

## An Unified Approach to Approximation Theorems of Dini-Lipschitz-Type\*

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### Abstract

This survey paper studies the approximation of (polynomial) processes for which the operator norms do not form a bounded sequence. In view of familiar direct estimates and quantitative uniform boundedness principles, a unified approach is given to results concerning the equivalence of Dini-Lipschitz-type conditions with (strong) convergence on (smoothness) classes. Emphasis is laid upon the necessity of these conditions, essential ingredients of the proofs are suitable modifications of the familiar gliding hump method. Apart from the classical results concerned with Fourier partial sums, explicit applications are treated for (trigonometric as well as algebraic) Lagrange interpolation, interpolatory quadrature rules based upon Jacobi knots, multipliers of strong convergence, and for Bochner-Riesz means of multivariate Fourier series for parameter values below the critical index.

### 1 Introduction

Let  $C_{2\pi}$  be the Banach space of  $2\pi$ -periodic, continuous functions on the real axis  $\mathbb{R}$ , endowed with the usual sup-norm  $\|\cdot\|_C$ . Concerning the uniform convergence of the Fourier series

$$(1.1) \quad f(x) \sim \sum_{k=-\infty}^{\infty} \hat{f}(k) e^{ikx}, \quad \hat{f}(k) := \frac{1}{2\pi} \int_{-\pi}^{\pi} f(u) e^{-iku} du,$$

of  $f \in C_{2\pi}$ , that is, the uniform convergence of the  $n$ th partial sum

\* Supported by Deutsche Forschungsgemeinschaft Grant No. Ne 171/5-1.

Received July 12, 1983.

$$(1.2) \quad (S_n f)(x) := \sum_{k=-n}^n \hat{f}(k) e^{ikhx}$$

towards  $f$ , a sufficient criterion is provided by the classical Dini-Lipschitz condition (cf. [3, p. 105; 36I, p. 63])

$$(1.3) \quad \omega_1(1/n, f; C_{2\pi}) \log n = o_f(1) \quad (n \rightarrow \infty),$$

where the (first order) modulus of continuity of  $f$  is given by

$$(1.4) \quad \omega_1(t, f; C_{2\pi}) := \sup_{|h| \leq t} \|f(u+h) - f(u)\|_C.$$

It was already shown by Faber and Lebesgue in 1910 (see [11, p. 408ff; 14], also [32; 36I, p. 302]) that conditions of type (1.3) are in fact necessary if the individual function  $f$  is replaced by a whole (generalized) Lipschitz class. More precisely, if  $\omega$  is a continuous function on  $[0, \infty)$  such that

$$(1.5) \quad 0 = \omega(0) < \omega(s) \leq \omega(t), \quad \omega(t)/t \leq \omega(s)/s \quad (0 < s \leq t)$$

(abstract modulus of continuity, cf. [31, p. 96ff]), then the Dini-Lipschitz-type condition

$$(1.6) \quad \omega(1/n) \log n = o(1) \quad (n \rightarrow \infty)$$

is necessary and sufficient for the uniform convergence

$$(1.7) \quad \|S_n f - f\|_C = o_f(1) \quad (n \rightarrow \infty)$$

to take place for each  $f \in C_{2\pi}$  satisfying the (generalized) Lipschitz condition

$$(1.8) \quad \omega_1(t, f; C_{2\pi}) = O_f(\omega(t)) \quad (t \rightarrow 0+).$$

Starting from this classical result, it is the purpose of the present paper to indicate a general approach to the subject. On the basis of quantitative uniform boundedness principles, previously developed in [4-6; 9], Section 2 delivers (quantitative) equivalence assertions of the Dini-Lipschitz type for quite a general class of operators in Banach spaces. Emphasis is laid upon the necessity of the conditions, essential ingredients of the proofs are given by suitable modifications of the familiar gliding hump method. Section 3 is devoted to some first applications to (trigonometric as well as algebraic) Lagrange interpolation, interpolatory quadrature rules based on Jacobi knots, multipliers of strong convergence, and to Bochner-Riesz means of multivariate Fourier series for parameter values below the critical index. See also the introduction to Section 3, and for some historical comments the end of Section 3.4, 6.

The authors would like to express their sincere gratitude to Dr. W. Dickmeis for a critical reading of the manuscript and many valuable suggestions.

## 2 General Theory

Let  $X$  be a (complex) Banach space (with norm  $\|\cdot\|_X$ ), and  $X^*$  be the class of functionals  $T$  on  $X$  which are sublinear, i. e.,

$$|T(f+g)| \leq |Tf| + |Tg|, \quad |T(af)| = |a| |Tf|$$

for all  $f, g \in X$  and  $a \in \mathbf{C}$  ( $:=$  set of complex numbers), and which are bounded, i. e.,

$$\|T\|_{X*} := \sup \{ |Tf|; \|f\|_X = 1 \} < \infty.$$

For a normed linear space  $Y$  let  $[X, Y]$  be the space of bounded, linear operators of  $X$  into  $Y$  (for short  $[X] := [X, X]$ ). Let  $\{\varphi_n\}$  be a sequence of positive numbers satisfying ( $n \in \mathbf{N} :=$  set of natural numbers)

$$(2.1) \quad 0 < \varphi_{n+1} < \varphi_n, \quad \lim_{n \rightarrow \infty} \varphi_n = 0,$$

and  $\omega$  a function satisfying (1.5) as well as

$$(2.2) \quad \lim_{t \rightarrow 0+} \omega(t)/t = \infty.$$

**Theorem 2.1.** Let  $T_n \in X^*$  and  $U_n, U \in [X, Y]$  satisfy (cf. (2.20-22))

$$(2.3) \quad \|U_n f - U f\|_Y \leq C_1 \|U_n\|_{[X, Y]} |T_n f| \quad (f \in X).$$

Moreover, suppose that there exist a sequence  $\{\varphi_n\}$ , subject to (2.1), as well as elements  $g_n \in X$  and constants  $C > 0$  such that ( $j, n \in \mathbf{N}$ )

$$(2.4) \quad \|g_n\|_X \leq C_2,$$

$$(2.5) \quad |T_n g_j| \leq C_3 \min \{1, \varphi_n / \varphi_j\},$$

$$(2.6) \quad \|U_n g_n\|_Y \geq C_4 \|U_n\|_{[X, Y]}.$$

Then for each  $\omega$  satisfying (1.5), (2.2) and each (strictly, positive sequence  $\{\psi_n\}$  with

$$(2.7) \quad \omega(\varphi_n) = o(\psi_n) \quad (n \rightarrow \infty)$$

the Dini-Lipschitz-type condition

$$(2.8) \quad \|U_n\|_{[X, Y]} \omega(\varphi_n) = o(\psi_n)$$

is necessary and sufficient for

$$(2.9) \quad \|U_n f - U f\|_Y = o_f(\psi_n) \quad \text{on } \{f \in X; |T_n f| = O_f(\omega(\varphi_n))\}.$$

**Proof** Obviously, (2.8) implies (2.9) in view of (2.3), whereas the necessity is a consequence of the quantitative uniform boundedness principles, given in [4; 5; 6, Chapter 2; 9]. Let us include a proof, for the sake of completeness.

