

单纯形上 Stancu 算子对光滑函数的逼近误差的渐近展开^{*}

张 春 苟

(首都师范大学数学系, 北京100037)

摘 要 本文定义了 m 维空间内一般单纯形上的 Stancu 算子, 并给出了它对光滑函数的点态逼近误差的高阶渐近公式

关键词 单纯形, Stancu 算子, $C^{2N}(\sigma)$ 空间, 渐近展开

分类号 AMS(1991) 41A/CCL O 174.41

1 引 言

Stancu 算子是 Bernstein 算子的一种推广, 首先在[1]内提出并研究. 近年来, 对高维单纯形上 Bernstein 算子的研究有很大进展^{[3], [4], [5]}. 特别地, [6], [7]中建立了高维单纯形上 Bernstein 算子对高阶光滑函数的点态逼近误差的渐近展开式, 其结果大大推广并深化了经典的 Voronovskaja 结果. 本文目的是定义 m 维 ($m \geq 2$) 空间内一般单纯形上的 Stancu 算子, 并把 [6], [7]的结果推广到该算子上去.

设 $v^{(i)} \in R^m, i = 0, 1, \dots, m$ 是仿射意义下相互独立的点, 即 $\{v^{(i)} - v^{(0)}\}_{i=1}^m$ 线性无关. 由此 $m+1$ 个点做成的最小凸包称为 m 维空间中的一般单纯形, 记作 $\sigma = [v^{(0)}, v^{(1)}, \dots, v^{(m)}], \forall x = (x_1, \dots, x_m) \in \sigma$, 它关于 σ 的重心坐标 $\lambda = (\lambda_0, \lambda_1, \dots, \lambda_m) \in R^{m+1}$, 这里有 $x = \sum_{i=0}^m \lambda_i v^{(i)}, \sum_{i=0}^m \lambda_i = 1$. 记 $B_\alpha(\lambda) = \frac{|\alpha|!}{\alpha_0! \dots \alpha_m!} \lambda_0^{\alpha_0} \dots \lambda_m^{\alpha_m}, \alpha = (\alpha_0, \dots, \alpha_m) \in Z_+^{m+1}$ ($m+1$ 维非负整向量空间). 对于 α , 记 $x_\alpha^{(i)} = \frac{1}{n} \sum_{j=0}^m (\alpha_j + sq_{ij}) v^{(j)}, q_{ij} = \begin{cases} 0 & i \neq j \\ 1 & i = j \end{cases} \forall f(x) \in C(\sigma)$, 定义

$$M_{ns}^{(i)}(f; x) = \sum_{|\alpha| \leq n-s} f(x_\alpha^{(i)}) B_\alpha(\lambda).$$

从而单纯形 σ 上的 Stancu 算子定义为 $M_{ns}(f; x) = \overline{M}_{ns} = \sum_{i=0}^m \lambda M_{ns}^{(i)}(f; x), s \leq N$.

不难验证当参数 $s = 0$ 或 1 时, $M_{ns}(f; x)$ 正好是 σ 上的 Bernstein 算子^[6, 7]. 本文的主要结果放在 3, 而需要的引理在 2.

2 引 理

记 $S_Y^{(i)}(x) = n^{|\lambda|} \sum_{|\alpha| \leq n-s} (x_\alpha - x)^Y B_\alpha(\lambda), Y \in Z_+^m$, 则有

* 1994年11月9日收到 1997年9月17日收到修改稿 国家自然科学基金资助项目

引理 1

$$S_{\gamma^k}^{(i)} e^k(x) - s(v_k^{(i)} - x_k) S_{\gamma'}^{(i)}(x) = \sum_{\beta \neq \gamma} \lambda_{\beta} \sum_{\beta \neq \gamma} [n(v_k^{(j)} - x_k) s_{\beta}^{(i)}(x) + S(v_k^{(i)} - v_k^{(j)}) S_{\beta}^{(i)}(x), \\ - S_{\beta^+}^{(i)} e^k(x)] \begin{bmatrix} \gamma \\ \beta \end{bmatrix} (v^{(j)} - v^{(0)})^{\gamma - \beta} \quad (|\gamma| \geq 1)$$

其中 $e^k \in R^m$ 是第 k 个分量为 1 的单位向量, $\begin{bmatrix} \gamma \\ \beta \end{bmatrix} = \begin{bmatrix} \gamma_1 \\ \beta_1 \end{bmatrix} \cdots \begin{bmatrix} \gamma_m \\ \beta_m \end{bmatrix}$.

