

# Normality Family and Shared Functions by Meromorphic Functions and Its Differential Polynomials

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**Abstract** In this paper, we obtain the following normal criterion: Let  $\mathcal{F}$  be a family of meromorphic functions in domain  $D \subset \mathbf{C}$ , all of whose zeros have multiplicity  $k+1$  at least. If there exist holomorphic functions  $a(z)$  not vanishing on  $D$ , such that for every function  $f(z) \in \mathcal{F}$ ,  $f(z)$  shares  $a(z)$  IM with  $L(f)$  on  $D$ , then  $\mathcal{F}$  is normal on  $D$ , where  $L(f)$  is linear differential polynomials of  $f(z)$  with holomorphic coefficients, and  $k$  is some positive numbers. We also proved coresponding results on normal functions.

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## 1. Introduction and main results

Suppose that  $f(z)$  is meromorphic functions in plane domain  $D \subset \mathbf{C}$ , and  $a$  is a complex value,  $a \in \mathbf{C}$ . Let

$$\overline{E}_f(a) = \{f^{-1}(a)\} \cap D = \{z \in D | f(z) = a\}.$$

We say that  $f$  shares  $a$  IM with  $g$  in  $D$  if  $\overline{E}_f(a) = \overline{E}_g(a)$ .

Fang<sup>[1]</sup> obtained the following result.

**Theorem A** Suppose that  $\mathcal{F}$  is a family of meromorphic functions in plane domain  $D \subset \mathbf{C}$  and  $a$  is a nonzero complex value. For every function  $f \in \mathcal{F}$ , if  $f(z) \neq 0$ , and  $\overline{E}_f(a) = \overline{E}_{f^{(k)}}(a)$ , then  $\mathcal{F}$  is normal in  $D$ , where  $k$  is a positive integer.

Fang and Zalcman<sup>[2]</sup> extended Theorem A into the case that  $f(z)$  has mulitple zeros and obtained the following result.

**Theorem B** Let  $\mathcal{F}$  be a family of meromorphic functions in domain  $D \subset \mathbf{C}$ , all of whose zeros have multiplicity  $k+1$  at least. If for every function  $f \in \mathcal{F}$ ,  $\overline{E}_f(a) = \overline{E}_{f^{(k)}}(b)$ ,  $a \neq 0$  and  $b \neq 0$ , then  $\mathcal{F}$  is normal in  $D$ .

Suppose that  $a_i(z)$  ( $i = 1, 2, \dots, k$ ) are analytic functions in  $D$ . Let

$$L(f) = a_k(z)f^{(k)}(z) + a_{k-1}(z)f^{(k-1)}(z) + \dots + a_1(z)f'(z).$$

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Then we call  $L(f)$  a linear differential polynomial about  $f$  with holomorphic coefficients.

In this paper, with the method similar to the one used by Fang and Zalcman in [2], allowing functions  $f \in \mathcal{F}$  in Theorem A to have multiple zeros, we shall consider whether Theorem A still holds when “ $f^{(k)}$ ” is replaced by  $L(f)$  and the complex value  $a$  is also replaced by holomorphic function  $a(z)$  which does not vanish in  $D$ , and obtain our first result as follows.

**Theorem 1.1** Suppose that  $\mathcal{F}$  is a family of functions meromorphic in plane domain  $D \subset \mathbf{C}$ , all of whose zeros have multiplicity  $k + 1$  at least. If there exists a holomorphic function  $a(z)$  in  $D$ , which does not vanish in  $D$ , such that  $\overline{E}_{\mathcal{F}}(a(z)) = \overline{E}_{L(f)}(a(z))$ , then  $\mathcal{F}$  is normal in  $D$ , where  $k$  is a positive integer and  $L(f)$  is a linear differential polynomial about  $f$  defined above.

Theorem 1.1 gives some abundant conditions on which  $\mathcal{F}$  is normal when the linear differential polynomial  $L(f)$  about  $f$  with holomorphic coefficients shares the holomorphic function  $a(z)$  IM with  $f(z)$ . For these conditions, we have the following notes:

**Remark 1** The restrictions of multiple zeros of  $f(z)$  in Theorem 1.1 is essential. For example, taking a family of functions<sup>[3]</sup>

$$\mathcal{F} = \{f_n(z) | f_n(z) = \frac{e^{(n+1)z} - a}{n+1} + a\},$$

we can see that zeros of  $f_n(z)$  are simple and  $\overline{E}_{f_n}(a(z)) = \overline{E}_{f'_n}(a(z))$ , but  $\mathcal{F}$  is not normal in the unit disc  $\Delta$ , here  $a$  is a nonzero finite complex number.

