

Two Examples on Continuity of Multi-Value Mappings*

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Definition 1^[1] Suppose X and Y are two Hausdorff topological spaces, $F: X \rightarrow 2^Y$, $\forall x \in X$, $F(x) \neq \emptyset$, $x_0 \in X$, we say F is upper semicontinuous (*u.s.c*) at x_0 , if for any open set G of Y and $G \supset F(x_0)$, there is a neighbourhood $N(x_0)$ of x_0 such that $G \supset F(x)$ for all $x \in N(x_0)$. If $\forall x_0 \in X$, F is *u.s.c* at x_0 , we say F is *u.s.c* on X .

Definition 2^[2] Suppose X is a Hausdorff topological space, Y is a Hausdorff topological vector space, $F: X \rightarrow 2^Y$, $\forall x \in X$, $F(x) \neq \emptyset$, $x_0 \in X$, we say F is upper demicontinuous (*u.d.c*) at x_0 , if for any open half-space H of Y and $H \supset F(x_0)$, there is a neighbourhood $N(x_0)$ of x_0 such that $H \supset F(x)$ for all $x \in N(x_0)$. If $\forall x_0 \in X$, F is *u.d.c* at x_0 , we say F is *u.d.c* on X .

Definition 3^[3] Suppose X is a Hausdorff topological space, Y is a Hausdorff topological vector space, $F: X \rightarrow 2^Y$, $\forall x \in X$, $F(x) \neq \emptyset$, $x_0 \in X$, we say F is upper hemicontinuous (*u.h.c*) at x_0 , if for any $p \in Y^*$ (the vector space of all continuous linear functionals on Y), the function $\sigma(F(x), p) = \sup_{y \in F(x_0)} \langle p, y \rangle$ is upper semicontinuous at x_0 . If $\forall x_0 \in X$, F is *u.h.c* at x_0 , we say F is *u.h.c* on X .

Proposition Suppose X is a Hausdorff topological space, Y is a Hausdorff topological vector space, $F: X \rightarrow 2^Y$, $\forall x \in X$, $F(x) \neq \emptyset$, then

(1) F *u.s.c* on $X \Rightarrow F$ *u.d.c* on X .

(2) F *u.d.c* on $X \Rightarrow F$ *u.h.c* on X .

proof (1) Since H is open in Y , the conclusion is obvious.

(2) It is enough to prove $\forall x_0 \in X$, $\forall x_n \in X$, $n = 1, 2, 3, \dots$, $x_n \rightarrow x_0$, $\forall p \in Y^*$, then

$$\sup_{y \in F(x_0)} \langle p, y \rangle \geq \lim_{n \rightarrow \infty} \sup_{y \in F(x_n)} \langle p, y \rangle.$$

Without loss of generality, we can suppose $A = \sup_{y \in F(x_0)} \langle p, y \rangle < +\infty$.

Suppose that the conclusion is false, then $\exists \varepsilon_0 > 0$, $\exists x_n \in X$, $n = 1, 2, 3, \dots$, $x_n \rightarrow x_0$ such that

$$A + \varepsilon_0 < \lim_{n \rightarrow \infty} \sup_{y \in F(x_n)} \langle p, y \rangle.$$

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$\exists N, \forall n \geq N, A + \varepsilon_0 < \sup_{y \in F(x_n)} \langle p, y \rangle$ and $\exists y_n \in F(x_n)$ such that $A + \varepsilon_0 < \langle p, y_n \rangle$,

Let $H = \{y \in Y : \langle p, y \rangle < A + \varepsilon_0\}$, it is an open half-space of Y and $H \supset F(x_0)$, but $y_n \notin H$, so $H \not\supset F(x_n)$, F isn't $u.d.c$ at x_0 , a contradiction.

$F u.d.c \not\Rightarrow F u.s.c$, a example is given in a Hilbert space^[4], but it is too complex.

In this paper, we given two examples in $\mathbb{R}^2$, they are very simple: the first implies: $F u.d.c \not\Rightarrow F u.s.c$; the second implies $F u.h.c \not\Rightarrow F u.d.c$.

Example 1 $X = \{(t, 0)^T \in \mathbb{R}^2 : \frac{1}{2} \geq t \geq 0\}$, $\forall (t, 0)^T \in X$, define $F(t, 0)^T = \{(x_1, x_2)^T \in \mathbb{R}^2 : x_2 \geq (1-t)x_1^2\}$.

Suppose $H = \{(x_1, x_2)^T \in \mathbb{R}^2 : x_2 > ax_1 - \delta\}$ is any open half-plane and $H \supset F(0, 0)^T = \{(x_1, x_2)^T \in \mathbb{R}^2 : x_2 \geq x_1^2\}$, then $\delta > 0$ and since the line $x_2 = ax_1 - \delta$ doesn't meet the curve $x_2 = x_1^2$, so $4\delta - a^2 > 0$. $\forall (t, 0)^T \in X$, when $t < \frac{4\delta - a^2}{4\delta}$, the line $x_2 = ax_1 - \delta$, doesn't meet the curve $x_2 = (1-t)x_1^2$, so $H \supset F(t, 0)^T$, F is $u.d.c$ at $(0, 0)^T$.

