

# Generalized Macaulay-Northcott Modules and Tor-Groups

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**Abstract** Let  $(S, \leq)$  be a strictly totally ordered monoid which is also artinian, and  $R$  a right noetherian ring. Assume that  $M$  is a finitely generated right  $R$ -module and  $N$  is a left  $R$ -module. Denote by  $[[M^{S, \leq}]]$  and  $[N^{S, \leq}]$  the module of generalized power series over  $M$ , and the generalized Macaulay-Northcott module over  $N$ , respectively. Then we show that there exists an isomorphism of Abelian groups:

$$\mathrm{Tor}_i^{[[R^{S, \leq}]]}([M^{S, \leq}], [N^{S, \leq}]) \cong \bigoplus_{s \in S} \mathrm{Tor}_i^R(M, N).$$

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## 1. Introduction

Let  $R$  be a ring and  $(S, \leq)$  a strictly totally ordered monoid. Assume that  $[[R^{S, \leq}]]$  is the ring of generalized power series with coefficients in  $R$  and exponents in  $S$ . The generalized Macaulay-Northcott modules  $[M^{S, \leq}]$  and the generalized power series modules  $[[M^{S, \leq}]]$  play an important role in the theory of category of  $[[R^{S, \leq}]]$ -modules. For polynomial rings  $R[x]$ , it was shown in ([1], Theorems 1.2 and 2.1) that there are isomorphisms of Abelian groups  $\mathrm{Ext}_{R[x]}^i(M[x^{-1}], N[x^{-1}]) \cong \mathrm{Ext}_R^i(M, N)[[x]]$  for left Noetherian rings  $R$  and  $R$ -modules  ${}_R M$ ,  ${}_R N$ , and  $\mathrm{Tor}_i^{R[x]}(M[x^{-1}], N[x^{-1}]) \cong \mathrm{Tor}_{i-1}^R(M, N)[x^{-1}]$  for any rings  $R$  and  $R$ -modules  $M_R$  and  $RN$ . It was shown in ([2], Lemma 2.3) and ([3], Lemma 3.3) that there exists a natural isomorphism of Abelian groups  $\mathrm{Hom}_{[[R^{S, \leq}]]}([M^{S, \leq}], [N^{S, \leq}]) \cong [[\mathrm{Hom}_R(M, N)^{S, \leq}]]$ . More generally, under some additional conditions, it was shown that there exist isomorphisms of Abelian groups  $\mathrm{Ext}_{[[R^{S, \leq}]]}^i([M^{S, \leq}], [N^{S, \leq}]) \cong \prod_{s \in S} \mathrm{Ext}_R^i(M, N)^{[3]}$  and  $\mathrm{Tor}_i^{[[R^{S, \leq}]]}([M^{S, \leq}], [[N^{S, \leq}]]) \cong [[\mathrm{Tor}_i^R(M, N)^{S, \leq}]]^{[4]}$ . In this paper we will consider the Tor-group determined by a generalized power series module  $[[M^{S, \leq}]]_{[[R^{S, \leq}]]}$  and a generalized Macaulay-Northcott module  $[[R^{S, \leq}]][N^{S, \leq}]$  under some additional conditions.

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All rings considered here are associative with identity. Any concept and notation not defined here can be found in [5]–[7].

Let  $(S, \leq)$  be an ordered set. Recall that  $(S, \leq)$  is artinian if every strictly decreasing sequence of elements of  $S$  is finite, and that  $(S, \leq)$  is narrow if every subset of pairwise order-incomparable elements of  $S$  is finite. Let  $S$  be a commutative monoid. Unless stated otherwise, the operation of  $S$  shall be denoted additively, and the neutral element by 0. The following definition is due to [8].

