

Some Properties of Rational g -Circulant and Complexity of Inverting g -Circulant*

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Abstract In this paper, it is shown that a rational g -circulant of order n can be diagonalized if $(g, n) = 1$. Then, an algorithm with time complexity $O(n \log n)$ is presented for inverse of g -circulant, where (g, n) is the greatest common divisor of g and n .

1. Introduction

Let g be a non-negative integer, an $n \times n$ g -circulant matrix, or briefly an $n \times n$ g -circulant, $A = (a_{ij})$ is a matrix in which each row except the 0th row (the first row) is obtained from the previous row by shifting the entries cyclically g -columns to the right, i.e., $a_{ij} = a_{i-1, j-g}$, $i, j = 0, 1, \dots, n-1$, where the indices are reduced to their least non-negative remainders modulo n . Some sufficient and necessary conditions for an $n \times n$ square matrix being a g -circulant were given by Davis [1]. Recently, it is shown that g -circulant can be diagonalized, if it is invertible [2].

In applications, for example, g -circulant can be used to solve the matrix equation $A^n = \lambda J + dI$ [5-8] where B is integer or rational matrix, J is matrix with all entries being one. I is identity matrix. λ, d are constants. C. W. H. Lam [5] proved that $g \equiv 1 \pmod{n}$ if g -circulant A of order n is a solution of $B^n = dI + \lambda J$ ($d \neq 0$). It is easy to see that $(g, n) = 1$ if and only if there exist a positive integer m such that $g^m \equiv 1 \pmod{n}$. The purpose of this paper is to show that an $n \times n$ rational g -circulant can be diagonalized if $(g, n) = 1$.

It is well known that Strassen [9] algorithm for matrix multiplication can be used to inversion of matrix, that yields an algorithm with complexity $O(n^{2.871})$ for matrix inversion. The order of time complexity can be reduced further for some stronger structured matrices, such as 1-circulant, or circulant. Chen [3] showed that inverse of circulants can be computed in $O(n \log n)$ arithmetic operations as well as solution of circulant linear equations. In section 3, we will present an

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an algorithm for inverse of g -circulant. The dominant work of the algorithm is in performing the fast Fourier transform (FFT), therefore the time complexity is only $O(n \log n)$.

2. Preliminaries

For our purpose we need some elementary properties of g -circulant and permutation matrices as follows.

Proposition 2.1^[1] Let R be the permutation matrix corresponding to the permutation

$$\begin{bmatrix} 0 & 1 & 2 & \cdots & 0 & \cdots & n-1 \\ 1 & 2 & 3 & \cdots & c+1 & \cdots & 0 \end{bmatrix} \quad (2.1)$$

Then the following result holds

$$R^{n+i} = R^i \quad (i = 0, 1, \dots, n-1), \quad (2.2)$$

where $R^0 = I$

Proposition 2.2^[1] An $n \times n$ matrix A is an $n \times n$ g -circulant if and only if

$$RA = AR^g \quad (2.3)$$

Proposition 2.3^[1] An $n \times n$ matrix A is g -circulant with the first row $(a_0, a_1, \dots, a_{n-1})$ if and only if

$$A = Q_g \sum_{i=0}^{n-1} a_i R_i, \quad (2.4)$$

where Q is the g -circulant with the first row $(1, 0, \dots, 0)$.

Proposition 2.4^[1] Let A be circulant (1-circulant) with the first row $(a_0, a_1, \dots, a_{n-1})$. Then

$$F^{-1}AF = \text{diag}(\mu_0, \mu_1, \dots, \mu_{n-1}), \quad (2.5)$$

where $F = (\omega_{ij})$ is the Fourier matrix of order n .

$$\mu_k = \sum_{i=0}^{n-1} a_i \omega^{ki} \quad (k = 0, 1, \dots, n-1). \quad (2.6)$$

ω is the primitive n th roots of 1.

Proposition 2.5^[2] Let P be a permutation matrix of order n . Then there exist positive integer m such that $P^m = I$.

Proposition 2.6^[5] Product of a g -circulant with an h -circulant is a gh -circulant and inverse of a g -circulant is a g^{-1} -circulant, where gh and g^{-1} are taken modulo n .

Proposition 2.7^[2] An $n \times n$ g -circulant with the first row $(a_0, a_1, \dots, a_{n-1})$ is invertible if and only if $(g, n) = 1$, $\mu_k = \sum_{i=0}^{n-1} a_i \omega^{ki} \neq 0$ ($k = 0, 1, \dots, n-1$).