**Remark 2** For a positive integer  $k$ ,  $k \geq 2$ , and a family of  $\mathcal{F}$ ,  $\mathcal{F} = \{f_n(z) | f_n(z) = n^{k-1}z^{k-1}e^z, n, k \in \mathbf{N}\}$ , it is clear that zeros of  $f_n(z)$  have multiplicity  $k - 1$ . Writing  $L(f_n(z))$  as a linear differential polynomial,

$$L(f_n(z)) = \sum_{i=1}^k (-1)^{k-i} C_k^i f_n^{(i)}(z),$$

we have  $L(f_n(z)) \equiv f_n(z)$ , so  $f_n(z)$  shares any  $a(z)$  IM with  $L(f_n(z))$ , but  $\mathcal{F}$  is not normal in  $\Delta$ . This also implies that the restrictions of zeros of  $f(z)$  in Theorem 1.1 is necessary for the case  $k \geq 2$ .

**Remark 3** The requirement of which holomorphic functions  $a(z)$  does not assume zero is also necessary. For example, for a family  $\mathcal{F}$ ,  $\mathcal{F} = \{f_n(z) | f_n(z) = n^{k+1}z^{k+1}, n, k \in \mathbf{N}\}$ , and holomorphic function  $a(z) = z$ , it is clear that all zeros of  $f_n(z)$  have multiplicity  $k + 1$ , and  $\overline{E}_{f_n}(a(z)) = \overline{E}_{f_n^{(k)}}(a(z))$ , but  $\mathcal{F}$  is not normal in  $\Delta$ .

In fact, by the same method as used in the proof of Theorem 1.1, we may obtain a corresponding result as follows.

**Theorem 1.2** Let  $\mathcal{F}$  be a family of functions meromorphic in plane domain  $D \subset \mathbf{C}$ , all of whose zeros have multiplicity  $k + 1$  at least, and let  $a(z) \neq 0$  and  $b(z) \neq 0$  be two holomorphic functions which do not take zero value in  $D$ . If for every function  $f \in \mathcal{F}$ ,  $\overline{E}_{\mathcal{F}}(a(z)) = \overline{E}_{L(f)}(b(z))$ , then  $\mathcal{F}$  is normal in  $\Delta$ , where  $k$  is a positive integer and  $L(f)$  is a linear differential polynomial about  $f(z)$  defined above.

For meromorphic functions  $f(z)$  in  $\Delta$ , we call it a normal functions if there exists positive numbers  $M > 0$ , such that  $(1 - |z|)f^\#(z) \leq M$  for any  $z \in \mathbf{C}$ , where  $f^\#(z) = |f'(z)|/(1 + |f(z)|^2)$  is a spherical derivative of  $f(z)$ .

Suppose that there exists a property  $P$  of function families  $\mathcal{F}$  in the plane domain  $D$ , with which a family  $\mathcal{F}$  is normal in  $D$ . Then for every function  $f \in \mathcal{F}$ , Pang and Bergweiler bring forward whether  $f$  is normal function. In this paper, for the case that  $a(z)$  and coefficients of a linear differential polynomial  $L(f)$  about  $f(z)$  are constants, attaching a condition that  $\overline{E}_{L(f)}(0) \subset \overline{E}_f(0)$ , we obtain that function  $f(z)$  which satisfies the conditions in Theorem 1.1 or Theorem 1.2 must be a normal function. But it is valuable to consider whether the extra condition  $\overline{E}_{L(f)}(0) \subset \overline{E}_f(0)$  is essential.

**Theorem 1.3** *Let  $f(z)$  be meromorphic function in  $\Delta$ , all of whose zeros have multiplicity  $k + 1$  at least, and  $\overline{E}_{L(f)}(0) \subset \overline{E}_f(0)$ . Suppose that for nonzero complex numbers  $a \neq 0$  and  $b \neq 0$ ,  $\overline{E}_f(a) = \overline{E}_{L(f)}(b)$ . Then  $f(z)$  is normal function in  $\Delta$ . Where*

$$L(f) = a_k f^{(k)}(z) + a_{k-1} f^{(k-1)}(z) + \cdots + a_1 f'(z),$$

here  $a_1, a_2, \dots, a_k$  are complex constants,  $a_k \neq 0$  and  $k$  is a positive integer with  $k \geq 2$ .