**Definition 1.1** Let  $(S, \leq)$  be a strictly ordered monoid (that is,  $(S, \leq)$  is an ordered monoid satisfying the condition that, if  $s, s', t \in S$  and  $s < s'$ , then  $s + t < s' + t$ ), and  $R$  a ring. Let  $[[R^{S, \leq}]]$  be the set of all maps  $f : S \rightarrow R$  such that  $\text{supp}(f) = \{s \in S \mid f(s) \neq 0\}$  is artinian and narrow. With pointwise addition, and the operation of convolution

$$(fg)(s) = \sum_{(u,v) \in X_s(f,g)} f(u)g(v),$$

where  $X_s(f, g) = \{(u, v) \in S \times S \mid s = u + v, f(u) \neq 0, g(v) \neq 0\}$  is a finite set by [8, 4.1] for every  $s \in S$  and  $f, g \in [[R^{S, \leq}]]$ ,  $[[R^{S, \leq}]]$  becomes a ring, which is called the ring of generalized power series. The elements of  $[[R^{S, \leq}]]$  are called generalized power series with coefficients in  $R$  and exponents in  $S$ .

Many examples and results of rings of generalized power series are given in [5]–[11].

## 2. Modules of generalized power series

Let  $M$  be a right  $R$ -module over a ring  $R$  and  $(S, \leq)$  a strictly ordered monoid. Denote by  $[[M^{S, \leq}]]$  the set of all maps  $\phi : S \rightarrow M$  such that  $\text{supp}(\phi) = \{s \in S \mid \phi(s) \neq 0\}$  is artinian and narrow. With pointwise addition,  $[[M^{S, \leq}]]$  is an abelian additive group. For each  $f \in [[R^{S, \leq}]]$ , each  $\phi \in [[M^{S, \leq}]]$ , and  $s \in S$ , denote

$$X_s(\phi, f) = \{(u, v) \in S \times S \mid s = u + v, \phi(u) \neq 0, f(v) \neq 0\}.$$

Then, by [12, Lemma 1],  $X_s(\phi, f)$  is finite. Now  $[[M^{S, \leq}]]$  can be turned into a right  $[[R^{S, \leq}]]$ -module by the scalar multiplication defined as follows

$$(\phi f)(s) = \sum_{(u,v) \in X_s(\phi,f)} \phi(u)f(v)$$

for each  $f \in [[R^{S, \leq}]]$  and each  $\phi \in [[M^{S, \leq}]]$ .  $[[M^{S, \leq}]]$  is called the module of generalized power series over a right  $R$ -module  $M$ . The elements of  $[[M^{S, \leq}]]$  are called generalized power series with coefficients in  $M$  and exponents in  $S$ .

Similarly, if  $M$  is a left  $R$ -module, then  $[[M^{S, \leq}]]$  is a left  $[[R^{S, \leq}]]$ -module. Examples and results of modules of generalized power series are given in [12].

Let  $M, N$  be right  $R$ -modules and  $\alpha : M \rightarrow N$  an  $R$ -homomorphism. Define a mapping

$[[\alpha^{S,\leq}]] : [[M^{S,\leq}]] \longrightarrow [[N^{S,\leq}]]$  via

$$[[\alpha^{S,\leq}]](g) : \begin{aligned} S &\longrightarrow N \\ s &\longrightarrow \alpha(g(s)) \end{aligned}$$

for any  $g \in [[M^{S,\leq}]]$ . Clearly  $\text{supp}([[ \alpha^{S,\leq} ]](g)) \subseteq \text{supp}(g)$ . Thus it follows that  $\text{supp}([[ \alpha^{S,\leq} ]](g))$  is artinian and narrow. Hence  $[[ \alpha^{S,\leq} ]](g) \in [[N^{S,\leq}]]$ . This means that  $[[ \alpha^{S,\leq} ]]$  is well-defined. The following results appeared in [3].

**Lemma 2.1** (1)  $[[ \alpha^{S,\leq} ]]$  is an  $[[R^{S,\leq}]]$ -homomorphism.

(2) If  $M \xrightarrow{\alpha} N \xrightarrow{\beta} L$  is a complex, then so is

$$[[M^{S,\leq}]] \xrightarrow{[[ \alpha^{S,\leq} ]]} [[N^{S,\leq}]] \xrightarrow{[[ \beta^{S,\leq} ]]} [[L^{S,\leq}]].$$

(3) The functor  $[[(-)^{S,\leq}]] : \text{Mod-}R \longrightarrow \text{Mod-}[[R^{S,\leq}]]$  is exact.

