

An Extension of the Turan Inequality in

L_p -space for $0 < p < 1^*$

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1. Introduction

Denote by H_n the set of n -th algebraic polynomials all whose roots lie inside $[-1, 1]$, R_n is the class of algebraic polynomials of degree n which have only real roots, and R_n^* is the class of trigonometric polynomials of degree n having only real zeros. Let C be a positive absolute constant, which may be different in different places.

Theorem T. If $f(x) \in H_n$, then

$$\|f'\|_{L_p[-1, 1]} \geq C\sqrt{n} \|f\|_{L_p[-1, 1]} \quad (1 \leq p < \infty), \quad (1)$$

where $\|\cdot\|_{L_p[-1, 1]}$ is the L_p -norm on $[-1, 1]$,

In 1939, P. Turán^[1] proved the inequality (1) in L_∞ -norm, later, A. K. Varma^[2] Extended it to L_2 -space, and recently, in^[3], we obtained the similar result in L_p -space ($1 \leq p < \infty$).

Now we ask whether it is valid that $\int_{-1}^1 |f'(x)|^p dx \geq C\sqrt{n}^p \int_{-1}^1 |f(x)|^p dx$ for $f(x) \in H_n$ and $0 < p < 1$? This paper will give a positive answer to this question.

2. Some Lemmas

We first establish some lemmas. Let $-1 < x_1 < x_2 < \dots < x_s < 1$ be all roots of $f(x) \in H_n$, x_i a root of order l_i , a_i a maximum point of $|f(x)|$ in (x_i, x_{i+1}) , and $p \in (0, 1)$. In the following lemmas, we consider only the case of the interval (x_i, a_i) , but we must point out that there are similar results corresponding to the interval (a_i, x_{i+1}) .

Lemma 1. Let $l(x) = \sum_{k=1}^s \frac{l_k}{x - x_k}$.

(i) If $a_i - x_i \leq \frac{1}{\sqrt{n}}$, then there exists $\xi_i \in (x_i, a_i)$ satisfying $\xi_i \geq \frac{a_i + 2x_i}{3}$ such that

$$|l(x)| \geq \frac{2}{3}\sqrt{n}, \text{ for } x \in (x_i, \xi_i], \quad (2)$$
$$|l(x)| \leq \frac{2}{3}\sqrt{n}, \text{ for } x \in [\xi_i, a_i]. \quad (3)$$

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(ii) If $a_i - x_i > \frac{1}{\sqrt{n}}$, then there exists $\xi'_i \in (x_i, a_i)$ satisfying $\xi'_i \geq a_i - \frac{1}{2\sqrt{n}}$ such that

$$|l(x)| \geq \frac{\sqrt{n}}{8} \text{ for } x \in (x_i, \xi'_i], \quad (4)$$

$$|l(x)| \geq \frac{\sqrt{n}}{8} \text{ for } x \in [\xi'_i, a_i], \quad (5)$$

Proof. (i) Obviously, $l(x) = \frac{f'(x)}{f(x)}$, and $l(x)$ is continuous and decreasing in $(x_i, a_i]$, $\lim_{x \rightarrow x_{i,0}} l(x) = +\infty$, $l(a_i) = 0$, then there exists $\xi_i \in (x_i, a_i)$

such that $l(\xi_i) = \frac{2}{3}\sqrt{n}$, hence the monotonicity of $l(x)$ implies (2) and (3).

We prove that $\xi_i \geq \frac{a_i + 2x_i}{3}$ as follows.

$$\begin{aligned} \text{It is easily seen that } l(x) &= \int_x^{a_i} \sum_{k=1}^s \frac{l_k}{(t-x_k)^2} dt \text{ for } x \in (x_i, a_i], \text{ therefore} \\ l\left(\frac{a_i + 2x_i}{3}\right) &= \int_{\frac{a_i + 2x_i}{3}}^{a_i} \sum_{k=1}^s \frac{l_k}{(t-x_k)^2} dt \geq \int_{\frac{a_i + 2x_i}{3}}^{\frac{a_i + x_i}{2}} \frac{1}{(t-x_i)^2} dt \geq \frac{4}{(a_i - x_i)^2} \frac{a_i - x_i}{6} \\ &= \frac{2}{3} \frac{1}{a_i - x_i}, \text{ from } a_i - x_i < \frac{1}{\sqrt{n}} \text{ we get } l\left(\frac{a_i + 2x_i}{3}\right) > \frac{2}{3}\sqrt{n}, \text{ that is } \xi_i \geq \frac{a_i + 2x_i}{3}. \end{aligned}$$

(ii) Similarly, there exists such $\xi'_i \in (x_i, a_i)$ that (4) and (5) are valid, besides $|l(a_i - \frac{1}{2\sqrt{n}})| = |l(a_i - \frac{1}{2\sqrt{n}}) - l(a_i)| = \sum_{k=1}^s \frac{l_k}{(\delta - x_k)^2} \frac{1}{2\sqrt{n}}$, where $\delta \in [a_i - \frac{1}{2\sqrt{n}}, a_i]$.

