

## 用 Walsh-Fourier 级数的( $c, \beta$ )平均来逼近函数的问题\*

徐 前 方

(杭州大学)

设  $p \geq 2$  是固定的整数。 $x \in [0, 1]$  的  $p$  进表示是  $x = (0.x_1x_2\cdots x_n\cdots)$ , 其中  $x_k \in \{0, 1, \dots, p-1\}$ ,  $k \in \mathbb{N} = \{1, 2, \dots\}$ . 并且约定对  $p$  进有理点取有限表示. 对任意非负整数  $k \geq 0$ , 写  $k = \sum_{j=0}^n k_j p^j$ ,  $k_j \in \{0, 1, \dots, p-1\}$ . 设  $\omega = \exp\left(\frac{2\pi i}{p}\right)$ , 则  $p$  进的 Walsh 函数<sup>[1]</sup>定义为

$$W_k(x) = \omega^{\sum_{j=0}^n x_j s_j k_j}.$$

周期为 1 的  $L$  可积函数  $f(x)$  的  $p$  进 Walsh-Fourier 级数简记为  $W_p FS$ . 用  $\sigma_k^{(\beta)}(f; x)$  表示  $f(x)$  的  $W_p FS$  的  $(c, \beta)$  ( $\beta > 0$ ) 平均. 本文讨论用  $\sigma_k^{(\beta)}(f; x)$  去逼近  $L^q(0, 1)$  ( $1 \leq q \leq \infty$ ) 中函数的问题. 得到的主要结果是

**定理** 设  $f(x) \in L^q(0, 1)$ ,  $1 \leq q \leq \infty$ ,  $\omega^{(q)}(f; \delta)$  是  $f(x)$  在  $L^q(0, 1)$  中的  $W$  连续模(即  $\omega^{(q)}(f; \delta) = \sup_{0 \leq h \leq \delta} \left\{ \left[ \int_0^1 |f(x+h) - f(x)|^q dx \right]^{\frac{1}{q}} \right\}$ ), 则对任意的  $\beta > 0$ ,  $f(x)$  的  $W_p FS$  的  $(c, \beta)$  平均  $\sigma_k^{(\beta)}(f; x)$  满足

$$\|\sigma_k^{(\beta)}(f; x) - f(x)\|_{L^q} \leq C \left( \frac{1}{p^n} \right) \sum_{j=0}^n p^j \omega^{(q)} \left( f; \frac{1}{p^j} \right), \quad (1)$$

其中非负整数  $n$  由关系  $p^n < k \leq p^{n+1}$  确定,  $C$  是与  $k$  和  $f$  无关的常数.

记  $I_{n, k} = I_{n, k}(p) = \left( \frac{k}{p^n}, \frac{k+1}{p^n} \right)$ ,  $0 \leq k \leq p^n - 1$ ,  $n \in \mathbb{P} \{0, 1, \dots\}$ . 用

$$D_k(t) = \sum_{r=0}^{k-1} W_r(t); \quad K_k(t) = \frac{1}{k} \sum_{r=1}^k D_r(t); \quad k \in \mathbb{N}$$
$$K_k^{(\beta)}(t) = \sum_{r=1}^k \frac{A_{k-r}^{(\beta-1)} D_r(t)}{A_{k-1}^{(\beta)}} = \sum_{r=0}^{k-1} \frac{A_{k-r-1}^{(\beta)} \overline{W_r(t)}}{A_{k-1}^{(\beta)}}, \text{ 其中 } A_k^{(\beta)} = \frac{\Gamma(\beta+k+1)}{\Gamma(\beta+1)\Gamma(k+1)} (\beta > 0);$$

分别表示 Walsh 函数的 Dirichlet 核, Fejér 核以及  $(c, \beta)$  核. 我们有

引理 1

$$D_{p^n}(t) = \begin{cases} p^n, & t \in I_{n, 0} \\ 0, & t \in \{(0, 1) - I_{n, 0}\} \end{cases} \quad (2)$$

$$\int_0^1 |K_k(t)| dt \leq C \quad k \in \mathbb{N} \quad (3)$$

\*1982年2月11日收到.