Assume then that (2.8) does not hold, i. e.,

$$(2.10) \quad \|U_n\|_{[X, Y]} \omega(\varphi_n) / \psi_n \geq C_5 > 0$$

for infinitely many  $n \in \mathbf{N}$ . Starting with an arbitrary  $n_1 \in \mathbf{N}$  one may successively construct a monotonely increasing subsequence  $\{n_k\} \subset \mathbf{N}$  satisfying (2.10) as well as ( $k \geq 2$ )

$$(2.11) \quad \omega(\varphi_{n_k}) \leq \min \left\{ \frac{1}{2} \omega(\varphi_{n_{k-1}}), \frac{\psi_{n_k}}{k}, \frac{\psi_{n_{k-1}}}{C_2(k-1) \|U_{n_{k-1}}\|_{[X, Y]}} \right\},$$

$$(2.21) \quad \sum_{j=1}^{k-1} \omega(\varphi_{n_j}) / \varphi_{n_j} \leq \omega(\varphi_{n_k}) / \varphi_{n_k},$$

$$(2.13) \quad \|U_{n_k} f_{k-1} - U f_{k-1}\|_Y \leq \frac{\psi_{n_k}}{k}, \quad f_{k-1} := \sum_{j=1}^{k-1} \omega(\varphi_{n_j}) g_{n_j}.$$

Indeed, (2.11,12) will be satisfied in view of (1.5), (2.1,2,7), whereas (2.13) is a consequence of (1.5), (2.5,9). Since  $X$  is complete and (cf. (2.4,11))

$$(2.14) \quad \sum_{j=k}^{\infty} \omega(\varphi_{n_j}) \|g_{n_j}\|_X \leq 2C_2 \omega(\varphi_{n_k}),$$

the case  $k=1$  implies that  $f_0 := \sum_{j=1}^{\infty} \omega(\varphi_{n_j}) g_{n_j}$  is well-defined as an element in  $X$ . Then, given  $n \geq n_1$ , let  $k \in \mathbf{N}$  be such that  $n_k \leq n \leq n_{k+1}$ . By (1.5), (2.1,5,11,12) one has

$$\begin{aligned} |T_n f_0| &\leq C_3 \varphi_n \sum_{j=1}^k \omega(\varphi_{n_j}) / \varphi_{n_j} + C_3 \sum_{j=k+1}^{\infty} \omega(\varphi_{n_j}) \\ &\leq 2C_3 \varphi_n \omega(\varphi_{n_k}) / \varphi_{n_k} + 2C_3 \omega(\varphi_{n_{k+1}}) \leq 4C_3 \omega(\varphi_n), \end{aligned}$$

so that (2.9) implies

$$(2.15) \quad \|U_n f_0 - U f_0\|_Y = o(\psi_n).$$

On the other hand, if  $U_{n_k} - U$  is applied to  $f_0$ , this yields by (2.4,6,10,11,13,14)

$$\begin{aligned} \|U_{n_k} f_0 - U f_0\|_Y &\geq \omega(\varphi_{n_k}) (\|U_{n_k} g_{n_k}\|_Y - \|U g_{n_k}\|_Y) \\ &\quad - \|(U_{n_k} - U) f_{k-1}\|_Y - \|(U_{n_k} - U)(f_0 - f_k)\|_Y \\ &\geq \psi_{n_k} [C_4 C_5 - 3(C_2 \|U\|_{[X,Y]} + 1)/k], \end{aligned}$$

which is a contradiction to (2.15), proving the theorem.  $\blacksquare$

Obviously, one may replace the parameter  $n$  with  $n \rightarrow \infty$  by a continuous  $\rho > 0$  with  $\rho \rightarrow \infty$  (cf. Section 3.2). Moreover, (2.3) is actually not used for the necessity, that is, for (2.9)  $\Rightarrow$  (2.8).

Note that an appropriate choice of  $\{\psi_n\}$  does not only determine necessary bounds for  $\omega$  to ensure convergence (choose  $\psi_n = 1$ ), but also regains previous results on the sharpness of (2.3). In fact, if one chooses  $\psi_n = \|U_n\|_{[X,Y]} \omega(\varphi_n)$ , then (2.8) is trivially violated, so that necessarily there exists an element  $f_0 \in X$  with

$$(2.16) \quad \begin{aligned} |T_n f_0| &= O(\omega(\varphi_n)), \\ \|U_n f_0 - U f_0\|_Y &\neq o(\|U_n\|_{[X,Y]} \omega(\varphi_n)). \end{aligned}$$

Let us mention that this interpretation has indeed many applications concerning the sharpness of error bounds in various areas of analysis. For details see [1,4-9;9].

Analogously to Theorem 2.1, one may formulate a large- $O$ -version in the sense that small- $o$ -rates in (2.7-9) are replaced by large- $O$ -one's. In fact, a proof may then be given via a reduction to the classical uniform boundedness principle (cf. [6, p. 21]).

**Theorem 2.2.** *If the conditions of Theorem 2.1, i. e., (2.3-6), hold true; then for each  $\omega, \{\psi_n\}$  satisfying (1.5) and*

$$(2.17) \quad \omega(\varphi_n) = O(\psi_n) \quad (n \rightarrow \infty)$$

*the Dini-Lipschitz-type condition*

$$(2.18) \quad \|U_n\|_{[X,Y]} \omega(\varphi_n) = O(\psi_n)$$

is necessary and sufficient for

$$(2.19) \quad \|U_n f - Uf\|_Y = O_f(\psi_n) \text{ on } \{f \in X; |T_n f| = O_f(\omega(\varphi_n))\}.$$