Let  $M$  be a right  $R$ -module. Define a mapping  $\alpha : M \otimes_R [[R^{S,\leq}]] \longrightarrow [[M^{S,\leq}]]$  via

$$\alpha\left(\sum (m_i \otimes f_i)\right)(s) = \sum m_i f_i(s), \quad \forall m_i \in M, \forall f_i \in [[R^{S,\leq}]], \forall s \in S.$$

**Lemma 2.2** If  $M$  is a finitely presented right  $R$ -module, then  $\alpha$  is an isomorphism of right  $[[R^{S,\leq}]]$ -modules.

**Proof** The conclusion follows from [4, Lemma 5]. □

**Example 2.3** The converse of Lemma 2.2 is not true in general. Let  $R$  be a ring. Suppose that the monoid  $S$  is trivially ordered. Then the artinian and narrow subsets are the finite subsets. Thus for every right  $R$ -module  $M$ , there exists an isomorphism of right  $R$ -modules  $[[M^{S,\leq}]] \cong \bigoplus_{s \in S} M$ . Similarly, there exists an isomorphism of left  $R$ -modules  $[[R^{S,\leq}]] \cong \bigoplus_{s \in S} R$ . Thus there exists an isomorphism of Abelian groups  $\beta : M \otimes_R [[R^{S,\leq}]] \cong M \otimes_R (\bigoplus_{s \in S} R) \cong \bigoplus_{s \in S} (M \otimes_R R) \cong \bigoplus_{s \in S} M \cong [[M^{S,\leq}]]$ . It is easy to see that  $\alpha = \beta$ . Thus, by Lemma 1 of [4],  $\alpha$  is an isomorphism of right  $[[R^{S,\leq}]]$ -modules. But we can take  $M$  such that it is not finitely presented.

The following result appeared in [4, Lemma 7].

**Lemma 2.4** If  $P_R$  is finitely generated projective, then  $[[P^{S,\leq}]]$  is a projective right  $[[R^{S,\leq}]]$ -module.

### 3. Generalized Macaulay-Northcott modules

If  $M$  is a left  $R$ -module, we let  $[M^{S,\leq}]$  be the set of all maps  $\phi : S \longrightarrow M$  such that the set  $\text{supp}(\phi) = \{s \in S | \phi(s) \neq 0\}$  is finite. Now  $[M^{S,\leq}]$  can be turned into a left  $[[R^{S,\leq}]]$ -module under some additional conditions. The addition in  $[M^{S,\leq}]$  is componentwise and the scalar multiplication is defined as follows

$$(f\phi)(s) = \sum_{t \in S} f(t)\phi(s+t), \quad \text{for every } s \in S,$$

where  $f \in [[R^{S,\leq}]]$ , and  $\phi \in [M^{S,\leq}]$ . Since the set  $\text{supp}(\phi)$  is finite, this multiplication is well-defined. If  $(S, \leq)$  is a strictly totally ordered monoid which is also artinian, then, from [2],  $[M^{S,\leq}]$  becomes a left  $[[R^{S,\leq}]]$ -module, which we call the generalized Macaulay-Northcott module.

For example, if  $S = \mathbb{N}$  and  $\leq$  is the usual order, then  $[M^{\mathbb{N},\leq}] \cong M[x^{-1}]$ , the usual left  $R[[x]]$ -module introduced in [13] and [4], which is called the Macaulay-Northcott module in [14] and [1].

We shall henceforth assume that  $(S, \leq)$  is a strictly totally ordered monoid which is also artinian. Then it is easy to see that  $(S, \leq)$  satisfies the condition that  $0 \leq s$  for every  $s \in S$  [15].

For any abelian additive group  $G$ , we denote by  $[[G^{S,\leq}]]$  the set of all maps  $h : S \rightarrow G$ . With pointwise addition,  $[[G^{S,\leq}]]$  is an abelian additive group.

For any  $R$ -homomorphism  $\alpha : M \rightarrow N$ , define  $f \in [[\text{Hom}_R(M, N)^{S,\leq}]]$  via  $f(0) = \alpha$  and  $f(x) = 0$  for all  $0 \neq x \in S$ . By ([2], Lemma 2.3) and its proof, there exists  $[\alpha^{S,\leq}] \in \text{Hom}_{[[R^{S,\leq}]]}([M^{S,\leq}], [N^{S,\leq}])$  such that for any  $\phi \in [M^{S,\leq}]$  and any  $s \in S$ ,

$$[\alpha^{S,\leq}](\phi)(s) = \sum_{u \in S} f(u)(\phi(s+u)) = \alpha(\phi(s)).$$

The following result appeared in [3, Lemma 3.2].

