} \int_0^z t^{c-1} f(t) dt, \quad c > -1$$

for the class $P_k(A, B)$. Conversely when $F \in P_k(A, B)$, radius of univalence of f has been determined.

1. Introduction

Let S be the class of functions $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ regular in the unit disc $U = \{z: |z| < 1\}$. For $-1 \leq B < A \leq 1$, let $P^*(A, B)$ be the class of those functions f of S for which $f'(z)$ is subordinate to $(1 + Az)/(1 + Bz)$. In other words $f \in P^*(A, B)$ if and only if there exists a function ω regular in U and satisfying $\omega(0) = 0$ and $|\omega(z)| < 1$ for $z \in U$, such that

$$(1.1) \quad f'(z) = \frac{1 + A\omega(z)}{1 + B\omega(z)}, \quad z \in U.$$

The condition (1.1) is equivalent to

$$\left| \frac{f'(z) - 1}{A - Bf'(z)} \right| < 1, \quad z \in U.$$

It is clear from (1.1) that $\operatorname{Re}\{f'(z)\} > 0, z \in U$, and hence [4, p. 6] the members of $P^*(A, B)$ are univalent in U . Let T denote the subclass of S consisting of functions univalent in U and having Taylor expansion of the form $f(z) = z - \sum_{n=k}^{\infty} |a_n| z^n$, $k \geq 2$. Let us define

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$$P_k(A, B) = P^*(A, B) \cap T.$$

Let us denote by $S^*(A, B)$ and $K^*(A, B)$, the subclasses of S obtained by replacing $f'(z)$ in (1.1) by $zf'(z)/f(z)$ and $(1 + zf''(z)/f'(z))$ respectively. Let

$$S_k(A, B) = S^*(A, B) \cap T \text{ and } K_k(A, B) = K^*(A, B) \cap T.$$

Author and Shukla [5] have recently studied the classes $S_k(A, B)$ and $K_k(A, B)$ when $-1 \leq B \leq 0$. In this paper, under the assumption $-1 \leq B \leq 0$, we obtain coefficient estimate, distortion and covering theorems and radius of convexity for the class $P_k(A, B)$. While determining the radius of convexity for $P_k(A, B)$, we have been able to extend some known results of Hallenbeck [2] and MacGregor [3]. We also obtain the class preserving integral operators of the form

$$(1.2) \quad F(z) = \frac{c+1}{z^c} \int_0^z t^{c-1} f(t) dt, \quad c > -1$$

for $P_k(A, B)$. Conversely, when $F \in P_k(A, B)$, we determine the radius of univalence of f defined by (1.2). Lastly, we show that the class $P_k(A, B)$ is closed under 'arithmetic mean' and 'convex linear combinations'.

Note Throughout this paper we assume that $-1 \leq B \leq 0$ and $k \geq 2$.

2. Coefficient estimate

Theorem 1 A function $f(z) = z - \sum_{n=k}^{\infty} |a_n| z^n$ is in $P_k(A, B)$ if and only if

$$\sum_{n=k}^{\infty} n(1-B) |a_n| \leq (A-B).$$

This result is sharp.

Proof Let $|z| = 1$. Then

$$\begin{aligned} |f'(z) - 1| - |A - Bf'(z)| &= \left| - \sum_{n=k}^{\infty} n |a_n| z^{n-1} \right| - \left| (A-B) + B \sum_{n=k}^{\infty} n |a_n| z^{n-1} \right| \\ &\leq \sum_{n=k}^{\infty} n(1-B) |a_n| - (A-B), \text{ since } -1 \leq B \leq 0 \\ &\leq 0, \text{ by assumption.} \end{aligned}$$

Hence, by maximum modulus principle, $f \in P_k(A, B)$.

To prove the converse, let

$$\left| \frac{f'(z) - 1}{A - Bf'(z)} \right| = \left| \frac{- \sum_{n=k}^{\infty} n |a_n| z^{n-1}}{(A-B) + B \sum_{n=k}^{\infty} n |a_n| z^{n-1}} \right| < 1, \quad z \in U.$$

Since $|\operatorname{Re}(z)| \leq |z|$ for all z , we have

$$(2.1) \quad \operatorname{Re} \left\{ \frac{\sum_{n=k}^{\infty} n |a_n| z^{n-1}}{(A-B) + B \sum_{n=k}^{\infty} n |a_n| z^{n-1}} \right\} < 1.$$

Choose values of z on the real axis so that $f'(z)$ is real. Upon clearing the denominator in (2.1) and letting $z \rightarrow 1$ through real values, we obtain

$$\sum_{n=k}^{\infty} n|a_n| \leq (A-B) + B \sum_{n=k}^{\infty} n|a_n|.$$

This completes the proof of theorem.