**Proof** Since  $T_n \in X^*$ , the space

$$Z := \{f \in X; |T_n f| = O_f(\omega(\varphi_n)), n \rightarrow \infty\},$$

$$\|f\|_Z := \|f\|_X + \sup_{n \in \mathbb{N}} |T_n f| / \omega(\varphi_n),$$

is a Banach space. Now (2.19) implies

$$\|(U_n - U)f\|_Y = O_f(\psi_n) \quad (f \in Z),$$

thus by the classical uniform boundedness principle

$$\|U_n - U\|_{[Z, Y]} = O(\psi_n).$$

Moreover, by (1.5), (2.1, 5)

$$|T_n g_i| \leq C_3 \min\{1, \varphi_n / \varphi_i\} \leq C_3 \min\{1, \omega(\varphi_n) / \omega(\varphi_i)\},$$

so that by (2.4)

$$\|g_i\|_Z \leq C_2 + C_3 / \omega(\varphi_i) \leq A_1 / \omega(\varphi_i).$$

Therefore in view of (2.4, 6, 17) one obtains

$$\begin{aligned} \|U_n\|_{[X, Y]} \omega(\varphi_n) &\leq A_2 \|U_n g_n\|_Y \omega(\varphi_n) \\ &\leq A_3 \|U_n g_n - U g_n\|_Y / \|g_n\|_Z + A_2 \|U\|_{[X, Y]} \|g_n\|_X \omega(\varphi_n) \\ &\leq A_3 \|U_n - U\|_{[Z, Y]} + O(\omega(\varphi_n)) = O(\psi_n), \end{aligned}$$

thus (2.18). Of course, the other implication follows by (2.3). ■

In the applications the process  $\{T_n\}$  mainly serves as a measure of smoothness. For example,  $T_n$  may be given in terms of moduli of continuity or Peetre K-functionals. Yet another concretization of  $T_n$  is performed via the error of best approximation

$$E(f, M_n) := E_X(f, M_n) := \inf\{\|f - p_n\|_X; p_n \in M_n\},$$

where  $M_n, n \in \mathbb{N}$ , are certain linear manifolds with  $M_n \subset M_{n+1} \subset X$ . In this connection a special class of operators  $U_n$  is of interest, namely those for which

$$(2.20) \quad U_n p_n = U p_n \quad (p_n \in M_n),$$

$$(2.21) \quad \|U\|_{[X, Y]} \leq C \|U_n\|_{[X, Y]}.$$

Indeed, then one has (cf. (3.2))

$$\begin{aligned} (2.22) \quad \|U_n f - Uf\|_Y &= \inf_{p_n \in M_n} \|(U_n - U)(f - p_n)\|_Y \\ &\leq (\|U_n\|_{[X, Y]} + \|U\|_{[X, Y]}) \inf_{p_n \in M_n} \|f - p_n\|_Y \\ &\leq C \|U_n\|_{[X, Y]} E(f, M_n) \end{aligned}$$

thus an estimate of type (2.3). In this situation there often exist operators (de la Vallée Poussin means, Fejér-Hermite processes)  $V_n \in [X]$  such that

$$(2.23) \quad \|V_n\|_{[X]} \leq C, \quad V_n(X) \subset M_{2n}, \quad U_n V_n = U_n.$$

Then Theorem 2.1 (2.2) delivers (terms in brackets  $\langle \dots \rangle$  give the large- $O$ -version as a conclusion of Theorem 2.2).

**Corollary 2.3** Let  $\{\varepsilon_n\}$ , subject to (2.1), and  $\{\psi_n\}$  be such that

$$(2.24) \quad \varepsilon_n = O(\varepsilon_{2n}), \quad \psi_n > 0, \\ \varepsilon_n = o(\psi_n) \quad \langle \cdots = O(\psi_n) \rangle.$$

Suppose that for  $U_n, U \in [X, Y]$  with (2.20, 21) there exist  $V_n \in [X]$  with (2.23). Then the following two assertions are equivalent:

$$(i) \quad \|U_n\|_{[X, Y]} \varepsilon_n = o(\psi_n) \quad \langle \cdots = O(\psi_n) \rangle, \\ (ii) \quad \|U_n f - U f\|_Y = o_f(\psi_n) \quad \langle \cdots = O_f(\psi_n) \rangle$$

for each  $f \in X$  with  $E(f, M_n) = O_f(\varepsilon_n)$ .

**Proof** By the definition of an operator norm there exists  $f_n \in X$  with

$$(2.25) \quad \|f_n\|_X = 1, \quad \|U_n f_n\|_Y \geq \frac{1}{2} \|U_n\|_{[X, Y]}.$$

To apply Theorem 2.1 (2.2) to

$$g_n = V_n f_n, \quad T_n f = E(f, M_n),$$

one has (2.3, 4, 6) in view of (2.22, 23, 25). Moreover, (2.5) follows with  $\varphi_n = \varepsilon_n^2$  since by (2.23, 24)

$$T_n g_j = 0 \leq \min\{1, \varepsilon_n^2 / \varepsilon_j^2\} \quad (2j \leq n), \\ T_n g_j \leq \|g_j\|_X \leq C \leq C^* \min\{1, \varepsilon_n^2 / \varepsilon_j^2\} \quad (2j > n).$$

Finally, (2.7) < (2.17) > is valid for  $\omega(t) = t^{1/2}$  by (2.24). ■

Note that the proof still works if the error of best approximation is replaced by functionals  $T_n \in X^*$  for which, apart from (2.3), a condition of type

$$(2.26) \quad |T_n p_m| \leq C \|p_m\|_X \min\{1, \varphi_n / \varphi_m\} \quad (p_m \in M_m)$$

holds true. In many situations, the latter estimate follows by an iterative application of a Jackson- and a Bernstein-type inequality. See, for example, (3.3) where  $T_n$  is the modulus of continuity (1.4).

### 3 Applications

Typical for the application of an equivalence assertion of Dini-Lipschitz-type is a situation given via (2.20-22) where the operator norms of the process  $\{U_n\}$  do not form a bounded sequence, that is, where

$$(3.1) \quad \limsup_{n \rightarrow \infty} \|U_n\|_{[X, Y]} = \infty.$$

In fact, the results of Section 2 then provide minimal (smoothness) properties the elements should satisfy in order to ensure convergence. From this point of view note that the case  $\|U_n\|_{[X, Y]} = O(1)$  is already governed by the classical Banach-Steinhaus theorem.

#### 3.1 Fourier Partial Sums

For the partial sums (1.2) an application of Theorem 2.1 yields

**Corollary 3.1** Let  $\omega$  satisfy (1.5), (2.2). The following two assertions are equivalent ( $n \rightarrow \infty$ ):

- (i)  $\|S_n\|_{[C_{1,x}]} \omega(1/n) = o(1)$ ,  
(ii)  $\|S_n f - f\|_C = o_f(1)$  on  $\{f \in C_{2\pi}; \omega_1(1/n, f; C_{2\pi}) = O_f(\omega(1/n))\}$ .