**Lemma 3.1** *The functor  $[(-)^{S,\leq}] : R\text{-Mod} \rightarrow [[R^{S,\leq}]]\text{-Mod}$  defined as  $[(-)^{S,\leq}](M) = [M^{S,\leq}]$ ,  $[(-)^{S,\leq}](\alpha) = [\alpha^{S,\leq}]$ , is exact.*

**Lemma 3.2** *Let  $N \leq M$  be left  $R$ -modules. Then*

$$[M^{S,\leq}]/[N^{S,\leq}] \cong [(M/N)^{S,\leq}]$$

*as left  $[[R^{S,\leq}]]$ -modules.*

**Proof** The conclusion follows from Lemma 3.1. □

**Lemma 3.3** *Let  $M$  be a finitely presented right  $R$ -module and  $N$  a left  $R$ -module. Then there is a natural isomorphism  $[[M^{S,\leq}]] \otimes_{[[R^{S,\leq}]]} [N^{S,\leq}] \cong [(M \otimes_R N)^{S,\leq}]$ .*

**Proof** It is easy to see that there exists an isomorphism of left  $R$ -modules  $[N^{S,\leq}] \cong \oplus_{s \in S} N$ . By Lemma 2.2, there exists a natural isomorphism of right  $[[R^{S,\leq}]]$ -modules  $M \otimes_R [[R^{S,\leq}]] \cong [[M^{S,\leq}]]$  since  $M$  is finitely presented. Now, we have

$$\begin{aligned} [[M^{S,\leq}]] \otimes_{[[R^{S,\leq}]]} [N^{S,\leq}] &\cong (M \otimes_R [[R^{S,\leq}]]) \otimes_{[[R^{S,\leq}]]} [N^{S,\leq}] \\ &\cong M \otimes_R ([[R^{S,\leq}]] \otimes_{[[R^{S,\leq}]]} [N^{S,\leq}]) \\ &\cong M \otimes_R [N^{S,\leq}] \cong M \otimes_R (\oplus_{s \in S} N) \\ &\cong \oplus_{s \in S} (M \otimes_R N) \\ &\cong [(M \otimes_R N)^{S,\leq}]. \end{aligned}$$

Clearly all isomorphisms mentioned above are natural. □

### 4. Tor-groups

**Theorem 4.1** *Let  $S$  be a strictly totally ordered monoid which is also artinian and  $R$  a right noetherian ring. If  $M$  is a finitely generated right  $R$ -module and  $N$  is a left  $R$ -module, then there exist isomorphisms of Abelian groups:*

$$\text{Tor}_i^{[[R^{S,\leq}]]}([[M^{S,\leq}], [N^{S,\leq}]) \cong [\text{Tor}_i^R(M, N)^{S,\leq}] \cong \bigoplus_{s \in S} \text{Tor}_i^R(M, N).$$

**Proof** Since  $R$  is right noetherian, there exists a projective resolution

$$\dots \longrightarrow P_2 \xrightarrow{\delta_2} P_1 \xrightarrow{\delta_1} P_0 \xrightarrow{\delta_0} M \longrightarrow 0$$

of  $M$  such that  $P_0, P_1, \dots$  are finitely generated and projective. Then, by Lemmas 2.1 and 2.4,

$$\dots \longrightarrow [[P_2^{S,\leq}]] \longrightarrow [[P_1^{S,\leq}]] \longrightarrow [[P_0^{S,\leq}]] \longrightarrow [[M^{S,\leq}]] \longrightarrow 0$$

is a projective resolution of right  $[[R^{S,\leq}]]$ -module  $[[M^{S,\leq}]]$ . Consider the deleted projective resolution

$$\dots \longrightarrow [[P_2^{S,\leq}]] \xrightarrow{[[\delta_2^{S,\leq}]]} [[P_1^{S,\leq}]] \xrightarrow{[[\delta_1^{S,\leq}]]} [[P_0^{S,\leq}]] \longrightarrow 0.$$

