

Remark From the proof of Theorem 4 one can see that for even n ,

$$n \left(\frac{d_n}{(n-1)!} - 1 \right) = 2 + O(n^{-1}).$$

References

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关于 Witt 向量之序列

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摘 要

对出现于公式 $\prod_{n \geq 1} \frac{1}{1 + d_n t^{n/n!}} = (1-t)e^t$ 中的整数 d_n 给出了精确估计.

On a Sequence of Witt Vectors*

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Abstract A sharp estimate for the integers d_n , in the formula $\prod_{n \geq 1} 1/(1 + d_n t^n/n!) = (1 - t)e^t$ is obtained

Keywords sequence, witt vectors

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1. Introduction

Let A be a commutative ring, $W(A)$ be the ring of Witt vectors over A , and let $\Lambda(A)$ be the free λ -ring

It is known from [2] that $(q_n)_{n \geq 1} = \prod_{n \geq 1} (1 - q_n t^n)^{-1}$ defines an isomorphism between $W(A)$ and $\Lambda(A)$.

It is clear that q_n in $\prod_{n \geq 1} \frac{1}{1 - q_n t^n} = \sum_{n \geq 0} h_n t^n$ correspond to representations of the n th symmetric group via the characteristic map. The character table of these representations gives formulae expressing the components of a Witt vector as a function of its "ghost components" (cf. [3, p. 352]).

Now write

$$\prod_{n \geq 1} \frac{1}{1 + d_n t^n/n!} = (1 - t)e^t. \quad (1)$$

Since sequence $\{d_n\}$ in (1) gives the dimensions of these representations, it is of interest to investigate its asymptotic behavior. Recently, Borwein and Lou^[1] proved that

Theorem BL For $n = 2, 3, \dots$,

$$d_n \leq (n-1)! \text{ if } n \text{ is an odd number;} \quad (2)$$

$$d_n \leq (n-1)! \text{ if } n \text{ is a prime;} \quad (3)$$

$$d_n \geq (n-1)! \text{ if } n \text{ is an even number} \quad (4)$$

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Furthermore,

$$1 - \frac{1}{n} \leq \frac{d_n}{(n-1)!} \leq 1 + \frac{\alpha_n}{\sqrt{n}}, \quad (5)$$

where $\alpha_8 = \alpha_{16} = 2$, and other $\alpha_n = 1$.

We notice that the inequality (5) is not sharp since, for example,

$$\frac{d_8}{7!} = \frac{8505}{5040} < 1 + \frac{2}{\sqrt{8}}.$$

So it is natural to look for the sharp estimates for $d_n/(n-1)!$.

The present paper will answer this question by the following theorems, in an approach different from the others by using some new ideas in approximation theory.

Theorem 1 For $n = 2k+1$, $k = 1, 2, \dots$, we have

$$0 \leq 1 - \frac{d_n}{(n-1)!} \leq \frac{1}{n^2} \frac{106}{9}. \quad (6)$$

Furthermore,

$$\max_{n \text{ odd}} n^2 \left(1 - \frac{d_n}{(n-1)!} \right) = 15^2 \left(1 - \frac{d_{15}}{14!} \right) = \frac{106}{9}, \quad (7)$$

and $n = 15$ is the only number where the maximum is attained

Theorem 2 For $n = 2k$, $k = 1, 2, \dots$, we have

$$0 \leq \frac{d_n}{(n-1)!} - 1 \leq \frac{1}{n} \frac{907}{128} \quad (8)$$

Furthermore,

$$\max_{n \text{ even}} n \left(\frac{d_n}{(n-1)!} - 1 \right) = 16 \left(\frac{d_{16}}{15!} - 1 \right) = \frac{907}{128}, \quad (9)$$

and $n = 16$ is the only number where the maximum is attained

Theorem 3 For $n = 2k+1$, $k = 1, 2, \dots$, we have

$$\limsup_n n^2 \left(1 - \frac{d_n}{(n-1)!} \right) = 9 \quad (10)$$

Theorem 4 For $n = 2k$, $k = 1, 2, \dots$, we have

$$\lim_n n \left(\frac{d_n}{(n-1)!} - 1 \right) = 2 \quad (11)$$

2 Proof of Theorems 1 and 3

In [1], J. Borwein and S. T. Lou have proved that for natural numbers $n > 1$ one has

$$\frac{d_n}{(n-1)!} = 1 + \sum_{\substack{kh=n \\ k \geq 1, n}} \frac{(-1)^h}{h} \left(\frac{d_k}{k!} \right)^h, \quad (12)$$