From $\sum_{k=1}^s \frac{l_k}{(\delta - x_k)^2} \geq \frac{n}{4}$ we get $l(a_i - \frac{1}{2\sqrt{n}}) \geq \frac{\sqrt{n}}{8}$, and it follows that $\xi'_i \geq a_i - \frac{1}{2\sqrt{n}}$

from the monotonicity of $l(x)$ in $(x_i, a_i]$. ■

Lemma 2. Suppose that ξ_i, ξ'_i are numbers in Lemma 1, then the following inequalities are true:

$$l\left(\frac{2a_i + \xi_i}{3}\right) \geq \frac{1}{19} l(\xi_i), \quad (6)$$

$$l\left(\frac{2a_i + \xi'_i}{3}\right) \geq \frac{1}{9} l(\xi'_i). \quad (7)$$

Proof. We first prove (6). Assume that $l(\xi_i) - l\left(\frac{2a_i + \xi_i}{3}\right) = \frac{2}{3}(a_i - \xi_i) \cdot \sum_{k=1}^s \frac{l_k}{(\sigma - x_k)^2}$, where $\sigma \in [\xi_i, \frac{2a_i + \xi_i}{3}]$; and $l\left(\frac{2a_i + \xi_i}{3}\right) = \frac{a_i - \xi_i}{3} \cdot \sum_{k=1}^s \frac{l_k}{(\eta - x_k)^2}$ where $\eta \in [\frac{2a_i + \xi_i}{3}, a_i]$. For $k \leq i$, it follows from Lemma 1 that $\sigma - x_k \geq \xi_i - x_i \geq \frac{1}{3}(a_i - x_i)$. Then $\eta - x_k = \eta - \sigma + \sigma - x_k \leq a_i - \xi_i + \sigma - x_k \leq 3(\sigma - x_k)$. For $k > i$, it is clear that $|\eta - x_k| \leq |\sigma - x_k|$. Hence $l\left(\frac{2a_i + \xi_i}{3}\right) = \frac{a_i - \xi_i}{3} \sum_{k=1}^s \frac{l_k}{(\sigma - x_k)^2} \frac{(\sigma - x_k)^2}{(\eta - x_k)^2} \geq \frac{a_i - \xi_i}{3} \cdot \sum_{k=1}^s \frac{l_k}{(\sigma - x_k)^2} \frac{1}{9} = \frac{1}{18} (l(\xi_i) - l\left(\frac{2a_i + \xi_i}{3}\right))$, that is $l\left(\frac{2a_i + \xi_i}{3}\right) \geq \frac{1}{19} l(\xi_i)$.

The proof of the inequality (7) is similar to that of (6). ■

Lemma 3. If $a_i - x_i \leq \frac{1}{\sqrt{n}}$, then $\int_{x_i}^{a_i} |f'(x)|^p dx \geq C\sqrt{n}^p \int_{x_i}^{a_i} |f(x)|^p dx$.

Proof. We consider the following two cases.

Case 1. $|f(\xi_i)| \geq \frac{1}{2}|f(a_i)|$. Taking into account that $\int_{x_i}^{a_i} |f'(x)|^p dx$

$$= \int_{x_i}^{a_i} |f(x)|^p |l(x)|^p dx, \text{ and from Lemma 1 and Lemma 2 we get}$$

$$\int_{x_i}^{a_i} |f'(x)|^p dx \geq \left(\frac{2\sqrt{n}}{57}\right)^p \int_{x_i}^{\frac{2a_i + \xi_i}{3}} |f(x)|^p dx. \quad (8)$$

Since $|f(\xi_i)| \geq \frac{1}{2}|f(a_i)|$, $\int_{\xi_i}^{\frac{2a_i + \xi_i}{3}} |f(x)|^p dx \geq \left(\frac{1}{2}\right)^p \int_{\frac{2a_i + \xi_i}{3}}^{a_i} |f(x)|^p dx$.

Combine it with (8), we have

$$\int_{x_i}^{a_i} |f'(x)|^p dx \geq \frac{1}{2} \left(\frac{1}{57}\right)^p \sqrt{n}^p \int_{x_i}^{a_i} |f(x)|^p dx.$$

Case 2. $|f(\xi_i)| < \frac{1}{2}|f(a_i)|$. From (3) we get

$$|f'(x)| = |f(x)| |l(x)| \leq |f(a_i)| \frac{2}{3}\sqrt{n}$$

for $x \in [\xi_i, a_i]$. Hence

$$\begin{aligned} \int_{x_i}^{a_i} |f'(x)|^p dx &\geq \int_{\xi_i}^{a_i} |f'(x)|^{p-1} |f'(x)| dx \geq \left(\frac{2}{3}\sqrt{n}\right)^{p-1} |f(a_i)|^{p-1} \int_{\xi_i}^{a_i} |f'(x)| dx \\ &= \left(\frac{2}{3}\sqrt{n}\right)^{p-1} |f(a_i)|^{p-1} |f(a_i) - f(\xi_i)|. \end{aligned}$$