3. Diagonalization of Rational g -Circulant

Theorem 3.1 A rational g -circulant is a diagonalization matrix, if $(n, g) = 1$.

Proof If $g \equiv 1 \pmod{n}$, then A is circulant. The conclusion is immediate from Proposition 2.4.

Otherwise, since $(g, n) = 1$, it is clear that Q_g is a permutation matrix. By Proposition 2.5, there exist a positive integer such that $Q_g^m = I$. Let $(a_0, a_1, \dots, a_{n-1})$ be the first row of A , furthermore, applying Proposition 2.2 and 2.3, we obtain that

$$\begin{aligned} A^2 &= (Q_g \sum_{i=0}^{n-1} a_i R^i) (Q_g \sum_{j=0}^{n-1} a_j R^j) = Q_g^2 \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} a_i a_j R^{ig+j} \\ &\dots\dots\dots \\ A^m &= Q_g^m \sum_{i_1=0}^{n-1} \sum_{i_2=0}^{n-1} \dots \sum_{i_m=0}^{n-1} a_{i_1} a_{i_2} \dots a_{i_m} R^{i_1 g^{m-1} + i_2 g^{m-2} + \dots + i_m} \\ &= \sum_{i_1=0}^{n-1} \sum_{i_2=0}^{n-1} \dots \sum_{i_m=0}^{n-1} a_{i_1} a_{i_2} \dots a_{i_m} R^{i_1 g^{m-1} + i_2 g^{m-2} + \dots + i_m} \end{aligned} \quad (3.1)$$

(3.1) implies that A^m is circulant, which can be diagonalized by the Fourier matrix, i.e.

$$F^{-1} A^m F = \text{diag}(\lambda_0, \lambda_1, \dots, \lambda_{n-1}) \quad (3.2)$$

where

$$\lambda_k = \sum_{i_1=0}^{n-1} \sum_{i_2=0}^{n-1} \dots \sum_{i_m=0}^{n-1} a_{i_1} a_{i_2} \dots a_{i_m} \omega^{k(i_1 g^{m-1} + i_2 g^{m-2} + \dots + i_m)} \quad (k = 0, 1, \dots, n-1)$$

Assume that $\beta_1, \beta_2, \dots, \beta_n$ are distinguish eigenvalues of A^m , diagonalization of A^m implies that the minimal polynomial of A^m must be

$$g(x) = (x - \beta_1)(x - \beta_2) \dots (x - \beta_n) \quad (3.3)$$

Let

$$J = \begin{bmatrix} J & & \\ & J_2 & \\ & & \ddots \\ & & & J_g \end{bmatrix} \quad (3.4)$$

be the Jordan form of A , where J_i 's are Jordan blocks of A . It is easy to see that A^m, J^m have the same minimal polynomial, and therefore

$$g(J^m) = 0 \quad (3.5)$$

(3.5) implies that the order of block corresponding to non-zero eigenvalue is one.

On other hand, from (2.1), we have

$$A^m = B_1 B_2 \dots B_m \quad (3.6)$$

$$A = Q_g B_m \quad (3.7)$$

where

$$B_i = \sum_{j=0}^{n-1} a_j R^{jg^{m-i}} \quad (i = 1, 2, \dots, m). \quad (3.8)$$

B_i 's are also diagonalized by Fourier matrix F , i.e.

$$F^{-1} B_i F = \text{diag}(\lambda_{i0}, \lambda_{i1}, \dots, \lambda_{i_{n-1}}), \quad (i = 1, 2, \dots, m)$$

where

$$\lambda_{ik} = \sum_{j=0}^{n-1} a_j \omega^{kj} g^{m-j} \quad (i = 1, 2, \dots, m)$$

$k = 0, 1, \dots, n-1$

Clearly, the eigenvalues λ_i 's of A^m is given by the formula as follows

$$\lambda_i = \lambda_{1i} \lambda_{2i} \dots \lambda_{ni} \quad (i = 0, 1, \dots, n-1) \quad (3.10)$$

Let $f(x) = \sum_{j=0}^{n-1} a_j x^j$ and $h_a(x - \xi_1)(x - \xi_2) \dots (x - \xi_r)$ be the cyclotomic polynomial