**Proof** Choose  $X = Y = C_{2\pi}$ ,  $U_n = S_n$ ,  $U = I$  ( $I$  := the identity), and  $T_n f = \omega_1(1/n, f; C_{2\pi})$ . If  $\Pi_n$  denotes the set of trigonometric polynomials of degree  $n$ , then (2.3) follows in view of

$$(3.2) \quad \begin{aligned} \|S_n f - f\|_C &\leq (1 + \|S_n\|_{[C_{1,x}]}) E(f, \Pi_n) \\ &\leq C(1 + \|S_n\|_{[C_{1,x}]}) \omega_1(1/n, f; C_{2\pi}), \end{aligned}$$

the latter estimate being a consequence of Jackson's theorem (cf. [3, p.97]). Conversely, let  $f_n \in C_{2\pi}$  be such that

$$\|f_n\|_C = 1, \quad \|S_n f_n\|_C \geq \frac{1}{2} \|S_n\|_{[C_{1,x}]},$$

and consider the classical delayed means  $V_n = (1/n) \sum_{k=n+1}^{2n} S_k$  of de la Vallée Poussin. Since (2.23) holds true for these means (with  $M_n = \Pi_n$ , cf. [3, p.108]), the elements  $g_n = V_n f_n \in \Pi_{2n}$  satisfy (2.4, 6) as well as (2.5) with  $\varphi_n = 1/n$ , since by the mean value theorem and Bernstein's inequality (cf. [3, p.99])

$$(3.3) \quad T_n g_j = \sup_{|h| \leq 1/n} \|g_j(u+h) - g_j(u)\|_C \leq (1/n) \|g_j'\|_C \leq Cj/n.$$

Therefore an application of Theorem 2.1 with  $\psi_n = 1$ ,  $n \in \mathbb{N}$ , completes the proof. ■

Since the operator norms  $\|S_n\|_{[C_{1,x}]}$  behave like  $\log n$  (cf. [3, p.42]), Corollary 3.1 indeed regains the result, mentioned in Section 1, namely the equivalence of (1.6) and (1.7) for the class (1.8). Moreover, the proof given above also shows that the assumptions of Corollary 2.3 hold true. Therefore

**Corollary 3.2.** Let  $\{\varepsilon_n\}$ , subject to (2.1), and  $\{\psi_n\}$  satisfy (2.24). The following assertions are equivalent:

- (i)  $\|S_n\|_{[C_{1,x}]} \varepsilon_n = o(\psi_n)$   $\langle \dots = O(\psi_n) \rangle$ ,  
(ii)  $\|S_n f - f\|_C = o_f(\psi_n)$   $\langle \dots = O_f(\psi_n) \rangle$

for each  $f \in C_{2\pi}$  with  $E(f, \Pi_n) = O_f(\varepsilon_n)$ .

In fact, all the arguments given above remain true if the Banach space  $C_{2\pi}$  is replaced by e.g.  $L_{2\pi}^1$ , the space of  $2\pi$ -periodic, Lebesgue integrable functions with norm  $\|f\|_1 := (1/2\pi) \int_{-\pi}^{\pi} |f(u)| du$ . Since again  $\|S_n\|_{[L_{1,x}^1]} \sim \log n$ , a parallel application of Theorem 2.1 also delivers

**Corollary 3.3.** Let  $\omega$  satisfy (1.5), (2.2) The following assertions are equivalent:

- (i)  $\omega(1/n) \log n = o(1)$ ,  
(ii)  $\|S_n f - f\|_1 = o_f(1)$  on  $\{f \in L_{2\pi}^1; \omega_1(1/n, f; L_{2\pi}^1) = O_f(\omega(1/n))\}$ .

### 3.2 Bochner-Riesz Means

Let  $R^N$ ,  $N \in \mathbb{N}$ , be the  $N$ -dimensional Euclidean space with inner product  $\langle xy, \rangle = \sum_{j=1}^N x_j y_j$  and norm  $|x| := (\langle xx, \rangle)^{1/2}$ , and let  $Z^N$  be the  $N$ -fold Cartesian product

of  $\mathbb{Z}$  ( $:=$  set of integers). With  $Q^N := \{x \in \mathbb{R}^N; -\pi \leq x_j < \pi, 1 \leq j \leq N\}$  let  $C_{2\pi}(Q^N)$  denote the space of continuous functions on  $\mathbb{R}^N$ ,  $2\pi$ -periodic in each variable, with the usual sup-norm  $\|\cdot\|_C$ . For the Bochner-Riesz means ( $a \geq 0, \rho > 0, x \in \mathbb{R}^N, f \in C_{2\pi}(Q^N)$ )

$$(B_\rho^a f)(x) := \sum_{k \in \mathbb{Z}^N} b_{\rho,a}(k) \hat{f}(k) e^{ikx}, \quad b_{\rho,a}(k) := \left( \max \left\{ 1 - \frac{|k|^2}{\rho^2}, 0 \right\} \right)^a$$

$$\hat{f}(k) := (2\pi)^{-N} \int_{Q^N} f(u) e^{-ik \cdot u} du,$$

one has the direct estimate

$$(3.4) \quad \|B_\rho^a f - f\|_C \leq C \|B_\rho^a\|_{[C, \mathbb{R}^N(Q^N)]} \omega_2(\rho^{-1}, f; C_{2\pi}(Q^N)),$$

$$(3.5) \quad \omega_2(t, f; C_{2\pi}(Q^N)) := \sup_{0 < |h| < t} \|f(x) - 2f(x+h) + f(x+2h)\|_C,$$

thus an inequality of type (2.3). Indeed, one may, for example, apply the local divisibility argument of [8, Section 4] to the multiplier  $(b_{\rho,a}(k) - 1/\|B_\rho^a\|_{[C, \mathbb{R}^N(Q^N)]})$  and use the equivalence of  $\omega_2(t, f; C_{2\pi}(Q^N))$  with the Peetre  $k$ -functional  $k(t^2, f; C_{2\pi}(Q^N), C_{2\pi}^{(2)}(Q^N))$  (cf. [2, p.258]).

An application of the results of Section 2 then yields

**Corollary 3.4.** Let  $a \geq 0$ . For each  $\omega$  satisfying (1.5), (2.2)  $\langle$  (1.5)  $\rangle$  and each (strictly) positive function  $\psi$  on  $(0, \infty)$  with  $(t \rightarrow 0+)$

$$(3.6) \quad \omega(t) = o(\psi(t^{-1})) \quad \langle \dots = O(\psi(t^{-1})) \rangle$$

the following assertions are equivalent ( $\rho \rightarrow \infty$ ):

$$(i) \quad \|B_\rho^a\|_{[C, \mathbb{R}^N(Q^N)]} \omega(\rho^{-2}) = o(\psi(\rho^2)) \quad \langle \dots = O(\psi(\rho^2)) \rangle,$$

$$(ii) \quad \|B_\rho^a f - f\|_C = o_f(\psi(\rho^2)) \quad \langle \dots = O_f(\psi(\rho^2)) \rangle$$

for each  $f \in C_{2\pi}(Q^N)$  with  $\omega_2(t, f; C_{2\pi}(Q^N)) = O_f(\omega(t^2))$ .