Combining it with $|f(\xi_i)| < \frac{1}{2}|f(a_i)|$ and $a_i - x_i \leq \frac{1}{\sqrt{n}}$, we have

$$\int_{x_i}^{a_i} |f'(x)|^p dx \geq \frac{1}{2} \left(\frac{2}{3}\right)^{p-1} \sqrt{n}^{p-1} |f(a_i)|^p \geq \frac{1}{2} \left(\frac{2}{3}\right)^{p-1} \sqrt{n}^p \int_{x_i}^{a_i} |f(x)|^p dx. \quad \blacksquare$$

Lemma 4. If $a_i - x_i > \frac{1}{\sqrt{n}}$, then $\int_{x_i}^{a_i} |f'(x)|^p dx \geq C\sqrt{n}^p \int_{x_i}^{a_i} |f(x)|^p dx$.

Proof. Obviously $\int_{x_i}^{a_i} |f'(x)|^p dx \geq \left(\frac{\sqrt{n}}{72}\right)^p \int_{x_i}^{\frac{2a_i + \xi_i}{3}} |f(x)|^p dx$, similar to the proof of Lemma 3, we have $\int_{x_i}^{a_i} |f'(x)|^p dx \geq C\sqrt{n}^p \int_{\xi_i}^{a_i} |f(x)|^p dx$, thus we complete the proof of Lemma 4. ■

3. Main Results.

Theorem 1. If $f(x) \in H_n$, then

$$\int_{-1}^1 |f'(x)|^p dx \geq C\sqrt{n}^p \int_{-1}^1 |f(x)|^p dx \quad (0 < p < 1).$$

Proof. From $\int_{-1}^{x_i} |f'(x)|^p dx = \int_{-1}^{x_i} |f(x)|^p |l(x)|^p dx$, we get easily that

$$\int_{-1}^{x_1} |f'(x)|^p dx \geq \left(\frac{n}{2}\right)^p \int_{-1}^{x_1} |f(x)|^p dx. \quad (9)$$

Similarly,

$$\int_{x_i}^1 |f'(x)|^p dx \geq \left(\frac{n}{2}\right)^p \int_{x_i}^1 |f(x)|^p dx. \quad (10)$$

Taking into account Lemma 3 and Lemma 4, it follows that

$$\int_{x_i}^{a_i} |f'(x)|^p dx \geq C\sqrt{n}^p \int_{x_i}^{a_i} |f(x)|^p dx. \quad (11)$$

Similarly,

$$\int_{a_i}^{x_{i+1}} |f'(x)|^p dx \geq C\sqrt{n}^p \int_{a_i}^{x_{i+1}} |f(x)|^p dx. \quad (12)$$

We write

$$\begin{aligned} \int_{-1}^1 |f'(x)|^p dx &= \int_{-1}^{x_1} |f'(x)|^p dx + \int_{x_1}^1 |f'(x)|^p dx + \sum_{k=1}^{s-1} \int_{x_k}^{a_k} |f'(x)|^p dx \\ &\quad + \sum_{k=1}^{s-1} \int_{a_k}^{x_{k+1}} |f'(x)|^p dx, \end{aligned}$$

and from (9)–(12) we get $\int_{-1}^1 |f'(x)|^p dx \geq C\sqrt{n}^p \int_{-1}^1 |f(x)|^p dx$.

Taking $f(x) = (1-x^2)^k$, $k = \lceil \frac{n}{2} \rceil$, we have

$$\int_{-1}^1 |f(x)|^p dx \sim Cn^{\frac{1}{2}}, \quad \int_{-1}^1 |f'(x)|^p dx \sim Cn^{\frac{p-1}{2}},$$

and from this we easily see that the order of n in Theorem 1 cannot be improved. Applying similar means, the result above can be slightly extended to

Theorem 2. If $f(x) \in R_n$ has at most k roots lying outside $[-1, 1]$, then $\int_{-1}^1 |f'(x)|^p dx \geq C_k \sqrt{n}^p \int_{-1}^1 |f(x)|^p dx$, where C_k is a positive constant only depending on k , $0 < p < 1$.

For periodic case, we have the similar result:

Theorem 3. If $t(x) \in R_n^*$, then

$$\int_{-\pi}^{\pi} |t'(x)|^p dx \geq C\sqrt{n}^p \int_{-\pi}^{\pi} |t(x)|^p dx \quad (0 < p < 1).$$

Taking $t(x) = (\cos \frac{x}{2})^{2n}$, it is easily seen that the order of n of the above expression cannot be improved.

References

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