其中  $C$  是绝对常数。以下文中常数  $C$  在不同式中可取不同值。

这个引理是已知的<sup>[2]</sup>。由此知  $\overline{D_{p^n}(t)} = D_{p^n}(t)$ ,

$$\int_0^1 |\overline{K_k(t)}| dt < C. \quad (3)'$$

**引理2** 对任意  $\beta > 0$ , 有

$$\int_0^1 |K_k^{(\beta)}(t)| dt < C, \quad k \in N. \quad (4)$$

**证明** 令  $k = \sum_{r=1}^m a_r p^{n_r}$ ,  $n_1 > n_2 > \dots > n_m \geq 0$ ,  $0 < a_r < p$ . 记  $k^{(r-1)} = k^{(r)} + a_r p^{n_r}$ ,  $k^{(0)} = k$ 。利用 Walsh 函数的性质, 有

$$\begin{aligned} A_{k-1}^{(\beta)} K_k^{(\beta)}(t) &= \sum_{r=0}^{k-1} A_{k-r-1}^{(\beta)} \overline{W_r(t)} = \sum_{v=1}^m \sum_{r=0}^{a_v p^{n_v}-1} A_{k^{(v-1)}-r-1}^{(\beta)} W_{k-k^{(v-1)}+1}(t) \\ &= \sum_{v=1}^m \overline{W_{k-k^{(v-1)}}(t)} \sum_{r=0}^{a_v p^{n_v}-1} A_{k^{(v-1)}-a_v p^{n_v}+r}^{(\beta)} \overline{W_{a_v p^{n_v}-r-1}(t)}, \end{aligned}$$

注意到当  $0 \leq r < a_v p^{n_v}$  时, 有

$$\overline{W_{a_v p^{n_v}-r-1}(t)} = \overline{W_{a_v p^{n_v}-1}(t)} W_r(t), \quad (5)$$

于是

$$A_{k-1}^{(\beta)} K_k^{(\beta)}(t) = \sum_{v=1}^m \overline{W_{k-k^{(v-1)}}(t)} \sum_{r=1}^{a_v p^{n_v}-1} A_{k^{(v)}+r}^{(\beta)} W_r(t)$$

对上式作 Abel 变换两次, 并用等式  $A_{n+1}^{(\beta)} - A_n^{(\beta)} = A_{n+1}^{(\beta-1)}$ , 得到

$$\begin{aligned} A_{k-1}^{(\beta)} K_k^{(\beta)}(t) &= \sum_{v=1}^m \overline{W_{k-k^{(v-1)}}(t)} \left[ \sum_{r=1}^{a_v p^{n_v}-2} r \overline{K_r(t)} A_{k^{(v)}+r+1}^{(\beta-2)} \right. \\ &\quad \left. - (a_v p^{n_v} - 1) \overline{K_{a_v p^{n_v}-1}(t)} A_{k^{(v-1)}-1}^{(\beta-1)} + A_{k^{(v-1)}-1}^{(\beta)} \overline{D_{a_v p^{n_v}}(t)} \right]. \end{aligned} \quad (6)$$

容易验算

$$\begin{aligned} D_{a_v p^{n_v}+r}(t) &= D_{p^{n_v}}(t) [1 + \overline{W_{p^{n_v}}(t)} + \overline{W_{2p^{n_v}}(t)} + \dots + \overline{W_{(a_v-1)p^{n_v}}(t)}] \\ &\quad + \overline{W_{a_v p^{n_v}}(t)} D_r(t) = D_{p^{n_v}}(t) R_v(t) + \overline{W_{a_v p^{n_v}}(t)} D_r(t), \end{aligned} \quad (7)$$