from which it follows that

$$\frac{d_2}{1!} = 1, \frac{d_3}{2!} = 1, \frac{d_4}{3!} = 1 + \frac{1}{2}, \frac{d_5}{4!} = 1, \frac{d_6}{5!} = 1 + \frac{1}{12}, \frac{d_7}{6!} = 1,$$

$$\frac{d_8}{7!} = 1 + \frac{11}{16}, \frac{d_9}{8!} = 1 - \frac{1}{9}, \frac{d_{10}}{9!} = 1 + \frac{11}{80}, \frac{d_{11}}{10!} = 1, \frac{d_{12}}{11!} = 1 + \frac{183}{3456},$$

$$\frac{d_{13}}{12!} = 1, \frac{d_{14}}{13!} = 1 + \frac{57}{448}, \frac{d_{15}}{14!} = 1 - \frac{106}{2025}, \frac{d_{16}}{15!} = 1 + \frac{907}{2048}, \frac{d_{17}}{16!} = 1, \dots$$

Proof of Theorem 1 In this section we always assume that n are odd numbers. Define $g(h, k) = h^2 k^3 \left(\frac{d_k}{k!} \right)^h$. Since each n is odd, we see that h and k are odd. From (12), we get

$$\begin{aligned} n^2 \left(1 - \frac{d_n}{(n-1)!} \right) &= \sum_{\substack{kh=n \\ k \geq 1, n}} g(h, k) = \sum_{\substack{kh=n \\ k \geq 1, n \\ k=3}} g(h, k) + \sum_{\substack{kh=n \\ k \geq 1, n \\ k=5}} g(h, k) \\ &\quad + \sum_{\substack{kh=n \\ k \geq 1, n \\ k \geq 7}} g(h, k) + \sum_{\substack{kh=n \\ h=3 \text{ or } h=5 \\ k \geq 1, n}} g(h, k) \\ &\leq g(h, 3) + g(h, 5) + \sum_{\substack{kh=n \\ k, h \geq 7}} g(h, k) + \sum_{\substack{kh=n \\ k \geq 1, n \\ h=3 \text{ or } h=5}} g(h, k). \end{aligned} \quad (13)$$

We will show that the sum of the first three terms in this series is not bigger than 1. First we show that

$$\sum_{\substack{kh=n \\ k \geq 1, n \\ k, h \geq 7}} h^2 k^3 \left(\frac{d_k}{k!} \right)^h < \frac{1}{6}. \quad (14)$$

Since $\sum_{\substack{kh=n \\ k, h \geq 7}} \frac{1}{k^2} \leq \sum_{k=7} \frac{1}{k^2} \leq \frac{1}{6}$, we only need to prove that

$$h^2 k^3 \left(\frac{d_k}{k!} \right)^h \leq \frac{1}{k^2}. \quad (15)$$

By Theorem BL, $\frac{d_k}{k!} \leq \frac{1}{k}$, so what we have to prove is only that $h^2 k^{5-h} \leq 1$, or

$$f(k, h) := 2 \log h + (5 - h) \log k \leq 0 \quad (16)$$

It is evident that in the case $k \geq 7$, (16) holds for $h = 7$, while $\frac{d}{dh} f(k, h) = \frac{2}{h} - \log k < 0$ holds for $h > 7$, that is, $f(k, h)$ is a decreasing function with respect to h , hence (16) holds, and consequently (15) as well as (14) holds

Now we investigate

$$g(h, 3) = 27h^2 \left(\frac{d_3}{3!} \right)^h = \frac{27h^2}{3^h}.$$

It is not difficult to see that for $h \geq 7$, $g(h, 3)$ is decreasing and $g(7, 3) = 49/81$, hence

$$g(h, 3) \leq \frac{49}{81} \quad (h \geq 7), \quad (17)$$

similarly,

$$g(h, 5) = 125h^2 \left(\frac{d_5}{5!} \right)^h \leq \frac{49}{625} \quad (h \geq 7) \quad (17')$$

Now combining (13), (14), (17) and (17') we have

$$g(h, 3) + g(h, 5) + \sum_{\substack{kh=n \\ k, h \geq 7}} g(h, k) \leq \frac{49}{81} + \frac{49}{625} + \frac{1}{6} \leq 1.$$

Write

$$\sum_{\substack{hk=n, h=3, h=5 \\ k=1, n}} h^2 k^3 \left(\frac{d_k}{h!} \right) =: I_n \quad (18)$$