## 2. Some lemmas

To complete the proof of Theorem 1.1, we need some lemmas as follows.

**Lemma 2.1**<sup>[4]</sup> *Let  $\mathcal{F}$  be a family of meromorphic functions on the unit disc  $\Delta$ , all of whose zeroes have multiplicity at least  $k$ , and suppose there exists  $A \geq 1$  such that  $|f^{(k)}(z)| \leq A$  whenever  $f(z) = 0$ ,  $f \in \mathcal{F}$ . Then if  $\mathcal{F}$  is not normal, there exist, for each  $0 \leq \alpha \leq k$ :*

- (a) A number  $r$ ,  $0 < r < 1$ ;
- (b) Points  $z_n$ ,  $|z_n| < r$ ;
- (c) Functions  $f_n \in \mathcal{F}$ , and
- (d) Positive numbers  $\rho_n \rightarrow 0$  such that

$$\frac{f_n(z_n + \rho_n \xi)}{\rho_n^\alpha} = g_n(\xi) \rightarrow g(\xi)$$

locally uniform with respect to the spherical metric, where  $g(\xi)$  is a nonconstant meromorphic function on  $\mathbf{C}$  such that

$$g^\#(\xi) \leq g^\#(0) = kA + 1.$$

**Lemma 2.2**<sup>[3]</sup> *Suppose that  $R(z)$  is a nonconstant rational function, all of whose zeros have multiplicity  $k + 1$  at least. If for any nonzero complex constant  $b$ ,  $b \neq 0$ ,  $R^{(k)}(z) \neq b$ , then*

$$R(z) = \frac{(\gamma z + \delta)^{k+1}}{\alpha z + \beta}.$$

Where  $\alpha, \beta, \delta, \gamma$  are some complex constants,  $\gamma^{k+1}k! = \alpha b$ ,  $\alpha\gamma \neq 0$ ,  $|\alpha| + |\delta| \neq 0$ , and  $k$  is a positive integer.

**Lemma 2.3**<sup>[5]</sup> Suppose that  $f(z)$  is a meromorphic function with finite orders, all of whose zeros have multiplicity  $k + 1$  at least. Then  $f^{(k)}(z)$  assumes any nonzero finite value  $b$  finite times, where  $k$  is a positive integer.

**Lemma 2.4**<sup>[6,7]</sup> Suppose that  $f(z)$  is a meromorphic function in the plane, and  $k$  is a positive integer. If  $f(z)f^{(k)}(z) \neq 0$ , then  $f(z) = e^{\alpha z + \beta}$  or  $f(z) = (\alpha z + \beta)^{-n}$ , where  $\alpha \neq 0$ ,  $\beta$  are two complex numbers, and  $n$  is a positive integer.

### 3. Proofs of Theorems

#### 3.1 Proof of Theorem 1.1

Suppose  $\mathcal{F}$  is not normal at  $z_0 \in \Delta$ . Without loss of generality, we write  $z_0 = 0$ , then from Lemma 2.1, there exist  $f_n \in \mathcal{F}$ , point sequences  $z_n \rightarrow 0$ , and positive numbers  $\rho_n \rightarrow 0^+$ , such that  $\rho_n^{-\alpha} f_n(z_n + \rho_n \xi)$  locally uniformly converges on functions  $g(\xi)$  with respect to the spherical metric, where  $g(\xi)$  is a nonconstant meromorphic function on  $\mathbf{C}$ , whose spherical derivative satisfies  $g^\#(\xi) \leq g^\#(0) = kA + 1$ , that is,  $g(\xi)$  is of finite order. We may assert that the following conclusion is true.

- (i) All zeros of  $g(\xi)$  have multiplicity  $k + 1$  at least;
- (ii)  $g^{(k)}(\xi) \neq 1$ ;
- (iii) All poles of  $g(\xi)$  are multiple.