其中  $R_v(t) = 1 + \overline{W_{p^{n_v}}(t)} + \dots + \overline{W_{(a_v-1)p^{n_v}}(t)}$  满足

$$|R_v(t)| \leq a < p \quad (8)$$

由[5]知

$$|A_k^{(\beta)}| \leq C k^\beta. \quad (9)$$

于是用引理1, (8), (9)到(6)得

$$\begin{aligned} \int_0^1 |A_{k-1}^{(\beta)} K_k^{(\beta)}(t)| dt &\leq C \sum_{v=1}^m \left[ \sum_{r=1}^{a_v p^{n_v}-2} \frac{r}{(k^{(v)}+r+1)^{2-\beta}} \right. \\ &\quad \left. + (a_v p^{n_v} - 1) \frac{1}{(k^{(v-1)}-1)^{1-\beta}} + (k^{(v-1)}-1)^\beta \right] < C k^\beta, \end{aligned}$$

由此就可得到(4)式。引理2证毕。

**引理3** 设  $\beta > 0$ ,  $k = ap^n + k'$ ,  $0 < a < p$ ,  $1 \leq k' \leq p^n$ ,  $0 \leq j \leq n-1$ , 则

$$\left. \begin{aligned} & \sum_{r=1}^{a(p-1)p^j-1} r \left| A_{k-ap^j+r+1}^{(\beta-2)} \right| \leq Cp^{2j+n(\beta-2)}, \\ & \sum_{r=0}^{a(p-1)p^j-1} A_{k-ap^j-r}^{(\beta-1)} \leq Cp^{j+n(\beta-1)}, \\ & A_{k-ap^j}^{(\beta-1)} \leq Cp^{n(\beta-1)}, \end{aligned} \right\} n \in N \quad (10)$$

其中C是与k无关的常数。

**证明** 证明方法可看[3], 只须注意到  $p^n \leq k < p^{n+1}$  以及  $0 \leq j \leq n-2$  时,  $k-ap^{j+1} \geq a(p-1)p^{n-1}$  就行。

下面导出所需要的  $K_k^{(\beta)}(t)$  的适当的表示式。写  $k=ap^n+k'$ ,  $0 < a < p$ ,  $1 \leq k' \leq p^n$ ,  $n \in N$ , 则

$$\begin{aligned} A_{k'-1}^{(\beta)} K_k^{(\beta)}(t) &= \sum_{r=1}^{a(p^n+k')} A_{k'-1}^{(\beta-1)} D_r(t) = \sum_{j=0}^{n-1} \sum_{r=1}^{a(p-1)p^j-1} A_{k-ap^j-r}^{(\beta-1)} D_{ap^{j+r}}(t) \\ &+ A_{k-ap^n}^{(\beta-1)} D_{ap^n}(t) + \sum_{r=1}^{k'} A_{k-ap^{n-r}}^{(\beta-1)} D_{ap^{n+r}}(t) \\ &= \sum_{j=0}^{n-1} \sum_{r=0}^{a(p-1)p^j-1} A_{k-ap^j-r}^{(\beta-1)} [D_{ap^{j+r}}(t) - D_{ap^{j+1}}(t)] + \sum_{j=0}^{n-1} \sum_{r=0}^{a(p-1)p^j-1} A_{k-ap^{j-r}}^{(\beta-1)} D_{ap^{j+1}}(t) \\ &+ A_{k-ap^n}^{(\beta-1)} D_{ap^n}(t) + \sum_{r=1}^{k'} A_{k-ap^{n-r}}^{(\beta-1)} D_{ap^{n+r}}(t), \end{aligned}$$