Let $G = \{(x_1, x_2)^T \in \mathbb{R}^2 : x_2 > x_1^2 - 1\}$, it is an open set in $\mathbb{R}^2$ and $G \supset F(0, 0)^T$. $\forall (t, 0)^T \in X (t \neq 0)$, when $x_1 > \sqrt{\frac{1}{t}}$, $x_1^2 - 1 > (1-t)x_1^2$, so $G \not\supset F(t, 0)^T$, F isn't $u.s.c$ at $(0, 0)^T$.

Example 2 $X = \{(t, 0)^T \in \mathbb{R}^2 : \frac{\pi}{4} \geq t \geq 0\}$, $\forall (t, 0)^T \in X$, define $F(t, 0)^T = \{(x_1, x_2)^T \in \mathbb{R}^2 : \frac{\pi}{2} + t > x_1 \geq 0, x_2 \geq 0, x_2 \geq \tan(x_1 - t)\}$. $\forall (t, 0)^T \in X$, $\forall p = (p_1, p_2) \neq (0, 0)$.

$$\sup_{(x_1, x_2)^T \in F(t, 0)} (p_1 x_1 + p_2 x_2) = \begin{cases} +\infty, & p_2 > 0. \\ p_1(\frac{\pi}{2} + t), & p_2 = 0, p_1 > 0. \\ 0, & p_2 = 0, p_1 < 0. \\ 0, & p_2 < 0, p_1 \leq 0. \\ p_1 t, & p_2 < 0, p_1 > 0, p_1 \leq |p_2| \quad (*) \\ p_1 t + p_1 \arccos \sqrt{-\frac{p_2}{p_1}} + p_2 \sqrt{-\frac{p_1}{p_2} - 1}, & p_2 < 0, \\ p_1 > 0, p_1 > |p_2| \quad (**) \end{cases}$$

The computations of (*) and (**):

$\sup_{(x_1, x_2)^T \in F(t, 0)} (p_1 x_1 + p_2 x_2) = \max(\sup_{(x_1, x_2)^T \in A_1} (p_1 x_1 + p_2 x_2), \sup_{(x_1, x_2)^T \in A_2} (p_1 x_1 + p_2 x_2))$
where $A_1 = \{(x_1, x_2)^T \in \mathbb{R}^2 : t \geq x_1 \geq 0, x_2 \geq 0\}$ $A_2 = \{(x_1, x_2)^T \in \mathbb{R}^2 : \frac{\pi}{2} + t > x_1 \geq 0, x_2 \geq \tan(x_1 - t)\}$.

If $p_2 < 0, p_1 > 0$, $\sup_{(x_1, x_2)^T \in A_1} (p_1 x_1 + p_2 x_2) = p_1 t$. If $(x_1, x_2)^T \in A_2$, $p_1 x_1 + p_2 x_2 \leq p_1 x_1 + p_2 \tan(x_1 - t) = p_1(y + t) + p_2 \tan y = p_1 t + p_1 y + p_2 \tan y$, where $x_1 - t = y, \frac{\pi}{2} > y \geq 0$.

(1) If $p_1 \leq |p_2|$, then $p_1 t + p_1 y + p_2 \tan y \leq p_1 t + p_1 y + p_2 y \leq p_1 t$. Since $(t, 0)^T \in A_2$, $\sup_{(x_1, x_2)^T \in A_2} (p_1 x_1 + p_2 x_2) = p_1 t$ and therefore $\sup_{(x_1, x_2)^T \in F(t, 0)} (p_1 x_1 + p_2 x_2) = p_1 t$.

(2) If $p_1 > |p_2|$, since $\max_{0 < y < \frac{\pi}{2}} (p_1 y + p_2 \operatorname{tg} y) = p_1 \arccos \sqrt{-\frac{p_2}{p_1}} + p_2 \sqrt{-\frac{p_1}{p_2} - 1}$, so

$\sup_{(x_1, x_2)^T \in A_2} (p_1 x_1 + p_2 x_2) = p_1 t + p_1 \arccos \sqrt{-\frac{p_2}{p_1}} + p_2 \sqrt{-\frac{p_1}{p_2} - 1}$; since $(t, 0)^T \in A_1 \cap A_2$, so $p_1 t + p_1 \arccos \sqrt{-\frac{p_2}{p_1}} + p_2 \sqrt{-\frac{p_1}{p_2} - 1} \geq p_1 t$ and therefore $\sup_{(x_1, x_2)^T \in F(t, 0)^T} (p_1 x_1 + p_2 x_2) = p_1 t + p_1 \arccos \sqrt{-\frac{p_2}{p_1}} + p_2 \sqrt{-\frac{p_1}{p_2} - 1}$. Thus since $\sup_{(x_1, x_2)^T \in F(t, 0)^T} (p_1 x_1 + p_2 x_2)$ is continuous at $(0, 0)^T$, so F is *u.h.c* at $(0, 0)^T$.

Let $H = \{(x_1, x_2)^T \in \mathbb{R}^2 : x_1 < \frac{\pi}{2}\}$, it is an open half-plane and $H \supset F(0, 0)^T$, $\forall (t, 0)^T \in X (t \neq 0)$, $H \not\supset F(t, 0)^T$, so F isn't *u.d.c* at $(0, 0)^T$.

References

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关于多值映象连续性的两个例子

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摘要 本文在 $\mathbb{R}^2$ 中构造了两个十分简单的多值映象的例子: 第一个说明准上半连续 $\not\Rightarrow$ 上半连续; 第二个说明弱上半连续 $\not\Rightarrow$ 准上半连续.