In fact, suppose that point  $\xi_0 \in \mathbf{C}$  is a zero of  $g(\xi)$ ,  $g(\xi_0) = 0$ . Since  $g(\xi)$  is not identical constant, there exists  $\xi_n$  such that  $g_n(\xi_n) = \rho_n^{-k} f_n(z_n + \rho_n \xi)$  for  $n$  sufficiently large, that is,  $f_n(z_n + \rho_n \xi) = 0$ . Because all zeros of  $f$  have multiplicity  $k + 1$  at least,  $f_n^{(m)}(z_n + \rho_n \xi) = 0$ ,  $m = 1, 2, \dots, k$ . Thus,

$$g_n^{(m)}(\xi) = \rho_n^{m-k} f_n^{(m)}(z_n + \rho_n \xi) = 0, \quad m = 1, 2, \dots, k.$$

Then  $g^{(m)}(\xi_0) = 0$ ,  $m = 1, 2, \dots, k$ , that is, all zeros of  $g(\xi)$  have multiplicity  $k + 1$  at least. The assertion (i) holds.

Suppose that there exists  $\xi_0 \in \mathbf{C}$  such that  $g^{(k)}(\xi_0) = 1$ . Then  $g^{(k)}(\xi_0) \neq \infty$ . We can see that  $g^{(k)}(\xi)$  is not identical constant 1. Otherwise,  $g^{(k)}(\xi) \equiv 1$  for any  $\xi \in \mathbf{C}$ . Therefore,  $g(\xi)$  is a polynomial about  $\xi$  with degree  $k$ , all of whose zeros have multiplicity  $k$  at most. This contradicts the assertion (i). Again because of

$$\begin{aligned} L(f_n)(z_n + \rho_n \xi) &= a_k(z_n + \rho_n \xi) f_n^{(k)}(z_n + \rho_n \xi) + \dots + a_1(z_n + \rho_n \xi) f_n'(z_n + \rho_n \xi) \\ &= a_k(z_n + \rho_n \xi) g_n^{(k)}(z_n + \rho_n \xi) + \dots + a_1(z_n + \rho_n \xi) \rho_n^{k-1} g_n'(z_n + \rho_n \xi), \end{aligned} \quad (3.1)$$

we have that  $L(f)(z_n + \rho_n \xi) - a(z_n + \rho_n \xi) \rightarrow g^{(k)}(\xi) - 1$  locally uniformly with respect to the spherical metric. From Hurwitz Theorem, there exists  $\xi_n \rightarrow \xi_0$  such that  $L(f)(z_n + \rho_n \xi_n) = a(z_n + \rho_n \xi_n)$ . Again from  $\overline{E}_f(a(z)) = \overline{E}_{L(f)}(a(z))$ , we deduce that  $f_n(z_n + \rho_n \xi_n) = a(z_n + \rho_n \xi_n)$ , that is,

$$g_n(\xi_n) = \rho_n^{-k} f_n(z_n + \rho_n \xi_n) = \rho_n^{-k} a(z_n + \rho_n \xi_n).$$

Thereby,  $g(\xi_0) = \infty$ , this contradicts that  $g^{(k)}(\xi_0) \neq \infty$ , so the assertion (ii) holds.

In the sequel, we shall prove that all poles of  $g(\xi)$  are multiple.

If there exists  $\xi_0$  ( $\xi_0 \in \mathbf{C}$ ), such that  $g(\xi_0) = \infty$ , then we shall deduce that  $(g(\xi)^{-1})' |_{\xi=\xi_0} = 0$ .

Since  $g(\xi)$  is not identical  $\infty$ , there exists a set  $K = \{\xi : |\xi - \xi_0| < \delta\}$  in which  $\frac{1}{g(\xi)}$  and  $\frac{1}{g_n(\xi)}$  are holomorphic for  $n$  sufficiently large, and  $\frac{1}{g_n(\xi)}$  uniformly converges to  $\frac{1}{g(\xi)}$ . Therefore,

$$\frac{1}{g_n(\xi)} - \frac{\rho_n^k}{a(z_n + \rho_n \xi)^{-1}} \longrightarrow \frac{1}{g(\xi)}$$

with convergence being uniform in  $K$ . From  $\frac{1}{g(\xi)} \neq \infty$ , there exists  $\xi_n$  in  $K$ ,  $\xi_n \rightarrow \xi_0$ , such that  $g_n(\xi_n)^{-1} - \rho_n^k a(z_n + \rho_n \xi_n)^{-1} = 0$  for  $n$  sufficiently large, thus  $f(z_n + \rho_n \xi_n) = a(z_n + \rho_n \xi_n)$ . Then