**Proof** For an infinitely often differentiable function  $\lambda$  on  $[0, \infty)$  satisfying

$$(3.7) \quad 0 \leq \lambda(t) \leq 1, \quad \lambda(t) = \begin{cases} 1, & 0 \leq t \leq 1 \\ 0, & t \geq 2 \end{cases}$$

let generalized de la Vallée Poussin means be given by

$$(L_\rho f)(x) := \sum_{k \in \mathbb{Z}^N} \lambda(|k|/\rho) \hat{f}(k) e^{ikx}.$$

These delayed means satisfy (2.23), i. e. (cf. [21; 22] and the literature cited there),

$$(3.8) \quad \|L_\rho\|_{[C, \mathbb{R}^N(Q^N)]} \leq C, \quad L_\rho f \in \Pi_{2\rho}^N, \quad B_\rho^a L_\rho = B_\rho^a,$$

where  $\Pi_\rho^N$  denotes the set of trigonometric polynomials of radial degree at most  $\rho > 0$ . Let  $f_\rho \in C_{2\pi}(Q^N)$  be such that

$$\|f_\rho\|_C = 1, \quad \|B_\rho^a f_\rho\|_C \geq \frac{1}{2} \|B_\rho^a\|_{[C, \mathbb{R}^N(Q^N)]}$$

and apply Theorem 2.1  $\langle$  2.2  $\rangle$  to

$$X = Y = C_{2\pi}(Q^N), \quad U_\rho = B_\rho^a, \quad U = I,$$

$$T_\rho f = \omega_2(\rho^{-1}, f; C_{2\pi}(Q^N)), \quad g_\rho = L_\rho f_\rho.$$

Then (2.3, 4, 6) follow by (3.4, 8). Moreover, corresponding to (3.3) one has (cf. [2, p.257])



$$T_{r, f_{\rho_1}} \leq \rho_2^{-2} \sum \left\| \frac{\partial^2}{\partial x_1^{j_1} \cdots \partial x_N^{j_N}} g_{\rho_1} \right\|_C \leq C \rho_2^{-2} \rho_1^2,$$

the sum being extended over all multiindices  $(j_1, \dots, j_N)$  with  $j_1 + \dots + j_N = 2$ . Thus (2.5) follows with  $\varphi_\rho = \rho^{-2}$ , and the proof is complete. ■

Note that (cf. (3.1)) for  $0 \leq a \leq (N-1)/2$  the operator norms  $\|B_\rho^a\|$  are not bounded as  $\rho \rightarrow \infty$  (cf. [27, p.170ff]) so that the present corollary then gives a necessary and sufficient condition for convergence (set  $\psi(\rho) = 1$  for  $\rho = 0$ ). On the other hand, the equivalence also holds true for  $a > (N-1)/2$ , where the norms are bounded. Of course, then Corollary 3.4 (ii)  $\Rightarrow$  (i), as applied to the case  $\psi(t^{-1}) = \omega(t)$  yields the sharpness of the direct estimate (3.4) (cf. (2.16)).

Let us finally mention that again the verification of the conditions of Theorem 2.1 <2.2> proceeds completely parallel to the one given above, if  $C_{2\pi}(Q^N)$  is replaced by the Banach space  $L_{2\pi}^p(Q^N)$ ,  $1 \leq p < \infty$ , of  $2\pi$ -periodic functions,  $p$ th power integrable over  $Q^N$ .

### 3.3 Trigonometric Lagrange Interpolation

For  $f \in C_{2\pi}(=C_{2\pi}(Q))$  the trigonometric Lagrange polynomials, interpolating  $f$  at the equidistant knots  $x_{kn} = 2k\pi/(2n+1)$ ,  $0 \leq k \leq 2n$ , are given by

$$(A_n f)(x) := \frac{1}{2n+1} \sum_{k=0}^{2n} f(x_{kn}) D_n(x - x_{kn}),$$

$$D_n(x) := 1 + 2 \sum_{k=1}^n \cos kx = \frac{\sin(n+1/2)x}{\sin x/2}.$$

It is well-known that these operators are projections on  $\Pi_n$ , their norms being unbounded (they behave like  $\log n$ ; for details see [11, p.424], also [20, p.365ff, 392, 492ff; 36II, p.1ff]).

**Corollary 3.5.** Let  $\{\varepsilon_n\}$ , subject to (2.1), and  $\{\psi_n\}$  satisfy (2.24). The following assertions are equivalent:

- (i)  $\|A_n\|_{[C_{2\pi}]} \varepsilon_n = o(\psi_n) \quad \langle \dots = O(\psi_n) \rangle,$
- (ii)  $\|A_n f - f\|_C = o_f(\psi_n) \quad \langle \dots = O_f(\psi_n) \rangle$

for each  $f \in C_{2\pi}$  with  $E(f, \Pi_n) = O_f(\varepsilon_n)$ .

**Proof** The result follows as an application of Corollary 2.3 upon setting

$$X = Y = C_{2\pi}, \quad M_n = \Pi_n, \quad U_n = A_n, \quad U = I, \quad V_n = J_n$$

where  $J_n$  denotes the Fejér-Hermite (or Jackson) polynomial of degree  $2n$ , i.e. (cf. [36II, p.21ff]),

$$(J_n f)(x) := \frac{1}{(2n+1)^2} \sum_{k=0}^{2n} f(x_{kn}) [D_n(x - x_{kn})]^2.$$

Let us mention that essentially the result of Corollary 3.5 is already contained in [11, p.422], see also [20, p.392ff; 36II, p.18ff].

### 3.4 Algebraic Lagrange Interpolation at Jacobi Knots

Let  $-1 < x_{1n}^{\alpha\beta} < \dots < x_{nn}^{\alpha\beta} < 1$  be the zeros of the Jacobi polynomial  $(\alpha, \beta > -1)$

$$p_n^{\alpha\beta}(x) := \frac{(-1)^n}{2^n n!} (1-x)^{-\alpha} (1+x)^{-\beta} \left( \frac{d}{dx} \right)^n [(1-x)^{\alpha+n} (1+x)^{\beta+n}].$$

Consider the (algebraic) Lagrange polynomial

$$L_n^{\alpha\beta} f := \sum_{k=1}^n f(x_{kn}^{\alpha\beta}) l_{kn}^{\alpha\beta}, \quad l_{kn}^{\alpha\beta}(x) := \prod_{\substack{j=1 \\ j \neq k}}^n \frac{x - x_{jn}^{\alpha\beta}}{x_{kn}^{\alpha\beta} - x_{jn}^{\alpha\beta}},$$

associated with these knots,  $f$  being a continuous function on  $[-1, 1]$ , i.e.,  $f \in C[-1, 1]$  (with usual sup-norm  $\|\cdot\|_C$ ). It is well-known that  $L_n^{\alpha\beta}$  is a projection on  $\mathcal{P}_{n-1}$ , the set of algebraic polynomials of degree at most  $n-1$ . The operator norm of  $L_n^{\alpha\beta}$  is given by

$$\lambda_n^{\alpha\beta} := \|L_n^{\alpha\beta}\|_{C[-1,1]} = \left\| \sum_{k=1}^n |l_{kn}^{\alpha\beta}| \right\|_C$$

which is unbounded by the theorem of Faber. Again we consider the corresponding Fejér-Hermite polynomial

$$(H_n^{\alpha\beta} f)(x) := \sum_{k=1}^n f(x_{kn}^{\alpha\beta}) h_{kn}^{\alpha\beta}(x),$$

the polynomials  $h_{kn}^{\alpha\beta} \in \mathcal{P}_{2n-1}$  satisfying  $h_{kn}^{\alpha\beta}(x_{jn}^{\alpha\beta}) = \delta_{jk}$  and  $(h_{kn}^{\alpha\beta})'(x_{jn}^{\alpha\beta}) = 0$  (with Kronecker symbol  $\delta_{jk}$ ). It follows that  $H_n^{\alpha\beta}$  is a positive operator for  $-1 < \alpha, \beta \leq 0$  (cf. [29, p.339]), and thus  $\|H_n^{\alpha\beta}\|_{C[-1,1]} = 1$  for all  $n \in \mathbb{N}$ . Hence (2.23) is fulfilled, and Corollary 2.3 delivers (cf. [20, p.389ff]).