证明 记 $g^{(i)}(t, x) = \sum_{\gamma \in Z_+^m} \frac{S_{\gamma}^{(i)}(x)}{\gamma!} \left(\frac{t}{n}\right)^{\gamma}$, $x, t \in R^m$, 则

$$g^{(i)}(t, x) = \sum_{|\alpha| \leq n} e^{(x\alpha - x) \cdot t} B_{\alpha}(\lambda) = e^{\left(\frac{x}{n} v^{(i)} - x\right) \cdot t} \left(\sum_{\beta} \lambda_{\beta} e^{\frac{v^{(j)}}{n} \cdot t} \right)^{n-s},$$

所以有

$$\frac{\partial g^{(i)}}{\partial x_k} = \sum_{j=1}^m \lambda_j \left(1 - e^{\left(\frac{v^{(j)}}{n} - \frac{v^{(0)}}{n}\right) \cdot t} \right) \left[\frac{\partial g^{(i)}}{\partial x_k} + (x_k - v_k^{(j)}) g^{(i)} - \frac{s}{n} (v_k^{(i)} - v_k^{(j)}) g^{(i)} \right] \\ - \frac{s}{n} (x_k - v_k^{(i)}) g^{(i)}.$$

注意到

$$\frac{\partial g^{(i)}}{\partial x_k} = \frac{1}{n} \sum_{\gamma \in Z_+^m} \frac{S_{\gamma^k}^{(i)}(x)}{\gamma!} \left(\frac{t}{n}\right)^{\gamma}, \quad (1)$$

又

$$1 - e^{\left(\frac{v^{(j)}}{n} - \frac{v^{(0)}}{n}\right) \cdot t} = - \sum_{\substack{\alpha \in Z_+^m \\ \alpha \neq 0}} \frac{(v^{(j)} - v^{(0)}) \cdot t}{\alpha!} \left(\frac{t}{n}\right)^{\alpha},$$

从而有

$$\frac{\partial g^{(i)}}{\partial x_k} = \sum_{\gamma \in Z_+^m} \left(\sum_{j=1}^m \lambda_j \sum_{\beta \neq \gamma} [n(v_k^{(j)} - x_k) s_{\beta}^{(i)}(x) + \frac{s}{n} (v_k^{(j)} - v_k^{(i)}) S_{\beta}^{(i)}(x)] \right. \\ \left. - \frac{1}{n} S_{\beta^+}^{(i)} e^k(x) \right) \begin{bmatrix} \gamma \\ \beta \end{bmatrix} (v^{(j)} - v^{(0)})^{\gamma - \beta} + \frac{s}{n} (x_k - v_k^{(i)}) S_{\gamma}^{(i)}(x) \frac{1}{\gamma!} \left(\frac{t}{n}\right)^{\gamma}, \quad (2)$$

比较(1)和(2)中 $\left(\frac{t}{n}\right)^{\gamma}$ 前的系数便得结论 证毕

引理 2

$$S_{\gamma^p}^{(i)} e^p(x) = \sum_{k=1}^m \lambda_k \lambda v_p^{(k)} [(D_{\lambda_k} - D_{\lambda_i}) S_{\gamma}^{(i)}(x) \\ + n \sum_{j=1}^m \gamma_j (v_j^{(k)} - v_j^{(i)}) S_{\gamma^j}^{(i)}(x)] + S(v_p^{(i)} - x_p) S_{\gamma}^{(i)}(x),$$