$$L(f_n)(z_n + \rho_n \xi_n) = a(z_n + \rho_n \xi_n). \quad (3.2)$$

Writing

$$l(g_n(\xi)) = a_k(z_n + \rho_n \xi) g_n^{(k)}(\xi) + a_k(z_n + \rho_n \xi) \rho_n g_n^{(k)}(\xi) + \cdots + a_1(z_n + \rho_n \xi) \rho_n^{k-1} g_n'(\xi), \quad (3.3)$$

$$\frac{1}{g(\xi)} \equiv F(\xi), \quad \frac{1}{g_n(\xi)} \equiv F_n(\xi). \quad (3.4)$$

For the case  $k = 1$ , Theorem 1.1 is just Theorem A, so we omit the details. For the case  $k = 2$ , by (3.4), we have

$$g'(\xi) = -F' g^2, \quad (3.5)$$

$$g''(\xi) = -F'' g^2 + 2(F')^2 g^3, \quad F'' = -g'' g^{-2} + 2(F')^2 g, \quad (3.6)$$

thus  $l(F) = a_2 F'' + a_1 \rho_n F' = -l(g) g^{-2} + 2a_2 (F')^2 g$ . Then we have

$$\begin{aligned} \lim_{n \rightarrow +\infty} l(F_n(\xi_n)) &= \lim_{n \rightarrow +\infty} [-l(g_n) g_n^{-2} + 2a_2 (F_n')^2 g_n(\xi_n)] \\ &= \lim_{n \rightarrow +\infty} [a(z_n + \rho_n \xi_n) g_n^{-2}(\xi_n) + 2a_2 (F_n')^2 g_n(\xi_n)] \\ &= \lim_{n \rightarrow +\infty} [2a_2 (F_n')^2 g_n(\xi_n)]. \end{aligned}$$

From  $\lim_{n \rightarrow +\infty} g_n(\xi_n) = \infty$ , we deduce that  $g'(\xi_0) g^{-2}(\xi_0) = \lim_{n \rightarrow +\infty} (g_n' g_n^{-2})^2 = 0$ , that is,  $\xi_0$  is of multiple pole of  $g(\xi)$  with order 2 at least.

For the case  $k \geq 3$ , from Eqs. (3.5) and (3.6), and by mathematical inductive method, it follows that

$$g^{(k)} = -F^{(k)} g^2 + A_{k3} g^3 + A_{k4} g^4 + \cdots + A_{kk} g^k + (-1)^k k! (F')^k g^{k+1},$$

where  $A_{kj}$  ( $j = 1, 2, \dots, k$ ) are some polynomials about  $F', F'', \dots, F^{(k)}$ . Thus,

$$F^{(k)} = -g^{(k)} g^{-2} + (-1)^k k! (F')^k g^{k-1} A_{k3} g^1 + A_{k4} g^2 + \cdots + A_{kk} g^{k-2}. \quad (3.7)$$

Setting  $B_0 = -a_1 \rho_n^{k-1} F'$ ,  $B_t = (-1)^{t+1} (t+1)! a_{t+1} \rho_n^{k-t-1} (F')^{t+1} + \sum_{i=3}^k a_i \rho_n^{k-i} A_{i,t+2}$ ,  $t = 1, 2, \dots, k-2$ , by Eqs. (3.3)–(3.7) and the above signs, we have

$$l(F_n) = l(g_n^{-1}) = -l(g_n) g_n^{-2} + (-1)^k k! a_k (F')^k g_n^{k-1} + \sum_{t=0}^{k-2} B_t g_n^t. \quad (3.8)$$

Again by Eqs. (3.1) and (3.2), Equ. (3.8) implies

$$l(F_n(\xi_n)) = -a(z_n + \rho_n \xi_n)g_n^{-2} + (-1)^k k! a_k (F')^k g_n^{k-1} + \sum_{t=0}^{k-2} B_t g_n^t.$$