To estimate I_n , we consider the following cases

- (i) If n does not have factors 3 and 5, then $I_n = 0$;
- (ii) If $n > 15$ can be divided by 15, then from (2),

$$I_n \leq \frac{n^3}{3} \left(\frac{d_{n/3}}{(n/3)!} \right)^3 + \frac{n^3}{5} \left(\frac{d_{n/5}}{(n/5)!} \right)^5 = 9 + \frac{5^4}{n^2} \leq 9 + \frac{25}{81} < 9 + \frac{25}{9} = 1;$$

- (iii) If n is divisible by 3 but not by 5, then $I_n \leq 9$;
- (iv) If n is divisible by 5 but not by 3, then

$$I_n \leq \frac{25}{49};$$

- (v) If $n = 15$, then from (3),

$$n^2 \left(1 - \frac{d_n}{(n-1)!} \right) = 9 + \frac{25}{9}.$$

All these estimates together with (18) imply that

$$n^2 \left(1 - \frac{d_n}{(n-1)!} \right) \leq 9 + \frac{25}{9} = 15^2 \left(1 - \frac{d_{15}}{14!} \right).$$

Theorem 1 is completed

Proof of Theorem 3 Due to the same reason, since each n is odd, in the following k, h must be odd. From the proof of Theorem 1 one can see that if $n > 15$, then

$$n^2 \left(1 - \frac{d_n}{(n-1)!} \right) \leq 9 + \frac{5^4}{n^2} + h^2 k^{3-h},$$

$\begin{matrix} hk=n \\ k \equiv 1, n \\ k, h \geq 7 \end{matrix}$

furthermore, for any given natural number $p > 7$ one has

$$n^2 \left(1 - \frac{d_n}{(n-1)!} \right) \leq 9 + \frac{5^4}{n^2} + h^2 k^{3-h} + \frac{1}{k^2},$$

$\begin{matrix} 7 \leq k \leq p \\ kh=n \end{matrix} \quad k > p$

Since in the case $7 \leq k \leq p$, $h^2 k^{3-h} \rightarrow 0$ as $n \rightarrow \infty$, we have

$$\limsup_n n^2 \left(1 - \frac{d_n}{(n-1)!} \right) \leq 9 + \frac{1}{p},$$

or

$$\limsup_{n \rightarrow \infty} n^2 \left(1 - \frac{d_n}{(n-1)!} \right) \leq 9 \quad (19)$$

in view of that p is any given natural number not less than 7. On the other hand, take

$$n = 3q_k,$$

where q_k is the k th prime, then

$$n^2 \left(1 - \frac{d_n}{(n-1)!} \right) = \frac{n^3}{3} \left(\frac{d_{n/3}}{(n/3)!} \right)^3 + \frac{n^3}{q_k} \left(\frac{d_3}{3!} \right)^{q_k} = 9 + \frac{n^3}{q_k} \left(\frac{1}{3} \right)^{q_k} = 9 + \frac{27q_k^2}{3^{q_k}}.$$

Therefore

$$\lim_n 9q_k^2 \left(1 - \frac{d_{3q_k}}{(3q_k-1)!} \right) = 9 \quad (20)$$

(19) and (20) together yields (10), which is the required equality.

Remark We see that if n does not have the factor 3, then $n^2(1 - d_n/(n-1)!)$ will be much less than 9. In fact we have then

$$1 - \frac{d_n}{(n-1)!} = O\left(n^{-4}\right).$$

Starting from it, we can establish a corresponding result for $\{d_{n_j}\}$ for some particular subsequence $\{n_j\}$.

3 Proof of Theorem s 2 and 4

For notational convenience, we assume n be even numbers in this section.

Proof of Theorem 2 From (12),

$$\begin{aligned} n \left(\frac{d_n}{(n-1)!} - 1 \right) k^2 h \left(\frac{d_k}{k!} \right)^h &= k^2 h \left(\frac{d_k}{k!} \right)^h \\ &+ k^2 h \left(\frac{d_k}{k!} \right)^h : = I_1 + I_2 \end{aligned} \quad (21)$$

$\begin{matrix} hk=n \\ k-1, n \\ h \text{ even} \end{matrix}$
 $\begin{matrix} hk=n \\ k-1, n \\ h \text{ even}, h \geq 8 \end{matrix}$
 $\begin{matrix} hk=n \\ k-1, n \\ hh \text{ even}, h \geq 6 \end{matrix}$