**Corollary 3.6.** Let  $-1 < \alpha, \beta \leq 0$ , and suppose that  $\{\varepsilon_n\}$ , subject to (2.1), and  $\{\psi_n\}$  satisfy (2.24). The following assertions are equivalent:

- (i)  $\lambda_n^{\alpha\beta} \varepsilon_n = o(\psi_n) \quad \langle \dots = O(\psi_n) \rangle$
- (ii)  $\|L_n^{\alpha\beta} f - f\|_C = o_f(\psi_n) \quad \langle \dots = O_f(\psi) \rangle$

for each  $f \in C[-1, 1]$  with  $E(f, \mathcal{P}_{n-1}) = O_f(\varepsilon_n)$ .

Note that, e.g., in the Tchebycheff case  $\alpha = \beta = -1/2$  one has  $\lambda_n^{-1/2, -1/2} \sim \log n$ , whereas in the Legendre case  $\alpha = \beta = 0$  the operator norms  $\lambda_n^{0,0}$  behave like  $n^{1/2}$  (cf. [29, p.335ff]).

Concerning the error of the Lagrange interpolation at fixed point  $x_0 \in (-1, 1)$ , set

$$\lambda_n^{\alpha\beta}(x_0) := \sum_{k=1}^n |l_{kn}^{\alpha\beta}(x_0)|.$$

In [29, p.336f] it is shown that ( $n \rightarrow \infty$ )

$$(3.9) \quad \lambda_n^{\alpha\beta}(x_0) = O(\log n), \quad \lambda_n^{\alpha\beta}(x_0) \neq o(\log n).$$

Hence Theorem 2.1 delivers for all  $\alpha, \beta > -1$ :

**Corollary 3.7.** Let  $\alpha, \beta > -1$  and  $x_0 \in (-1, 1)$  be fixed. Then for each  $\omega$  satisfying (1.5), (2.2) the condition  $(n \rightarrow \infty)$

$$(i) \quad \lambda_n^{\alpha\beta}(x_0) \omega(1/n) = o(1)$$

is sufficient for

$$(ii) \quad |(L_n^{\alpha\beta} f)(x_0) - f(x_0)| = o_f(1)$$

for each  $f \in C[-1, 1]$  with  $\omega_1(t, f; C[-1, 1]) = o_f(\omega(t))$ .

On the other hand, (ii) necessarily implies

$$(iii) \quad \liminf_{n \rightarrow \infty} \lambda_n^{\alpha\beta}(x_0) \omega(1/n) = 0.$$

**Proof** (i)  $\Rightarrow$  (ii) follows by the estimate (cf. [20, p. 112, 389f])

$$(3.10) \quad |(L_n^{\alpha\beta} f)(x_0) - f(x_0)| \leq C \lambda_n^{\alpha\beta}(x_0) \omega_1(1/n, f; C[-1, 1]),$$

where the modulus of continuity of  $f \in C[-1, 1]$  is defined by

$$\omega_1(t, f; C[-1, 1]) := \sup\{|f(x) - f(y)|; -1 \leq x, y \leq 1, |x - y| \leq t\}.$$

For the necessity of (iii) set

$$X = C[-1, 1], Y = \mathbf{C}, U_n f = (L_n^{\alpha\beta} f)(x_0), Uf = f(x_0),$$

$$T_n f = \omega_1(d_n, f; C[-1, 1]), d_n := \min_{1 \leq k \leq n-1} (x_{k+1, n}^{\alpha\beta} - x_{k, n}^{\alpha\beta}).$$

In order to construct elements  $g_n$ , choose  $f_n \in X$  such that

$$\|f_n\|_X = 1, |U_n f_n| \geq \frac{1}{2} \|U_n\|_{[X, Y]} = \frac{1}{2} \lambda_n^{\alpha\beta}(x_0),$$

and let  $h$  be an infinitely often differentiable function on  $\mathbb{R}$  with (cf. [5; 6, p. 87ff])

$$(3.11) \quad 0 \leq h(u) \leq 1, \quad h(u) = \begin{cases} 0, & u \leq 0 \\ 1, & u \geq 1. \end{cases}$$

Setting

$$g_n(u) := \begin{cases} f_n(x_{j, n}^{\alpha\beta}) + [f(x_{j+1, n}^{\alpha\beta}) - f_n(x_{j, n}^{\alpha\beta})] h\left(\frac{u - x_{j, n}^{\alpha\beta}}{d_n}\right) & \text{for } x_{j, n}^{\alpha\beta} \leq u \leq x_{j+1, n}^{\alpha\beta}, 1 \leq j \leq n-1 \\ f_n(x_{1, n}^{\alpha\beta}) & \text{for } -1 \leq u \leq x_{1, n}^{\alpha\beta} \\ f_n(x_{n, n}^{\alpha\beta}) & \text{for } x_{n, n}^{\alpha\beta} \leq u \leq 1, \end{cases}$$

$g_n \in C[-1, 1]$  is infinitely often differentiable, satisfying

$$\|g_n\|_C \leq 1, \|g'_n\|_C \leq \|h'\|_C / d_n.$$

Since  $U_n g_n = U_n f_n$ , conditions (2.4–6) follow with  $\varphi_n = d_n$ , and thus (2.8) (note that (2.3) is not needed), i.e.,

$$\lambda_n^{\alpha\beta}(x_0) \omega(d_n) = o(1).$$

Moreover, in view of (3.9) and  $d_n \sim n^{-2}$  (cf. [29, p. 238]) one has that for infinitely many  $n$

$$\omega(n^{-2}) \lambda_n^{\alpha\beta}(x_0) \leq C \omega(d_n) \log n = 2C \omega(d_n) \log n \leq C^* \omega(d_n) \lambda_n^{\alpha\beta}(x_0).$$

This completes the proof of (iii).