Where ξ_i 's are the primitive d th roots of 1, it is well known that each cyclotomic polynomial is irreducible over the rationals. Hence, we have $h_a(x) | f(x)$, or $(h_a(x), f(x)) = 1$. Suppose the order of n th root of unity ξ is equal to d , it follows from $(g, n) = 1$ that $(g, d) = 1$. Therefore, the order of $\xi^{kg^{m-i}}$ is equal to $d / (d, kg^{m-i}) = d / (d, k)$, this implies that $\lambda_{1k}, \lambda_{2k}, \dots, \lambda_{nk}$ are equal to zero or $\lambda_{1k}, \lambda_{2k}, \dots, \lambda_{nk}$ are not equal to zero for fixed k , From (3.10), (3.6), (3.7) and (3.2), we know that

$$\text{vank } A^m = \text{vank } B_m = \text{vank } A \quad (3.11)$$

(3.11) implies that the order of any Jordan block of A corresponding to zero eigenvalue is equal to one.

Therefore A can be diagonalized.

Corollary 3.2 Any rational g -circulant of order n is diagonalization matrix, if n is prime.

4. Fast Inversion of g -Circulant

Let A be invertible g -circulant with the first row $(a_0, a_1, \dots, a_{n-1})$, it follows from Proposition 2.3 and 2.7, that

$$A = Q_g \sum_{i=0}^{n-1} a_i R^i \quad (g, n) = 1$$

Furthermore. By proposition 2.6 and 2.4, there exist n numbers c_0, c_1, \dots, c_{n-1} such that

$$A^{-1} = Q_g^{-1} \sum_{i=0}^{n-1} c_i R^i \quad (4.1)$$

and

$$A^{-1} A = Q_g^{-1} Q_g \left(\sum_{i=0}^{n-1} c_i R^{ig} \right) \left(\sum_{i=0}^{n-1} a_i R^i \right) = \left(\sum_{i=0}^{n-1} c_i R^{ig} \right) \left(\sum_{i=0}^{n-1} a_i R^i \right)$$

Hence $c = \sum_{i=0}^{n-1} c_i R^{ig}$ is the inversion of the matrix $B = \sum_{i=0}^{n-1} a_i R^i$. By applying Proposition 1.4, we know that B can be diagonalized by Fourier matrix, i.e.

$$B = F^{-1} \text{diag}(\mu_0, \mu_2, \dots, \mu_{n-1}) F$$

where μ_i ($i = 0, 1, \dots, n-1$) are given by (2.5), and therefore

$$B^{-1} = F^{-1} \text{diag}(\mu_0^{-1}, \dots, \mu_{n-2}^{-1}) F \quad (4.2)$$

Algorithm Alg-C (Algorithm for Inverting g -Circulant)

1. Compute $\mu_k = \sum_{i=0}^{n-1} a_i \omega^{ki} \quad (k = 0, 1, \dots, n-1)$ by FFT
2. Compute $\mu_0^{-1}, \mu_1^{-1}, \dots, \mu_{n-1}^{-1}$
3. Compute b_0, b_1, \dots, b_{n-1} Via $\mu_k^{-1} = \sum_{i=0}^{n-1} b_i \omega^{ki}$ by using FFT
4. Compute $a_i \equiv ig \pmod{n}$
5. Compute non-negative integers l_1 and l_2 such that $l_1 g + l_2 n = 1$ by Euclidean's algorithm

We claim that $A^{-1} = Q^{l_2} \sum_{i=0}^{n-1} b a_i R^i$. This is because $a_i \neq a_j$ if and only if $i \neq j$.

Suppose that there exist non-negative integers i, j such that $a_i = a_j$ i.e. $ig \equiv jg \pmod{n}$. Then, there exist a non-negative integer q such that $ig - jg = qn$, i.e. $(i-j)g = qn$. Since $(g, n) = 1$, then $n \mid (i-j)$ contradicts $|i-j| \leq n-1$.

It requires $O(n \log n)$ operations at stages 1 and 3 and $O(n)$ operations at stages 4. and 5. The time complexity is $O(n \log n)$.

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有理 g -轮换阵之性质及 g -轮换阵求逆的计算复杂性

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摘要 本文利用本原多项式在有理数域上的不可约性及 n 次本原根的性质, 证明了若 $(g, n) = 1$, 则 n 阶有理 g -轮换阵为可对角化矩阵. 进一步利用快速富里叶变换 (FFT) 给出了 g -轮换阵之求逆算法. 算法的主要运算为 FFT 的计算, 因此时间复杂性为 $O(n \log n)$. 其中 (g, n) 表示整数, g, n 的最大公约数.