Sharpness follows if we take

$$f(z) = z - \frac{A-B}{k(1-B)} z^k, \quad n \geq k.$$

3. Distortion properties

Theorem 2 If $f \in P_k(A, B)$, then for $|z| = r$

$$(3.1) \quad r - \frac{A-B}{k(1-B)} r^k \leq |f(z)| \leq r + \frac{A-B}{k(1-B)} r^k$$

and

$$(3.2) \quad 1 - \frac{A-B}{1-B} r^{k-1} \leq |f'(z)| \leq 1 + \frac{A-B}{1-B} r^{k-1}.$$

All these inequalities are sharp.

Proof Let $f(z) = z - \sum_{n=k}^{\infty} |a_n| z^n$. Then, it follows from Theorem 1 that

$$\sum_{n=k}^{\infty} |a_n| \leq \frac{A-B}{k(1-B)}.$$

Hence

$$|f(z)| \leq r + \sum_{n=k}^{\infty} |a_n| r^n \leq r + r^k \sum_{n=k}^{\infty} |a_n| \leq r + \frac{A-B}{k(1-B)} r^k$$

and

$$|f(z)| \geq r - \sum_{n=k}^{\infty} |a_n| r^n \geq r - r^k \sum_{n=k}^{\infty} |a_n| \geq r - \frac{A-B}{k(1-B)} r^k.$$

Thus (3.1) follows. Further

$$(3.3) \quad |f'(z)| \leq 1 + \sum_{n=k}^{\infty} n|a_n| r^{n-1} \leq 1 + r^{k-1} \sum_{n=k}^{\infty} n|a_n|$$

and

$$(3.4) \quad |f'(z)| \geq 1 - \sum_{n=k}^{\infty} n|a_n| r^{n-1} \geq 1 - r^{k-1} \sum_{n=k}^{\infty} n|a_n|.$$

But, from Theorem 1, it holds that

$$(3.5) \quad \sum_{n=k}^{\infty} n|a_n| \leq \frac{A-B}{1-B}.$$

The inequalities in (3.2) follow now by using (3.5) in (3.3) and (3.4).

Equality in (3.1) and (3.2) is obtained if we take

$$(3.6) \quad f(z) = z - \frac{A-B}{k(1-B)} z^k.$$

Note For the above function, equality on the left hand side of (3.1) is obtained at $z=r$ whereas on the right hand side equality is obtained at $z=-r$ when $k=2, 4, 6, \dots$; $z=ir$ when $k=3, 7, 11, \dots$ and $z=re^{i\pi/(k-1)}$ when $k=5, 9, 13, \dots$. Similarly, the points where equality holds in (3.2) can be obtained.

Corollary 1 If $f \in P_k(A, B)$, then the disc U is mapped by f onto a domain that contains the disc $|w| < \frac{k(1-B) - (A-B)}{k(1-B)}$. The result is sharp with extremal function f given by (3.6).

The above corollary follows if we let $r \rightarrow 1$ in the left hand side inequality in (3.1). An interesting case appears when $A=1$. In this case $|w| < (k-1)/k$.

4. Integral operators

Theorem 3 Let c be a real number such that $c > -1$. If $f \in P_k(A, B)$, then the function F defined by

$$(4.1) \quad F(z) = \frac{c+1}{z^c} \int_0^z t^{c-1} f(t) dt$$

also belongs to $P_k(A, B)$.

Proof Let $f(z) = z - \sum_{n=k}^{\infty} |a_n| z^n$. Then from the representation of F , it follows that

$$F(z) = z - \sum_{n=k}^{\infty} |b_n| z^n,$$

where

$$|b_n| = \left(\frac{c+1}{c+n} \right) |a_n|.$$

Therefore

$$\sum_{n=k}^{\infty} n(1-B) |b_n| = \sum_{n=k}^{\infty} n(1-B) \left(\frac{c+1}{c+n} \right) |a_n| \leq \sum_{n=k}^{\infty} n(1-B) |a_n| \leq (A-B),$$

since $f \in P_k(A, B)$. Hence, by Theorem 1, $F \in P_k(A, B)$.