由(5)式

$$\begin{aligned} D_{ap^{j+1}}(t) - D_{ap^{j+r}}(t) &= \overline{\sum_{s=r}^{a(p-1)p^j-1} W_{ap^{j+s}}(t)} = \overline{W_{ap^j}(t)} \overline{\sum_{s=r}^{a(p-1)p^j-1} W_s(t)} \\ &= \overline{W_{ap^j}(t)} \sum_{v=0}^{a(p-1)p^{j-1}-r} \overline{W_{a(p-1)p^{j-1-v}}(t)} = \overline{W_{ap^j}(t)} \overline{W_{a(p-1)p^{j-1}}(t)} \overline{D_{a(p-1)p^{j-r}}(t)}, \end{aligned} \quad (11)$$

利用(7), (11)以及  $A_k^{(\beta)} = \sum_{r=0}^k A_{k-r}^{(\beta-1)}$  得

$$\begin{aligned} A_{k'-1}^{(\beta)} K_k^{(\beta)}(t) &= - \sum_{j=0}^{n-1} \sum_{r=0}^{a(p-1)p^j-1} A_{k-ap^j-r}^{(\beta-1)} \overline{W_{ap^j}(t)} \overline{W_{a(p-1)p^{j-1}}(t)} \overline{D_{a(p-1)p^{j-r}}(t)} \\ &+ \sum_{j=0}^{n-1} \sum_{r=0}^{a(p-1)p^j-1} A_{k-ap^j-r}^{(\beta-1)} D_{ap^{j+1}}(t) R_{j+1}(t) + A_{k'}^{(\beta)} D_{ap^n}(t) R_n(t) + \overline{W_{ap^n}(t)} A_{k'-1}^{(\beta)} K_k^{(\beta)}(t). \end{aligned}$$

利用 Abel 变换

$$\begin{aligned} & \sum_{r=0}^{a(p-1)p^j-1} A_{k-ap^j-r}^{(\beta-1)} \overline{D_{a(p-1)p^{j-r}}(t)} = \sum_{r=1}^{a(p-1)p^j} A_{k-ap^{j+1}+r}^{(\beta-1)} \overline{D_r(t)} \\ &= - \sum_{r=1}^{a(p-1)p^j} r \overline{K_r(t)} A_{k-ap^{j+1}+r+1}^{(\beta-2)} + a(p-1)p^j \overline{K_{a(p-1)p^j}(t)} A_{k-ap^j}^{(\beta-1)}. \end{aligned}$$

于是

$$\begin{aligned} A_{k'-1}^{(\beta)} K_k^{(\beta)}(t) &= \sum_{j=0}^{n-1} \overline{W_{ap^j}(t)} \overline{W_{a(p-1)p^{j-1}}(t)} \sum_{r=1}^{a(p-1)p^j-1} r \overline{K_r(t)} A_{k-ap^{j+1}+r+1}^{(\beta-2)} \\ &- \sum_{j=0}^{n-1} \overline{W_{ap^j}(t)} \cdot \overline{W_{a(p-1)p^{j-1}}(t)} \cdot a(p-1)p^j \overline{K_{a(p-1)p^j}(t)} A_{k-ap^j}^{(\beta-1)} \\ &+ \sum_{j=0}^{n-1} \left( \sum_{r=0}^{a(p-1)p^j-1} A_{k-ap^j-r}^{(\beta-1)} \right) D_{ap^{j+1}}(t) R_{j+1}(t) + A_{k'}^{(\beta)} D_{ap^n}(t) R_n(t) + \overline{W_{ap^n}(t)} A_{k'-1}^{(\beta)} K_k^{(\beta)}(t). \end{aligned} \quad (12)$$

### 定理的证明

$$\|\sigma_k^{(\beta)}(f; x) - f(x)\|_{L^q} = \left\| \left| \int_0^1 K_k^{(\beta)}(t) [f(x \oplus t) - f(x)] dt \right| \right\|_{L^q}.$$

现将(12)中右边每项代入上式分别进行估计。记

$$Q_j(t) = W_{a(p-1)p^{j-1}}(t) \sum_{r=1}^{a(p-1)p^{j-1}} r K_r(t) A_{k-a(p-1)p^{j-1}+r+1}^{(\beta-\frac{1}{2})},$$

$$\text{由 (3)' 及 (10) 知 } \int_0^1 |Q_j(t)| dt \leq C p^{2j+n(\beta-2)}, \quad (13)$$