其中 $D_{\lambda_k} = \frac{\partial}{\partial x_k}$, $p = 1, 2, \dots, m$ ($|\gamma| \geq 1$)

证明 经直接计算得

$$(D_{\lambda_k} - D_{\lambda_i}) S_{\gamma}^{(i)}(x) = -n^{|\gamma|} \sum_{|\alpha| \leq n} \sum_{j=1}^m \gamma_j (x_{\alpha}^{(i)} - x) \cdot t B_{\alpha}(\lambda) \left(\frac{\partial x_i}{\partial x_k} - \frac{\partial x_i}{\partial x_i} \right)$$

$$+ \sum_{|\alpha|=n-s} (x_\alpha^{(i)} - x)^\gamma (\frac{\alpha_k}{\lambda_k} - \frac{\alpha_l}{\lambda_l}) B_\alpha(\lambda),$$

由 $x = \sum_{j=0}^m \lambda_j v_j^{(j)}$ 知 $\frac{\partial x_i}{\partial \lambda_k} - \frac{\partial x_i}{\partial \lambda_l} = v_j^{(k)} - v_j^{(l)}$, 从而

$$\begin{aligned} & \lambda_k \lambda_l [(D_{\lambda_k} - D_{\lambda_l}) S_{\gamma}^{(i)}(x) + n \sum_{j=1}^m \gamma_j (v_j^{(k)} - v_j^{(l)}) S_{\gamma}^{(i)}(x)] \\ &= \lambda [n^{|r|} \sum_{|\alpha|=n-s} B_\alpha(\lambda) (x_\alpha^{(i)} - x)^\gamma (\alpha_k + sq_{ik} - n\lambda_k)] \\ &\quad - \lambda_k [n^{|r|} \sum_{|\alpha|=n-s} B_\alpha(\lambda) (x_\alpha^{(i)} - x)^\gamma (\alpha_l + sq_{il} - n\lambda_l)] \\ &\quad + n^{|r|} \sum_{|\alpha|=n-s} B_\alpha(\lambda) (x_\alpha^{(i)} - x)^\gamma (sq_{il}\lambda_k - sq_{ik}\lambda_l), \end{aligned}$$

两边同乘 $v_p^{(k)}$, 并对 k 从 0 到 m 求和, 注意到

$$\sum_{k=0}^m (\alpha_k + sq_{ik} - n\lambda_k) v_p^{(k)} = n(x_\alpha^{(i)} - x)_p,$$

得

$$\begin{aligned} & \sum_{k=0}^m \lambda_k \lambda_l v_p^{(k)} [(D_{\lambda_k} - D_{\lambda_l}) S_{\gamma}^{(i)}(x) + n \sum_{j=1}^m \gamma_j (v_j^{(k)} - v_j^{(l)}) S_{\gamma}^{(i)}(x)] \\ &= \lambda S_{\gamma}^{(i)}(x) - x_p n^{|r|} \sum_{|\alpha|=n-s} (x_\alpha^{(i)} - x)^\gamma B_\alpha(\lambda) (\alpha_l + sq_{il} - n\lambda_l) \\ &\quad + S_{\gamma}^{(i)}(x) \sum_{k=0}^m (sq_{il}\lambda_k - sq_{ik}\lambda_l) v_p^{(k)}, \end{aligned}$$

两边对 l 从 0 到 m 求和, 并注意到 $|\alpha| = n - s$ 时, $\sum_{l=0}^m (\alpha_l + sq_{il} - n\lambda_l) = 0$, 则上式化为

$$\begin{aligned} & \sum_{k,l=0}^m \lambda_k \lambda_l v_p^{(k)} [(D_{\lambda_k} - D_{\lambda_l}) S_{\gamma}^{(i)}(x) + n \sum_{j=1}^m \gamma_j (v_j^{(k)} - v_j^{(l)}) S_{\gamma}^{(i)}(x)] \\ &= S_{\gamma}^{(i)}(x) + S_{\gamma}^{(i)}(x) \sum_{k,l=0}^m (sq_{il}\lambda_k - sq_{ik}\lambda_l) v_p^{(k)}, \end{aligned}$$