With the aid of quantitative condensation principles as given in [7] one may

establish equivalence assertions not only for a fixed  $x_0 \in (-1, 1)$  but also for all  $x$  in a dense set of second category in  $[-1, 1]$  (for relevant results in a concrete setting, but with much stronger negative assertions than those available via the present methods, see e.g. [25; 35]). However, let us also point out that the present analysis is not suitable for treating divergence phenomena on sets of full measure, that is, divergence (almost) everywhere.

At this stage some general historical comments may be in order. In fact, Lagrange interpolation is one of those areas where a rich variety of negative results is known. In this connection the material of Section 3.3, 4 just indicates how to recover (and extend) results of a uniform or pointwise (i. e., at a fixed, prescribed point) nature via the unified approach of Section 2. Apart from the literature already cited, let us mention work of Erdős-Turan (cf. [10]) which was followed up in a number of papers of Kis, Szabados, Vértesi (cf. [12; 13; 34]) and of Privalov (cf. [24; 26]). Note that this collection again reflects our particular interest in those negative results which can be completed to an equivalence assertion via a suitable direct estimate. In fact, the present paper, which actually originates from the material of Section 3.6, could also be considered as a (extended) realization of assertions envisaged by Losinskii in a number of Doklady notes (cf. [15-17]) which appeared 1948-1953 without any indication of proofs (see also the relevant comments in the Mathematical Reviews).

### 3.5 Interpolatory Quadrature Procedures at Jacobi Knots

For  $\alpha, \beta > -1$  and  $f \in C[-1, 1]$  consider the quadrature formula

$$Q_n^{\alpha\beta} f := \sum_{k=1}^n f(x_{kn}^{\alpha\beta}) A_{kn}^{\alpha\beta}, A_{kn}^{\alpha\beta} := \int_{-1}^1 l_{kn}^{\alpha\beta}(u) du,$$

for the approximate calculation of  $Qf := \int_{-1}^1 f(u) du$ . According to [29, p. 355ff], the sequence of operator norms

$$q_n^{\alpha\beta} := \|Q_n^{\alpha\beta}\|_{[C[-1,1], C]} = \sum_{k=1}^n |A_{kn}^{\alpha\beta}|$$

is bounded for  $-1 < \alpha, \beta \leq 3/2$ . In fact, the proof given there shows that  $q_n^{\alpha\beta}$  behaves asymptotically like  $n^{\gamma-3/2}$  if  $\gamma := \max\{\alpha, \beta\} > 3/2$ .

**Corollary 3.8.** Let  $\alpha, \beta > -1$  and  $\gamma := \max\{\alpha, \beta\} > 3/2$ . For each  $\omega$  satisfying (1.5), (2.2)  $<$  (1.5)  $>$  and each positive function  $\psi$  on  $(0, \infty)$  with (3.6) the following assertions are equivalent ( $n \rightarrow \infty, t \rightarrow 0+$ ):

- (i)  $q_n^{\alpha\beta} \omega(1/n) = o(\psi(n)) \quad \langle \dots = O(\psi(n)) \rangle,$
- (ii)  $|Q_n^{\alpha\beta} f - Qf| = o_f(\psi(n)) \quad \langle \dots = O_f(\psi(n)) \rangle$

for each  $f \in C[-1, 1]$  with  $\omega_1(t, f; C[-1, 1]) = O_f(\omega(t))$ .

**Proof** Let  $h$  denote an infinitely often differentiable function on  $\mathbb{R}$  satisfying

(3.11). For fixed  $0 < r < 1$  let  $n \in \mathbb{N}$  be such that  $|x_{1n}^{\alpha\beta}|, |x_{nn}^{\alpha\beta}| > r$ , and set (cf. [33])

$$g_n(u) := \begin{cases} s_{jn} + (s_{j+1,n} - s_{jn})h\left(\frac{u - x_{jn}^{\alpha\beta}}{\Delta_n}\right) & \text{for } x_{jn}^{\alpha\beta} \leq u \leq x_{j+1,n}^{\alpha\beta}, 1 \leq j \leq n-1 \\ 0 & \text{for } -1 \leq u \leq x_{1n}^{\alpha\beta}, x_{nn}^{\alpha\beta} \leq u \leq 1, \end{cases}$$

$$\Delta_n := \min_{|x_{jn}^{\alpha\beta}| \leq r} \{x_{jn}^{\alpha\beta} - x_{j-1,n}^{\alpha\beta}, x_{j+1,n}^{\alpha\beta} - x_{jn}^{\alpha\beta}\},$$

$$s_{jn} := \begin{cases} \operatorname{sgn} A_{jn}^{\alpha\beta}, & |x_{jn}^{\alpha\beta}| \leq r \\ 0, & \text{elsewhere.} \end{cases}$$

Then  $g_n$  is infinitely often differentiable with

$$(3.12) \quad \|g_n\|_C \leq 1, \quad \|g'_n\|_C \leq 2\|h'\|_C/\Delta_n \leq Cn,$$

since  $\Delta_n \sim 1/n$  (cf. [29, p. 238]). Now apply Theorem 2.1 (2.2) to

$$X = C[-1, 1], \quad Y = \mathbb{C}, \quad U_n = Q_n^{\alpha\beta}, \quad U = Q,$$

$$T_n f = \omega_1(1/n, f; C[-1, 1]).$$

Since  $Q_n^{\alpha\beta} p = Qp$  for each  $P \in \mathcal{P}_{n-1}$ , thus (2.20), condition (2.3) is a consequence of (2.22) and the classical Jackson theorem (cf. (3.10)), i. e.,

$$|U_n f - Uf| \leq Cq_n^{\alpha\beta} E(f, \mathcal{P}_{n-1}) \leq Cq_n^{\alpha\beta} |T_n f|,$$

whereas (2.4, 5) with  $\varphi_n = 1/n$  follow by (3.12) (cf. (3.3)). Concerning (2.6), one has (cf. [29, p. 358f])

$$q_n^{\alpha\beta} \sim \sum_{|x_{kn}^{\alpha\beta}| \leq r} |A_{kn}^{\alpha\beta}| = Q_n^{\alpha\beta} g_n. \quad \blacksquare$$

For material related to Corollary 3.8 see [38; 33].