故

$$\begin{aligned} J_1 &= \left\{ \int_0^1 \left| \int_0^1 W_{ap^j}(t) Q_j(t) [f(x \oplus t) - f(x)] dt \right|^q dx \right\}^{\frac{1}{q}} \\ &= \left\{ \int_0^1 \left| \sum_{r=0}^{p^j-1} \int_{I_{j,r}} \overline{W_{ap^j}(t)} Q_j(t) [f(x \oplus t) - f(x)] dt \right|^q dx \right\}^{\frac{1}{q}}. \end{aligned}$$

$\overline{W_{ap^j}(t)}$  在  $I_{j,r}$  上取  $p$  个不同的值,  $\overline{W_{a(p-1)p^{j-1}}(t)}$  以及  $\overline{W_k(t)}$  ( $k < a(p-1)p^j - 1$ ) 在  $I_{j,r}$  上均取复常数值, 从而  $Q_j(t)$  在  $I_{j,r}$  上取复常数值, 记此值为  $Q_{j,r}$ , 则

$$J_1 = \left\{ \int_0^1 \left| \sum_{r=0}^{p^j-1} Q_{j,r} \int_{I_{j,r}} \overline{W_{ap^j}(t)} [f(x \oplus t) - f(x)] dt \right|^q dx \right\}^{\frac{1}{q}}.$$

令  $\int_{I_{j,r}} \overline{W_{ap^j}(t)} [f(x \oplus t) - f(x)] dt = S$ , 注意到  $t \in I_{j,r}$  时有  $t \oplus \frac{1}{p^{j+1}} \in I_{j,r}$  以及  $t \mapsto t \oplus \frac{1}{p^{j+1}}$  是

等测变换, 故

$$\begin{aligned} S &= \int_{I_{j,r}} \overline{W_{ap^j}(t \oplus \frac{1}{p^{j+1}})} [f(x \oplus t \oplus \frac{1}{p^{j+1}}) - f(x)] dt \\ &= \omega^{-a} \int_{I_{j,r}} \overline{W_{ap^j}(t)} [f(x \oplus t \oplus \frac{1}{p^{j+1}}) - f(x)] dt. \end{aligned}$$

从而

$$S = \frac{1}{1-\omega^a} \int_{I_{j,r}} \overline{W_{ap^j}(t)} [f(x \oplus t) - f(x \oplus t \oplus \frac{1}{p^{j+1}})] dt.$$

由此式和 Minkowski 不等式以及(13)得

$$\begin{aligned} J_1 &\leq \sum_{r=0}^{p^j-1} |Q_{j,r}| \left\{ \int_0^1 \left| \frac{1}{1-\omega^a} \int_{I_{j,r}} \overline{W_{ap^j}(t)} [f(x \oplus t) - f(x \oplus t \oplus \frac{1}{p^{j+1}})] dt \right|^q dx \right\}^{\frac{1}{q}} \\ &\leq \sum_{r=0}^{p^j-1} |Q_{j,r}| \cdot \left| \frac{1}{1-\omega^a} \right| \cdot \int_{I_{j,r}} \left\{ \int_0^1 |f(x \oplus t) - f(x \oplus t \oplus \frac{1}{p^{j+1}})|^q dx \right\}^{\frac{1}{q}} dt \\ &\leq \omega^{(q)}(f; \frac{1}{p^{j+1}}) \sum_{r=0}^{p^j-1} |Q_{j,r}| \cdot \left| \frac{1}{1-\omega^a} \right| \cdot \frac{1}{p^j} \\ &= \omega^{(q)}(f; \frac{1}{p^{j+1}}) \cdot \frac{1}{1-\omega^a} \cdot \int_0^1 |Q_j(t)| dt \leq C \omega^{(q)}(f; \frac{1}{p^{j+1}}) p^{2j+n(\beta-2)}. \end{aligned}$$