故而

$$\begin{aligned} S_{\gamma}^{(i)}(x) &= \sum_{k,l=0}^m \lambda_k \lambda_l v_p^{(k)} [(D_{\lambda_k} - D_{\lambda_l}) S_{\gamma}^{(i)}(x) + n \sum_{j=1}^m \gamma_j (v_j^{(k)} - v_j^{(l)}) S_{\gamma}^{(i)}(x)] \\ &\quad + s(v_p^{(i)} - x_p) S_{\gamma}^{(i)}(x). \end{aligned}$$

证毕

由 $S_{\gamma}^{(i)}(x)$ 的定义经计算易知,

$$|r| = 0 \text{ 时, } S_0^{(i)}(x) = 1 \quad (3)$$

再由引理 1 或引理 2 不难求得,

$$|\gamma| = 1 \text{ 时, } S_e^{(i)}(x) = s(v_k^{(i)} - x_k) \quad (4)$$

$$\begin{aligned} |\gamma| = 2 \text{ 时, } S_{e^k + e^l}^{(i)}(x) &= (n - s) \sum_{j=0}^m \lambda_j v_l^{(j)} v_k^{(j)} + s^2 (v_l^{(i)} - x_l) (v_k^{(i)} - x_k) \\ &\quad - (n - s) x_k x_l \end{aligned} \quad (5)$$

由引理 1 和引理 2, 对 $|\gamma|$ 用数学归纳法可得如下推论

推论 $S_Y^{(i)}(x)$ 是关于 n 的次数 $\leq [\frac{|Y|}{2}]$ 的多项式, 是关于 s 的次数 $\leq |Y|$ 的多项式, 且
 $|S_Y^{(i)}(x)| \leq c(Y)s^{|Y|}n^{\lfloor \frac{|Y|}{2} \rfloor}$, $c(Y) \geq 0$ 是和 Y 有关的常数

这两个引理合起来, 构成了 $S_Y^{(i)}(x)$ 的递推公式 若记 $S_Y(x) = \sum_{|\alpha| \leq m} \lambda S_Y^{(i)}(x)$, 则 $n^{-|Y|} S_Y(x)$ 便是算子 $M_{ns}(f; x)$ 的矩量 当 σ 为二维标准单纯形 Δ 时, 即 $m = 2$, $v^{(0)} = (0, 0)$, $v^{(1)} = (1, 0)$, $v^{(2)} = (0, 1)$. 由 (3), (4), (5) 可得 [2] 中的引理 2.1

3 主要结果

定理 $\forall f(x) \in C^{2N}(\sigma)$ (σ 上 $2N$ 阶连续可微函数空间), 则

$$M_{ns}(f; x) - f(x) = \sum_{|\gamma| \leq 2N} \frac{1}{\gamma!} D^\gamma f(x) \frac{S_Y(x)}{n^{|Y|}} + o_s(n^{-N}),$$

其中 $D^\gamma = D^{\gamma_1} \dots D^{\gamma_m} = \frac{\partial^{|\gamma|}}{\alpha_1^{\gamma_1} \dots \alpha_m^{\gamma_m}}$, $o_s(n^{-N})$ 中的下标 s 指 $n \rightarrow \infty$ 时, 无穷小与 s 的取值有关

证明 由 Taylor 公式,

$$f(x_\alpha^{(i)}) - f(x) = \sum_{\substack{|\gamma| \leq 2N \\ |\gamma| \neq 0}} \frac{1}{\gamma!} (x_\alpha^{(i)} - x)^\gamma D^\gamma f(x) + \sum_{|\gamma| \leq 2N} \frac{1}{\gamma!} (x_\alpha^{(i)} - x)^\gamma [D^\gamma f(\psi_\alpha^{(i)}) - D^\gamma f(x)]$$