Theorem 4 Let c be a real number such that $c > -1$. If $F \in P_k(A, B)$, then the function f defined by (4.1) is univalent in $|z| < R^*$, where

$$R^* = \inf_{n \geq k} \left[\left(\frac{c+1}{c+n} \right) \left(\frac{1-B}{A-B} \right) \right]^{1/(n-1)}.$$

This result is sharp.

Proof Let $F(z) = z - \sum_{n=k}^{\infty} |a_n| z^n$. It follows then from (4.1) that

$$f(z) = z^{1-c} [z^c F(z)]' / (c+1) = z - \sum_{n=k}^{\infty} \left(\frac{c+n}{c+1} \right) |a_n| z^n.$$

In order to obtain the required result it suffices to show that $|f'(z) - 1| < 1$ in $|z| < R^*$.

Now

$$|f'(z) - 1| = \left| - \sum_{n=k}^{\infty} n \left(\frac{c+n}{1+c} \right) |a_n| z^{n-1} \right| \leq \sum_{n=k}^{\infty} n \left(\frac{c+n}{c+1} \right) |a_n| |z|^{n-1}.$$

Thus

$$(4.2) \quad \begin{aligned} &|f'(z) - 1| < 1, \text{ if} \\ &\sum_{n=k}^{\infty} n \left(\frac{c+n}{c+1} \right) |a_n| |z|^{n-1} < 1. \end{aligned}$$

But Theorem 1 confirms that

$$\sum_{n=k}^{\infty} n \left(\frac{1-B}{A-B} \right) |a_n| \leq 1.$$

Hence (4.2) will be satisfied if

$$n \left(\frac{c+n}{c+1} \right) |a_n| |z|^{n-1} < n \left(\frac{1-B}{A-B} \right) |a_n|, \quad n = k, k+1, \dots$$

or if

$$|z| < \left[\left(\frac{c+1}{c+n} \right) \left(\frac{1-B}{A-B} \right) \right]^{1/(n-1)}, \quad n = k, k+1, \dots$$

Therefore f is univalent in $|z| < R^*$. Sharpness follows if we take

$$F(z) = z - \frac{A-B}{n(1-B)} z^n, \quad n \geq k.$$

5. Radius of convexity

Theorem 5 If $f \in P_k(A, B)$, then f is convex in the disc $|z| < R$, where

$$R = \inf_{n \geq k} \left[\frac{1-B}{n(A-B)} \right]^{1/(n-1)}.$$

The result is sharp.

Proof In order to establish the required result it suffices to show that

$|zf''(z)/f'(z)| < 1$ in $|z| < R$. Let $f(z) = z - \sum_{n=k}^{\infty} |a_n| z^n$. Then we have

$$\left| \frac{zf''(z)}{f'(z)} \right| = \left| \frac{- \sum_{n=k}^{\infty} n(n-1) |a_n| z^{n-1}}{1 - \sum_{n=k}^{\infty} n |a_n| z^{n-1}} \right| \leq \frac{\sum_{n=k}^{\infty} n(n-1) |a_n| |z|^{n-1}}{1 - \sum_{n=k}^{\infty} n |a_n| |z|^{n-1}}.$$

Therefore $|zf''(z)/f'(z)| < 1$, if

$$\sum_{n=k}^{\infty} n(n-1) |a_n| |z|^{n-1} < 1 - \sum_{n=k}^{\infty} n |a_n| |z|^{n-1}$$

or if

$$(5.1) \quad \sum_{n=k}^{\infty} n^2 |a_n| |z|^{n-1} < 1.$$

Also, by Theorem 1, we have

$$\sum_{n=k}^{\infty} n \left(\frac{1-B}{A-B} \right) |a_n| \leq 1.$$

Hence (5.1) will be satisfied if

$$n^2 |a_n| |z|^{n-1} < n \left(\frac{1-B}{A-B} \right) |a_n|, \quad n=k, k+1, \dots$$

or if

$$|z| < \left[\frac{1-B}{n(A-B)} \right]^{1/(n-1)}, \quad n=k, k+1, \dots$$

Therefore f is convex in $|z| < R$. Sharpness follows if we take

$$f(z) = z - \frac{A-B}{n(1-B)} z^n, \quad n \geq k.$$

Since $P_2(1, -1)$ and $P_2(0, -1)$ are the classes of functions $f(z) = z - \sum_{n=2}^{\infty} |a_n| z^n$ that satisfy the conditions $\operatorname{Re}\{f'(z)\} > 0$ and $\operatorname{Re}\{f'(z)\} > \frac{1}{2}$ respectively, we have the following corollaries as the direct consequences of Theorem 5.