同理, 用(3)'得

$$J_2 = \left\{ \int_0^1 \left| \int_0^1 \overline{W_{ap^j}(t)} \overline{W_{a(p-1)p^{j-1}}(t)} \overline{K_{a(p-1)p^j}(t)} [f(x \oplus t) - f(x)] dt \right|^q dx \right\}^{\frac{1}{q}}$$

$$\leq \omega^{(q)}(f; \frac{1}{p^{j+1}}) \cdot \left| \frac{1}{1-\omega^q} \right| \cdot \int_0^1 |K_{\alpha(p-1)p, j}(t)| dt \leq C \omega^{(q)}(f; \frac{1}{p^{j+1}}).$$

根据(2), (8)式得

$$J_3 = \left\{ \int_0^1 \left| \int_0^1 D_{p,j+1}(t) R_{j+1}(t) [f(x \oplus t) - f(x)] dt \right|^q dx \right\}^{\frac{1}{q}} \leq C \omega^{(q)}(f; \frac{1}{p^{j+1}}),$$

$$J_4 = \left\{ \int_0^1 \left| \int_0^1 D_{p,n}(t) R_n(t) [f(x \oplus t) - f(x)] dt \right|^q dx \right\}^{\frac{1}{q}} \leq C \omega^{(q)}(f; \frac{1}{p^{n+1}}).$$

由(4)式得

$$J_5 = \left\{ \int_0^1 \left| \int_0^1 W_{\alpha p^n}(t) K_k^{(\beta)}(t) [f(x \oplus t) - f(x)] dt \right|^q dx \right\}^{\frac{1}{q}}$$

$$\leq \omega^{(q)}(f; \frac{1}{p^{n+1}}) \cdot \left| \frac{1}{1-\omega^q} \right| \cdot \int_0^1 |K_k^{(\beta)}(t)| dt \leq C \omega^{(q)}(f; \frac{1}{p^{n+1}}).$$

总合  $J_\mu (\mu = 1, \dots, 5)$ , 并利用  $A_{k-1}^{(\beta)} \geq Cp^{n\beta}$ , 则

$$\|\sigma_k^{(\beta)}(f; x) - f(x)\|_{L^q} = \left\{ \int_0^1 \left| \int_0^1 K_k^{(\beta)}(t) [f(x \oplus t) - f(x)] dt \right|^q dx \right\}^{\frac{1}{q}}$$

$$\leq C \sum_{j=0}^{n-1} p^{2j-2n} \omega^{(q)}(f; \frac{1}{p^{j+1}}) + C \sum_{j=0}^{n-1} p^{j-n} \omega^{(q)}(f; \frac{1}{p^{j+1}})$$

$$+ C \omega^{(q)}(f; \frac{1}{p^{n+1}}) \leq C \left( \frac{1}{p^n} \right) \sum_{j=0}^n p^j \cdot \omega^{(q)}(f; \frac{1}{p^j}).$$

定理证毕。

当  $p=2$  时, 定理在[3]中讨论过。

**推论1** 对任意  $\beta > 0$ ,  $f \in L^q(0, 1)$  ( $1 \leq q \leq \infty$ ),  $f(x)$  的  $W_p$ F3 的( $c, \beta$ )平均在  $L^q(0, 1)$  中按范数收敛于  $f(x)$ 。

显然此推论蕴含了[4]中定理3。

**推论2** 设  $f(x) \in Lip(\alpha; q)$  (即  $\omega^{(q)}(f; \delta) \leq C\delta^\alpha$ ), 则对任意  $\beta > 0$ ,

$$\|\sigma_k^{(\beta)}(f; x) - f(x)\|_{L^q} = \begin{cases} O(k^{-2}), & 0 < \alpha < 1 \\ O(\ln k/k), & \alpha = 1. \end{cases}$$

### 参 考 文 献

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