From  $\lim_{n \rightarrow +\infty} g_n(\xi_n) = \infty$  and  $\lim_{n \rightarrow +\infty} B_0(\xi_n) = B_0(\xi_0) = 0$ , we have

$$\lim_{n \rightarrow +\infty} [l(F_n(\xi_n))] = \lim_{n \rightarrow +\infty} \left\{ [(-1)^k k! a_k (F')^k g_n^{k-2} + \sum_{t=1}^{k-2} B_t g_n^{t-1}] g_n \right\}.$$

Therefore, we have

$$\lim_{n \rightarrow +\infty} [(-1)^k k! a_k (F')^k g_n^{k-2} + \sum_{t=1}^{k-2} B_t g_n^{t-1}] = 0.$$

Similarly, from  $\lim_{n \rightarrow +\infty} B_1(\xi_n) = B_1(\xi_0)$ , we have

$$\lim_{n \rightarrow +\infty} [l(F_n(\xi_n))] = \lim_{n \rightarrow +\infty} \left\{ [(-1)^k k! a_k (F')^k g_n^{k-3} + \sum_{t=2}^{k-2} B_t g_n^{t-2}] g_n \right\} = -B_1(\xi_0).$$

Again by  $\lim_{n \rightarrow +\infty} g_n(\xi_n) = \infty$ , we also have

$$\lim_{n \rightarrow +\infty} [(-1)^k k! a_k (F')^k g_n^{k-3} + \sum_{t=2}^{k-2} B_t g_n^{t-2}] = 0.$$

Going on with the similar deduction step by step, we have  $\lim_{n \rightarrow +\infty} [(-1)^k k! a_k (F')^k] = 0$ . Thereby,  $(g^{-1})'|_{\xi=\xi_0} = \lim_{n \rightarrow +\infty} F'_n(\xi_n) = 0$ , that is,  $\xi_0$  is of multiple poles of  $g(\xi)$ , thus the assertion (iii) also holds.

Since  $g(\xi)$  is of finite order, by assertions (i) and (ii), and Lemma 2.3, we have that  $g(\xi)$  must be nonconstant rational function. Again from Lemma 2.2, we deduce that  $g(\xi)$  only has simple poles, which contradicts that all poles of  $g(\xi)$  are multiple. This completes proof of Theorem 1.1, thus  $\mathcal{F}$  is normal in  $D$ .

### 3.2 Proof of Theorem 1.3

If  $f(z)$  is not normal function in  $\Delta$ , then there exists  $z_n$ ,  $|z_n| < 1$ , such that  $\lim_{n \rightarrow +\infty} (1 - |z_n|)f^\#(z_n) = \infty$ . Let  $f_n(z) = f(z_n + (1 - |z_n|)z)$  and  $\mathcal{F} = \{f_n\}$ . By Marty's criterion, it is not difficult to see that  $\mathcal{F}$  is not normal at  $z = 0$ . From Lemma 2.1, there exists point sequences  $\xi_n \rightarrow 0$ ,  $\rho_n \rightarrow 0^+$ , and one subsequence of  $\mathcal{F}$ , still denoted  $\{f_n\}$  for this subsequence, such that

$$g_n(\xi) = f_n(\xi_n + \rho_n \xi) = f(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n \xi) \rightarrow g(\xi)$$

locally uniformly with respect to the spherical metric, where  $g^{(k)}(\xi)$  is not identical zeros. Then all zeros of  $g(\xi)$  have multiplicity  $k + 1$  at least. We may assert that  $\overline{E}_g(a) = \overline{E}_{g^{(k)}}(0) \subset \overline{E}_g(0)$ .

(i)  $\overline{E}_g(a) = \overline{E}_{g^{(k)}}(0)$ .

Suppose that there exists  $\xi_0 \in \mathbf{C}$  such that  $g^{(k)}(\xi_0) = 0$ . Since

$$\begin{aligned} & a_k g_n^{(k)}(\xi) + a_k (1 - |z_n|) \rho_n g_n^{(k-1)}(\xi) + \cdots + a_1 [(1 - |z_n|) \rho_n]^{k-1} g'_n(\xi) - [(1 - |z_n|) \rho_n]^k b \\ &= [(1 - |z_n|) \rho_n]^k L(f)(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n \xi) - [(1 - |z_n|) \rho_n]^k b \longrightarrow g^{(k)}(\xi) \end{aligned}$$

locally uniformly with respect to the spherical metric, and  $g^{(k)}(\xi)$  is not identically zero, by Rouché Theorem, there exists point sequence  $\xi_n^* \rightarrow \xi_0$ , such that

$$[(1 - |z_n|)\rho_n]^k L(f)(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n\xi_n^*) - [(1 - |z_n|)\rho_n]^k b = 0,$$

for  $n$  sufficiently large, that is,  $L(f)(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n\xi_n^*) = b$ . Again from  $\overline{E}_f(a) = \overline{E}_{L(f)}(b)$ , we have

$$g_n(\xi_n^*) = f(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n\xi_n^*) = a.$$

Thus,  $g(\xi_0) = a$ , that is,  $\overline{E}_{g^{(k)}}(0) \subset \overline{E}_g(a)$ .