Corollary 2 Let $f(z) = z - \sum_{n=2}^{\infty} |a_n| z^n$. If $\operatorname{Re}\{f'(z)\} > 0$, then f is convex in $|z| < \frac{1}{2}$. The result is sharp with the extremal function $f(z) = z - z^2/2$.

Remark The above corollary extends a result of MacGregor [3] for the functions having negative coefficients. In fact, MacGregor proved that, if $f \in S$ and $\operatorname{Re}\{f'(z)\} > 0$, then f is convex in $|z| < \sqrt{2} - 1$ ($\approx .414$ approximate).

Corollary 3 Let $f(z) = z - \sum_{n=2}^{\infty} |a_n| z^n$. If $\operatorname{Re}\{f'(z)\} > \frac{1}{2}$, then f is convex in $|z| < 2^{-1/3}$. The result is sharp with the extremal function $f(z) = z - z^4/8$.

Remark The above corollary extends a result of Hallenbeck [2] for the functions having negative coefficients. In fact, Hallenbeck proved that, if $f \in S$ and $\operatorname{Re}\{f'(z)\} > \frac{1}{2}$, then f is convex in $|z| < 2^{-\frac{1}{2}}$.

6. Closure properties

In this section we show that the class $P_k(A, B)$ is closed under 'arithmetic mean' and 'convex linear combinations'.

Theorem 6 Let $f_j(z) = z - \sum_{n=k}^{\infty} |a_{nj}| z^n$, $j=1, 2, \dots, m$. If $f_j \in P_k(A, B)$ for each $j=1, 2, \dots, m$, then the function $h(z) = z - \sum_{n=k}^{\infty} |b_n| z^n$ also belongs to $P_k(A, B)$, where $b_n = \frac{1}{m} \sum_{j=1}^m a_{nj}$.

Proof Since $f_j \in P_k(A, B)$, it follows from Theorem 1 that

$$\sum_{n=k}^{\infty} n(1-B) |a_{n_j}| \leq (A-B), \quad j=1, 2, 3, \dots, m.$$

Therefore

$$\sum_{n=k}^{\infty} n(1-B) |b_n| \leq \sum_{n=k}^{\infty} \left[n(1-B) \left\{ \frac{1}{m} \sum_{j=1}^m |a_{n_j}| \right\} \right] \leq (A-B).$$

Hence, by Theorem 1, $h \in P_k(A, B)$.

Theorem 7 Let

$$f_1(z) = z, \quad f_n(z) = z - \frac{A-B}{n(1-B)} \quad (n=k, k+1, \dots).$$

Then $f \in P_k(A, B)$ if and only if it can be expressed in the form

$$f(z) = \lambda_1 f_1(z) + \sum_{n=k}^{\infty} \lambda_n f_n(z),$$

where $\lambda_n \geq 0$ and $\lambda_1 + \sum_{n=k}^{\infty} \lambda_n = 1$.

Proof Let us suppose that

$$f(z) = \lambda_1 f_1(z) + \sum_{n=k}^{\infty} \lambda_n f_n(z) = z - \sum_{n=k}^{\infty} \frac{A-B}{n(1-B)} \lambda_n z^n.$$

Then

$$\sum_{n=k}^{\infty} \left[\{n(1-B)\} \left\{ \frac{A-B}{n(1-B)} \right\} \lambda_n \right] = (A-B) \sum_{n=k}^{\infty} \lambda_n \leq (A-B).$$

Hence, by Theorem 1, $f \in P_k(A, B)$.

Conversely, let $f \in P_k(A, B)$. It follows then from Theorem 1 that

$$|a_n| \leq \frac{A-B}{n(1-B)}, \quad (n=k, k+1, \dots).$$

Setting

$$\lambda_n = \frac{n(1-B)}{A-B} |a_n|, \quad (n=k, k+1, \dots).$$

and

$$\lambda_1 = 1 - \sum_{n=k}^{\infty} \lambda_n,$$

we have

$$f(z) = \lambda_1 f_1(z) + \sum_{n=k}^{\infty} \lambda_n f_n(z).$$

This completes the proof of theorem.

Our results generalize the results of Gupta and Jain [1].

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