这里 $\psi_\alpha^{(i)} = x + \theta(x_\alpha^{(i)} - x)$, $0 < \theta < 1$ 所以

$$\begin{aligned} M_{ns}^{(i)}(f; x) - f(x) &= \sum_{|\alpha| \leq m} (f(x_\alpha^{(i)}) - f(x)) B_\alpha(\lambda) = \sum_{\substack{|\gamma| \leq 2N \\ |\gamma| \neq 0}} \frac{1}{\gamma!} \frac{S_Y(x)}{n^{|Y|}} D^\gamma f(x) \\ &\quad + \sum_{|\gamma| \leq 2N} \frac{1}{\gamma!} \sum_{|\alpha| \leq m} (x_\alpha^{(i)} - x)^\gamma [D^\gamma f(\psi_\alpha^{(i)}) - D^\gamma f(x)] B_\alpha(\lambda). \end{aligned}$$

对于 $\delta^{(i)} > 0$, 写

$$\sum_{|\alpha| \leq m} (x_\alpha^{(i)} - x)^\gamma [D^\gamma f(\psi_\alpha^{(i)}) - D^\gamma f(x)] B_\alpha(\lambda) = \sum_{\|x_\alpha^{(i)} - x\| < \delta^{(i)}} \dots + \sum_{\|x_\alpha^{(i)} - x\| \geq \delta^{(i)}} \dots,$$

这里 $\|x_\alpha^{(i)} - x\|_f = \max_{1 \leq j \leq m} |(x_\alpha^{(i)} - x)_j|$, $f(x) \in C^{2N}(\sigma) \Rightarrow \forall \epsilon > 0, \exists \delta^{(i)} > 0$, 当 $\|x_\alpha^{(i)} - x\|_f <$

$\delta^{(i)}$ 时, 有 $|D^\gamma f(\psi_\alpha^{(i)}) - D^\gamma f(x)| < \epsilon/2 [c(2Y)s^{2|Y|}]^{\frac{1}{2}}$. 进而推得

$$\begin{aligned} \left| \sum_{\|x_\alpha^{(i)} - x\|_f < \delta^{(i)}} \dots \right| &\leq (\epsilon/2 [c(2Y)s^{2|Y|}]^{\frac{1}{2}}) \sum_{\substack{|\alpha| \leq m \\ \|x_\alpha^{(i)} - x\|_f < \delta^{(i)}}} |x_\alpha^{(i)} - x|^\gamma B_\alpha(\lambda) \\ &\leq (\epsilon/2 [c(2Y)s^{2|Y|}]^{\frac{1}{2}}) \left(\sum_{|\alpha| \leq m} (x_\alpha^{(i)} - x)^{2Y} B_\alpha(\lambda) \right)^{\frac{1}{2}} \left(\sum_{|\alpha| \leq m} B_\alpha(\lambda) \right)^{\frac{1}{2}} \\ &\leq (\epsilon/2 [c(2Y)s^{2|Y|}]^{\frac{1}{2}}) \frac{1}{n^{2|Y|}} (S_{2Y}^{(i)}(x))^{\frac{1}{2}} \leq \epsilon/2 n^{|Y|/2}. \end{aligned}$$

记 $M_Y = \max_{\sigma} |D^\gamma f(x)|$, 则

$$\begin{aligned} \left| \sum_{\|x_\alpha^{(i)} - x\|_f \geq \delta^{(i)}} \dots \right| &\leq 2M_Y \sum_{\substack{|\alpha| \leq m \\ \|x_\alpha^{(i)} - x\|_f \geq \delta^{(i)}}} |x_\alpha^{(i)} - x|^\gamma B_\alpha(\lambda) \\ &\leq \frac{2M_Y}{\delta^{(i)}} \sum_{\substack{|\alpha| \leq m \\ \|x_\alpha^{(i)} - x\|_f \geq \delta^{(i)}}} \|x_\alpha^{(i)} - x\|_f |x_\alpha^{(i)} - x|^\gamma B_\alpha(\lambda) \end{aligned}$$