Similarly, suppose there exists  $\xi_0 \in \mathbf{C}$  such that  $g(\xi_0) = a$ . Since

$$g_n(\xi) - a = f(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n\xi) - a \rightarrow g(\xi) - a$$

locally uniformly with respect to the spherical metric, and  $g^{(k)}(\xi) \not\equiv 0$ ,  $g(\xi) - a \not\equiv 0$ . Then, from Rouché Theorem we have that there exists point sequence  $\xi_n^* \rightarrow \xi_0$ , such that

$$g_n(\xi_n^*) - a = f(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n\xi_n^*) - a = 0$$

for  $n$  sufficiently large. Again from  $\overline{E}_f(a) = \overline{E}_{L(f)}(b)$ , we have

$$L(f)(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n\xi_n^*) = b,$$

that is,

$$[(1 - |z_n|)\rho_n]^k L(f)(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n\xi_n^*) = [(1 - |z_n|)\rho_n]^k b.$$

Then  $g^{(k)}(\xi_0) = 0$ . This shows that  $\overline{E}_g(a) \subset \overline{E}_{g^{(k)}}(0)$ , so the assertion (i) holds.

(ii)  $\overline{E}_{g^{(k)}}(0) \subset \overline{E}_g(0)$ .

By the same argument as the first part in proof of the assertion (i), suppose that there exists  $\xi_0 \in \mathbf{C}$  such that  $g^{(k)}(\xi_0) = 0$ . Since

$$\begin{aligned} a_k g_n^{(k)}(\xi) + a_k(1 - |z_n|)\rho_n g_n^{(k-1)}(\xi) + \cdots + a_1 [(1 - |z_n|)\rho_n]^{k-1} g_n'(\xi) \\ = [(1 - |z_n|)\rho_n]^k L(f)(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n\xi) \longrightarrow g^{(k)}(\xi) \end{aligned}$$

locally uniformly with respect to the spherical metric, where  $g^{(k)}(\xi) \not\equiv 0$ , and by Rouché Theorem, we have that there exists point sequence  $\xi_n^* \rightarrow \xi_0$ , such that

$$[(1 - |z_n|)\rho_n]^k L(f)(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n\xi_n^*) = 0$$

for  $n$  sufficiently large. That is,  $L(f)(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n\xi_n^*) = 0$ .

From  $\overline{E}_{L(f)}(0) \subset \overline{E}_f(0)$ , we may deduce that

$$g_n(\xi_n^*) = f(z_n + (1 - |z_n|)\xi_n + (1 - |z_n|)\rho_n\xi_n^*) = 0.$$

Then  $g(\xi_0) = 0$ . Thereby,  $\overline{E}_{g^{(k)}}(0) \subset \overline{E}_g(0)$ , which shows that the assertion (ii) also holds.

From the above assertions that  $\overline{E}_g(a) = \overline{E}_{g^{(k)}}(0) \subset \overline{E}_g(0)$  and all zeros of  $g(\xi)$  have multiplicity  $k + 1$  at least, we obtain  $g^{(k)}(\xi) \not\equiv 0$  and  $g(\xi) \not\equiv 0$ .

Again from  $\overline{E}_g(a) = \overline{E}_{g^{(k)}}(0)$ , we have  $g(\xi) \neq a$ . On the other hand, from Lemma 2.4, we immediately obtain that the expression of  $g(\xi)$  is either  $g(\xi) = e^{\alpha z + \beta}$  or  $g(\xi) = (\alpha z + \beta)^{-n}$ .

Clearly, this contradicts  $g(\xi) \neq a$ . Therefore,  $f(z)$  must be a normal function in  $\Delta$ . So far, we give the complete proof of Theorem 1.3.

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