We have the complex

$$\begin{aligned} \dots \longrightarrow & [[P_2^{S,\leq}]] \otimes_{[[R^{S,\leq}]]} [N^{S,\leq}] \xrightarrow{[[\delta_2^{S,\leq}]](*)} [[P_1^{S,\leq}]] \otimes_{[[R^{S,\leq}]]} [N^{S,\leq}] \\ & \xrightarrow{[[\delta_1^{S,\leq}]](*)} [[P_0^{S,\leq}]] \otimes_{[[R^{S,\leq}]]} [N^{S,\leq}] \xrightarrow{[[\delta_0^{S,\leq}]](*)} 0, \end{aligned}$$

where  $[[\delta_i^{S,\leq}]](*) = [[\delta_i^{S,\leq}]] \otimes_{[[R^{S,\leq}]]} 1_{[N^{S,\leq}]}$  for every  $i = 0, 1, \dots$ . On the other hand, we have the complex

$$\dots \longrightarrow P_2 \otimes_R N \xrightarrow{\delta_2(*)} P_1 \otimes_R N \xrightarrow{\delta_1(*)} P_0 \otimes_R N \xrightarrow{\delta_0(*)} 0,$$

where  $\delta_i(*) = \delta_i \otimes_R 1_N$  for every  $i = 0, 1, \dots$ . Thus, by Lemma 3.1, we have the complex

$$\begin{aligned} \dots \longrightarrow & [(P_2 \otimes_R N)^{S,\leq}] \xrightarrow{[\delta_2(*)^{S,\leq}]} [(P_1 \otimes_R N)^{S,\leq}] \\ & \xrightarrow{[\delta_1(*)^{S,\leq}]} [(P_0 \otimes_R N)^{S,\leq}] \xrightarrow{[\delta_0(*)^{S,\leq}]} 0. \end{aligned}$$

Clearly  $P_0, P_1, \dots$  are finitely presented. Thus by Lemma 3.3, there exists a natural isomorphism

$$[[P_i^{S,\leq}]] \otimes_{[[R^{S,\leq}]]} [N^{S,\leq}] \cong [(P_i \otimes_R N)^{S,\leq}].$$

Consider the following commutative diagram:

$$\begin{array}{ccccc} 0 & \longrightarrow & \text{Ker}([\delta_i(*)^{S,\leq}]) & \longrightarrow & [(P_i \otimes_R N)^{S,\leq}] & \xrightarrow{[\delta_i(*)^{S,\leq}]} & [(P_{i-1} \otimes_R N)^{S,\leq}] \\ & & \downarrow & & \downarrow \simeq & & \downarrow \simeq \\ 0 & \longrightarrow & \text{Ker}([\delta_i(*)^{S,\leq}]) & \longrightarrow & [(P_i \otimes_R N)^{S,\leq}] & \xrightarrow{[\delta_i(*)^{S,\leq}]} & [(P_{i-1} \otimes_R N)^{S,\leq}] \end{array}$$

It follows that  $\text{Ker}([\delta_i(*)^{S,\leq}]) \simeq [\text{Ker}(\delta_i(*)^{S,\leq})]$  by Five Lemma. Similarly, we have

$$\text{Im}([\delta_{i+1}(*)^{S,\leq}]) \simeq [\text{Im}(\delta_{i+1}(*)^{S,\leq})].$$

Thus, by Lemmas 3.1, 3.2 and 3.3, we have

$$\begin{aligned} \text{Tor}_i^{[[R^{S,\leq}]]}([[M^{S,\leq}], [N^{S,\leq}]) &= \text{Ker}([\delta_i^{S,\leq}](*)/\text{Im}([\delta_{i+1}^{S,\leq}](*) \\ &\cong \text{Ker}([\delta_i(*)]^{S,\leq})/\text{Im}([\delta_{i+1}(*)]^{S,\leq}) \\ &\cong [\text{Ker}(\delta_i(*))^{S,\leq}]/[\text{Im}(\delta_{i+1}(*))^{S,\leq}] \\ &\cong [(\text{Ker}(\delta_i(*))/\text{Im}(\delta_{i+1}(*)))^{S,\leq}] \\ &= [\text{Tor}_i^R(M, N)^{S,\leq}]. \end{aligned}$$

The isomorphism  $[\text{Tor}_i^R(M, N)^{S,\leq}] \cong \bigoplus_{s \in S} \text{Tor}_i^R(M, N)$  is clear. □