$$\begin{aligned}
&\leq \frac{2M_Y}{\delta^{(i)}} \left(\sum_{\substack{|\alpha| \leq s \\ \|x_\alpha^{(i)} - x\|_c \geq \delta^{(i)}}} \|x_\alpha^{(i)} - x\|_{B_\alpha(\lambda)}^2 \right)^{\frac{1}{2}} \left(\sum_{\substack{|\alpha| \leq s \\ \|x_\alpha^{(i)} - x\|_c \geq \delta^{(i)}}} (x_\alpha^{(i)} - x)^{2Y} B_\alpha(\lambda) \right)^{\frac{1}{2}} \\
&\leq \frac{2M_Y}{\delta^{(i)}} \left(\sum_{j=1}^m \frac{1}{n} S_{2^j}^{(i)}(x) \right)^{\frac{1}{2}} \left(\frac{1}{n^{|Y|}} S_{2^j}^{(i)}(x) \right)^{\frac{1}{2}} \\
&\leq \frac{2M_Y}{\delta^{(i)}} \left(\sum_{j=1}^m c(2e^j) s^2 \frac{1}{n} \right)^{\frac{1}{2}} c^{\frac{1}{2}} (2Y) s^{|Y|} n^{-\frac{|Y|}{2}} \leq \frac{M(Y, m, s)}{\delta^{(i)}} n^{-(\frac{|Y|}{2} + \frac{1}{2})}.
\end{aligned}$$

对此 $\delta^{(i)}$ 取足够大的 n , 使 $1/\delta^{(i)} n^{\frac{1}{2}} < \epsilon/2M(Y, m, s)$. 从而 $\left| \sum_{\substack{|\alpha| \leq s \\ \|x_\alpha^{(i)} - x\|_c \geq \delta^{(i)}}} \dots \right| < \epsilon/2n^{|Y|/2}$. 所以,

$$M_{ns}^{(i)}(f; x) - f(x) = \sum_{|Y| \leq 2N} \frac{1}{Y!} \frac{S_Y^{(i)}(x)}{n^{|Y|}} D^Y f(x) + o_s(n^{-N}).$$

两边乘 λ , 关于 i 从 0 到 m 求和, 即得结论 证毕

作者衷心感谢恩师孙永生教授的悉心指导!

参 考 文 献

- [1] D. D. Stancu, *Pro. Conf. Mata Res. Inst. Oberwolfach* (ed. by G. Nommerlin), 1981, 241-251.
- [2] Z. Ditzian, *Inverse theorems for multidimensional Bernstein operators*, *Pacific J. Math.*, 121 (1988), 293-319.
- [3] H. Bereus and Xu Y., *K-moduli, moduli of smoothness, Bernstein polynomials*, *Indag. Math.*, 2: 4 (1991), 411-421.
- [4] Z. Ditzian and X. Zhou, *Optimal approximation class for multivariate Bernstein operators*, *Pacific J. Math.*, 158: 1 (1993).
- [5] Lai Mingjun, *Asymptotic formulae of multivariate Bernstein approximation*, *J. A. T.*, 70 (1992).
- [6] Feng Yuyu and Jernej Kozak, *Asymptotic expansion formulae for Bernstein polynomials defined on a simplex*, *Constr. Approx.*, 8 (1992), 49-58.

Asymptotic Formulae of Approximation Error for Smooth Functions by Stancu Operator on Simplex

Zhang Chungou

(Dept. of Math., Capital Normal University, 100037)

Abstract

In this paper, Stancu operators on the generalized simplex in m -dimensional Euclidean space are defined and its high order asymptotic formulae of pointwise approximation error for smooth functions is given.

Keywords simplex, stancu operator, $C^{2N}(\mathcal{O})$ space, asymptotic expansion