### 3.6 Multipliers of Strong Convergence

For a Banach space  $X$  let  $\{p_k\}_{k \in \mathbb{P}} \subset [X]$  ( $\mathbb{P}$  = set of nonnegative integers) be a total sequence of mutually orthogonal projections, i. e.,  $p_j p_k = \delta_{jk} p_k$  for all  $j, k \in \mathbb{P}$ , and  $p_k f = 0$  for all  $k \in \mathbb{P}$  implies  $f = 0$ . Moreover, assume that this sequence is complete and regular, i. e., the set of polynomials

$$\Pi := \bigcup_{n=0}^{\infty} \Pi_n, \quad \Pi_n := \operatorname{span} \left( \bigcup_{k=0}^n p_k(x) \right),$$

is dense in  $X$ , and there exists some  $\alpha \geq 0$  such that the sequence of the operator norms of the Cesàro- $(C, \alpha)$ -means

$$(C, \alpha)_n f := \sum_{k=0}^n (A_{n-k}^\alpha / A_n^\alpha) p_k f, \quad A_n^\alpha := \binom{n+\alpha}{n},$$

is bounded. Let  $\lambda$  be an infinitely often differentiable function satisfying (3.7). Then for the de la Vallée Poussin means  $V_n f := \sum_{k=0}^{2n} \lambda(k/n) p_k f$  one has (cf. [21, 22])

$$(3.13) \quad \|V_n\|_{[X]} \leq C, \quad V_n f \in \Pi_{2n}, \quad V_n p_n = p_n \quad \text{for } p_n \in \Pi_n.$$

A sequence  $\tau := \{\tau_k\}_{k \in \mathbb{P}} \subset \mathbb{C}$  is called a multiplier, thus  $\tau \in M(X)$ , if for each  $f \in X$  there exists  $f^r \in X$  such that  $p_k f^r = \tau_k p_k f$  for all  $k \in \mathbb{P}$ . Since  $f^r$  is uniquely determined

by  $f$ , the operator  $T^\tau$  given by  $T^\tau f := f^\tau$  is well-defined, in fact belongs to  $[X]$ . Moreover,  $M(X)$  becomes a Banach algebra under the norm  $\|\tau\|_M := \|T^\tau\|_{[X]}$ . In these terms,  $\tau \in M(X)$  is called a multiplier of strong convergence for a subset  $A \subset X$  if for each  $f \in A$

$$\lim_{n \rightarrow \infty} \|T^{\tau(n)} f - T^\tau f\|_X = 0,$$

where the truncated kernel sequence  $\tau(n)$  is defined for each  $n \in P$  by

$$\tau(n)_k := \begin{cases} \tau_k, & 0 \leq k \leq n \\ 0, & k > n. \end{cases}$$

**Corollary 3.9.** Let  $\{\varepsilon_n\}$ , subject to (2.1), and  $\{\psi_n\}$  satisfy (2.24). The following assertions are equivalent for  $\tau \in M(X)$ :

- (i)  $\|\tau(n)\|_M \varepsilon_n = o(\psi_n) \quad \langle \cdots = O(\psi_n) \rangle,$
- (ii)  $\|T^{\tau(n)} f - T^\tau f\|_X = o_f(\psi_n) \quad \langle \cdots = O_f(\psi_n) \rangle$

for each  $f \in X$  with  $E(f, \Pi_n) = O_f(\varepsilon_n)$ .

**Proof** Let us check the conditions of Corollary 2.3 for  $X = Y$ ,  $U_n = T^{\tau(n)}$ ,  $U = T^\tau$ . Obviously,

$$U_n V_n = U_n, \quad U_n P_n = U P_n \quad \text{for } P_n \in \Pi_n,$$

thus (2.20, 23) (see (3.13)). Concerning (2.21), let  $f \in X$  with  $\|f\|_X = 1$  be such that  $\|\tau\|_M \leq 2\|T^\tau f\|_X$ . Since  $\Pi$  is dense in  $X$ , there exists  $p_n \in \Pi_n$  with  $\|f - p_n\|_X \leq 1/4$  so that for  $m \geq n$

$$\|\tau\|_M \leq 2\|\tau\|_M \|f - p_n\|_X + 2\|\tau(m)\|_M \|p_n\|_X,$$

thus  $\|\tau\|_M \leq 5\|\tau(m)\|_M$ . ■

Let us consider the particular case of one-dimensional trigonometric expansions in  $X = C_{2\pi}$ , thus ( $k \in \mathbb{N}$ , cf. (1.1))

$$(p_0 f)(x) = f^\wedge(0), \quad 2(p_k f)(x) = f^\wedge(k) e^{ikx} + f^\wedge(-k) e^{-ikx}.$$

Obviously, this system is mutually orthogonal, total, fundamental, and regular (take, e. g.,  $\alpha = 1$  and Fejér's theorem) in  $C_{2\pi}$ . Therefore, since for  $\tau_k = 1$ ,  $k \in P$ , the operator  $T^{\tau(n)}$  is the partial sum operator (1.2), Corollary 3.9 first of all reestablishes Corollary 3.2. Moreover,

$$\|\tau(n)\|_{M(C_{2\pi})} = \|D_n^\tau\|_1 := \frac{1}{2\pi} \int_{-\pi}^{\pi} \left| \sum_{k=-n}^n \tau_{|k|} e^{iku} \right| du$$

so that condition (i) of Corollary 3.9 takes on the form  $\|D_n^\tau\|_1 \varepsilon_n = o(\psi_n)$ . Of course, the characterization of smoothness in Corollary 3.9 (ii) via the functional of best approximation can now be replaced by ordinary Lipschitz conditions if one applies Theorem 2.1 directly to the present situation with  $T_n f = \omega_1(1/n, f; C_{2\pi})$ . In fact, for the verification of the conditions one may proceed as for Corollary 3.1 (cf. (3.2, 3)) to obtain for  $\psi_n = 1$ ,  $n \in \mathbb{N}$ :

**Corollary 3.10.** For  $\omega$  satisfying (1.5), (2.2) the condition  $\|D_n^\tau\|_1 \omega(1/n) = o(1)$

is necessary and sufficient for  $\tau \in M(C_{2\pi})$  to be a multiplier of uniform convergence for the Lipschitz class  $\omega_1(t, f; C_{2\pi}) = O_f(\omega(t))$ .

If, in an obvious terminology, one applies Theorem 2.1 to  $X = L_{2\pi}^1$ ,  $Y = C_{2\pi}$ , then in view of  $\|\tau(n)\|_{M(L_{2\pi}^1, C_{2\pi})} = \|D_n^\tau\|_C$

**Corollary 3.11.** For  $\omega$  satisfying (1.5), (2.2) the condition  $\|D_n^\tau\|_C \omega(1/n) = o(1)$  is necessary and sufficient for  $\tau \in M(L_{2\pi}^1, C_{2\pi})$  to be a multiplier of uniform convergence for the Lipschitz class  $\omega_1(t, f; L_{2\pi}^1) = O_f(\omega(t))$ .

Corollary 3.10 and 3.11 are due to Teljakovskii [30] and Pochuev [23], respectively. As already mentioned in Section 3.4, it was their work which mainly influenced the development of the quantitative uniform boundedness principles as outlined in Section 2. In fact, the results of Teljakovskii and Pochuev were first extended in [18, 19] from the particular situation of  $2\pi$ -periodic functions and one-dimensional trigonometric expansions to regular biorthogonal systems in Banach spaces (cf. Corollary 3.9). This in turn paved the way for the general approach given in [4-6; 9] whose main features were reproduced in Section 2 and which in particular does not need any orthogonal structure or projection properties.

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