**Corollary 4.2** *If  $R$  is a right noetherian ring,  $M$  is a finitely generated right  $R$ -module and  $N$  is a left  $R$ -module, then there exist isomorphisms of Abelian groups*

$$\text{Tor}_i^{R[[x]]}(M[[x]], N[x^{-1}]) \cong \bigoplus_{n=0}^{\infty} \text{Tor}_i^R(M, N) \cong \text{Tor}_i^R(M, N)[x^{-1}].$$

**Corollary 4.3** *Let  $S$  be a torsion-free and cancellative monoid, and  $(S, \leq)$  be artinian and narrow. If  $R$  is a right noetherian ring,  $M$  is a finitely generated right  $R$ -module and  $N$  is a left  $R$ -module, then*

$$\text{Tor}_i^{[[R^{S,\leq}]]}([[M^{S,\leq}], [N^{S,\leq}]) \cong \bigoplus_S \text{Tor}_i^R(M, N).$$

**Proof** If  $(S, \leq)$  is torsion-free and cancellative, then by [5, 3.3], there exists a compatible strict total order  $\leq'$  on  $S$ , which is finer than  $\leq$ , that is, for any  $s, t \in S$ ,  $s \leq t$  implies  $s \leq' t$ . Since  $(S, \leq)$  is artinian and narrow, by [5, 2.5] it follows that  $(S, \leq')$  is artinian and narrow. Thus, by Theorem 4.1,  $\text{Tor}_i^{[[R^{S,\leq'}]]}([[M^{S,\leq'}], [N^{S,\leq'}]) \cong \bigoplus_S \text{Tor}_i^R(M, N)$ .

On the other hand, since  $(S, \leq)$  is narrow, by [5, 4.4],  $[[R^{S,\leq}]] = [[R^{S,\leq'}]]$ . Clearly  $[[M^{S,\leq}]] = [[M^{S,\leq'}]]$  and  $[N^{S,\leq}] = [N^{S,\leq'}]$ . Now the result follows. □

Any submonoid of the additive monoid  $\mathbb{N} \cup \{0\}$  is called a numerical monoid. We have

**Corollary 4.4** *Let  $S$  be a numerical monoid and  $\leq$  the usual natural order of  $\mathbb{N} \cup \{0\}$ . If  $R$  is a right noetherian ring,  $M$  is a finitely generated right  $R$ -module and  $N$  is a left  $R$ -module, then*

$$\text{Tor}_i^{[[R^{S,\leq}]]}([[M^{S,\leq}], [N^{S,\leq}]) \cong \bigoplus_S \text{Tor}_i^R(M, N).$$

**Corollary 4.5** *Suppose that  $(S_1, \leq_1), \dots, (S_n, \leq_n)$  are strictly totally ordered monoids which are artinian. Denote by  $(\text{lex } \leq)$  and  $(\text{rev lex } \leq)$  the lexicographic order, the reverse lexicographic order, respectively, on the monoid  $S_1 \times \dots \times S_n$ . If  $R$  is a right noetherian ring,  $M$  is a finitely generated right  $R$ -module and  $N$  is a left  $R$ -module, then there exist isomorphisms of Abelian groups*

$$\begin{aligned} &\text{Tor}_i^{[[R^{S_1 \times \dots \times S_n, (\text{lex } \leq)}]]}([[M^{S_1 \times \dots \times S_n, (\text{lex } \leq)}], [N^{S_1 \times \dots \times S_n, (\text{lex } \leq)}]) \\ &\cong \text{Tor}_i^{[[R^{S_1 \times \dots \times S_n, (\text{rev lex } \leq)}]]}([[M^{S_1 \times \dots \times S_n, (\text{rev lex } \leq)}], [N^{S_1 \times \dots \times S_n, (\text{rev lex } \leq)}]) \\ &\cong \bigoplus_{S_1 \times \dots \times S_n} \text{Tor}_i^R(M, N). \end{aligned}$$

**Proof** It is easy to see that  $(S_1 \times \cdots \times S_n, (\text{lex } \leq))$  and  $(S_1 \times \cdots \times S_n, (\text{rev lex } \leq))$  are strictly totally ordered monoids which are artinian. Thus the result follows from Theorem 4.1.  $\square$

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