We write

$$f(k, h) = \log \left(k^2 h \left(\frac{d_k}{k!} \right)^h \right)$$

In a similar way to the proof of Theorem 1, by applying Theorem BL, from $d_k/(k-1)! \leq 2$ we have

$$f(k, h) \leq 0$$

for $k \geq 8$ and $h \geq 8$, hence

$$k^2 \left(\frac{d_k}{k!} \right)^h \leq \frac{1}{k^2} \quad (k \geq 8, h \geq 8). \quad (22)$$

By using again the known results

$$\frac{d_2}{1!} = 1, \frac{d_4}{3!} = \frac{3}{2}, \frac{d_6}{5!} = \frac{13}{12},$$

we can prove that for $h \geq 8$,

$$k^2 \left(\frac{d_k}{k!} \right)^h \leq \frac{1}{k^2} \quad (k = 2, 4, 6),$$

together with (22) we obtain that

$$I_1 \leq \sum_{k=2} \frac{1}{k^2} \leq \frac{3}{4} \quad (h \geq 8). \quad (23)$$

From the estimate of Theorem BL $\frac{d_n}{(n-1)!} \leq 1 + \frac{a_n}{\sqrt{n}}$, direct calculation will lead to that

$$\frac{n^2}{2} \left(\frac{d_{n/2}}{(n/2)!} \right)^2 + \frac{n^2}{4} \left(\frac{d_{n/4}}{(n/4)!} \right)^4 + \frac{n^2}{6} \left(\frac{d_{n/6}}{(n/6)!} \right)^6 < 5$$

for $n \geq 36$, and

$$I_2 = \frac{n^2}{h(n/h)^h} \left(\frac{d_k}{(k-1)!} \right)^h$$

$$\leq 2 \left(1 + \frac{2}{\sqrt{n}} \right)^2 + \frac{4^3}{n^2} \left(1 + \frac{2}{\sqrt{n}} \right)^4 + \frac{6^5}{n^4} \left(1 + \frac{2}{\sqrt{n}} \right)^6 \leq 6.1$$

if $n \geq 18$. We thus have by (21) and (23) that $n \left(\frac{d_n}{(n-1)!} - 1 \right) \leq 7$ ($n \geq 18$).

For $n \leq 18$, we directly calculate that

$$\max_{\substack{2 \leq n \leq 18 \\ n \text{ even}}} n \left(\frac{d_n}{(n-1)!} - 1 \right) = 16 \left(\frac{d_{16}}{15!} - 1 \right) = \frac{907}{128}$$

Therefore, we finally obtain that

$$n \left(\frac{d_n}{(n-1)!} - 1 \right) = 16 \left(\frac{d_{16}}{15!} - 1 \right) = \frac{907}{128}$$

Theorem 2 is proved

Proof of Theorem 4 From (12) together with the fact that n is even,

$$\left| n \left(\frac{d_n}{(n-1)!} - 1 \right) - \frac{n^2}{2} \left(\frac{d_{n/2}}{(n/2)!} \right)^2 \right|$$

$$\leq \sum_{i=3}^6 \Delta_i(n) + \sum_{\substack{hk=n, k-1, n \\ h \geq 8 \\ k \leq s}} k^2 h \left(\frac{d_k}{k!} \right)^h + \sum_{\substack{hk=n, k-1, n \\ h \geq 8 \\ k > s}} k^2 h \left(\frac{d_k}{k!} \right)^h, \quad (24)$$

where

$$\Delta_i(n) = \begin{cases} \frac{n^2}{i} \left(\frac{d_{n/i}}{(n/i)!} \right)^i, & \text{if } i \text{ is a factor of } n, \\ 0, & \text{otherwise} \end{cases}$$

It is not difficult to see that $\lim_{m \rightarrow \infty} \frac{d_m}{(m-1)!} = 1$ implying that $\lim_{n \rightarrow \infty} \Delta_i(n) = 0$, then on

the basis of the estimate $k^2 h \left(\frac{d_k}{k!} \right)^h \leq \frac{1}{k^2}$ ($h \geq 8, k \geq 8$) in the proof of Theorem 2,

we deduce from (24) that

$$\lim_n \sup \left| n \left(\frac{d_n}{(n-1)!} - 1 \right) - 2 \left(\frac{d_{n/2}}{(n/2-1)!} \right)^2 \right| \leq \frac{1}{k^2},$$

that is, $\lim_n \left| n \left(\frac{d_n}{(n-1)!} - 1 \right) - 2 \right| = 0$, since s is arbitrarily given and $\lim_n \left(\frac{d_{n/2}}{(n/2-1)!} \right) = 1$, therefore we have $\lim_n n \left(\frac{d_n}{(n-1)!} - 1 \right) = 2$, which completes Theorem