

# Classification of Rings of Order $p^k (k > 3)$ With Additive Group of Type $(p^{k-1}, p)^*$

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## Abstract

This paper gives a complete classification of associative rings of order  $p^k (k > 3)$  with additive group of type  $(p^{k-1}, p)$ .

## I Introduction

The classification of finite associative rings was reduced to that of rings of prime power order. Throughout this paper a ring always means an associative ring (not necessarily with an identity). Let  $R(n)$  be a complete set of representatives of rings of order  $n$ . The number of elements of  $R(n)$  is denoted by  $N(n)^{[5][6]}$ . 1964, Bloom determined  $R(2^2)^{[1]}$ , 1969, Raghavendran determined  $R(p^2)^{[2]}$ , In 1947, Ballew had given a correct result for  $R(p^3)$  already<sup>[3]</sup>; 1973, Gilmer and Mott published a paper on the same problem<sup>[4]</sup> and made a correction by themselves on Review after three years, but there still remained a few errors; Liu Ke qin (刘克勤) corrected these errors<sup>[5]</sup> in 1982, and listed the representatives of order  $p^4$  with identity<sup>[6]</sup> in 1983, which is a part of  $R(p^4)$ . We tried to determine  $R(p^4)$  two years ago. Because the additive group of rings has 5 types, the work is divided into 5 parts. The first case, i.e., the case of cyclic type is trivial. The second case to consider is the type  $(p^3, p)$ . Here we generalize the problem classification of rings of order  $p^k (k > 3)$  with additive group of type  $(p^{k-1}, p)$ . We get the following two theorems:

**Theorem 1** There are exactly  $k+6$  if  $p > 2$ , or  $k+5$  if  $p=2$ , isomorphism classes of the non-nilpotent rings of order  $p^k (k > 3)$  with additive group of type  $(p^{k-1}, p)$ .

**Theorem 2** there are exactly  $(p+1)(3k-7)+8$  if  $p > 2$ , or  $4(2k-3)$  if  $p=2$ , isomorphism classes of the nilpotent rings of order  $p^k (k > 3)$  with additive group

\* Received Jul. 23, 1988

of type  $(p^{k-1}, p)$ .

## II Preliminary

Assume that  $p$  is a positive prime, integer  $k > 3$ ,  $R$  is a ring of order  $p^k$ , it's additive group  $(R, +) = (u) + (v)$  is the direct sum of cyclic subgroups  $(u)$  and  $(v)$  of order  $p^{k-1}$  and  $p$  respectively. Regarding it as a  $Z$ -module, we may write  $R = \{au + \beta v \mid \beta \in P, a \in P_{k-1}\}$  where  $P = \{0, 1, \dots, p-1\} \subset \dots \subset P_i = \{0, 1, \dots, p^i-1\} \subset \dots \subset P_{k-1} = \{0, 1, \dots, p^{k-1}-1\} \subset Z$ . Let  $A = Z/Zp^{k-1}$ ,  $B = Zp^{k-2}/Zp^{k-1} (\cong N_p$ ----- null product ring of order  $p$ ). When  $p > 2$ , let

$$\varepsilon = \begin{cases} -1, & \text{if } p \equiv 3(4). \\ \text{the smallest nonsquare residue mod } p & \text{in } \{1, 2, \dots, \frac{p-1}{2}\} & \text{if } p \equiv 1(4). \end{cases}$$

Let  $E(p) = \{x \in R \mid o(x) = p\} = \{\gamma p^{k-2}u + \delta v \mid \gamma, \delta \in P, (\gamma, \delta) \neq (0, 0)\}$ , where  $o(x)$  is the order of  $x \in (R, +)$ ,  $E(p^{k-1}) = \{x \in R \mid o(x) = p^{k-1}\} = \{au + \beta v \mid a \in P_{k-1}, \beta \in P, (a, p) = 1\}$ . We have  $|E(p)| = p^2 - 1$ ,  $|E(p^{k-1})| = \phi(p^{k-1})p = (p-1)p^{k-1}$ . There are just  $p^k(p-1)^2$  generating sets of  $(R, +)$ .

$$\{(u', v') \mid u' = au + \beta v, v' = \gamma p^{k-2}u + \delta v, a \in P_{k-1}, \beta, \gamma, \delta \in P, (a, p) = 1 = (\delta, p)\}. \quad (1)$$

Since  $px$  is nilpotent for all  $x \in R$ ,  $pR \subseteq \text{rad}(R)$ ---the radical of ring  $R$ . We have  $pR \not\subseteq (pu)$  for any  $u \in E(p^{k-1})$  which is a nilpotent ideal of ring  $R$  with cyclic additive group of order  $p^{k-2}$ . And  $p^{k-2}R = (p^{k-2}u) \cong N_p$  for any  $u \in E(p^{k-1})$ .

Let  $(u, v)$  be an arbitrary generating set of  $(R, +)$ . Since  $pv = 0$ , We have  $pv^2 = pu v = p v u = 0$ , and so  $\{uv, vu, v^2\} \subset E(p) \cup (0)$ . The multiplication table of  $(u, v)$  is as follows

$$\begin{cases} u^2 = au + \tau_{11}v, & uv = \sigma_{12}p^{k-2}u + \tau_{12}v, \\ vu = \sigma_{21}p^{k-2}u + \tau_{21}v, & v^2 = \sigma_{22}p^{k-2}u + \tau_{22}v, \end{cases} \quad (2)$$

where  $a \in P_{k-1}$ ,  $\sigma_{ij}, \tau_{ij} \in P$  are the structural constants, which obey the associative law of multiplication, that is

$$\begin{cases} \tau_{11}(\sigma_{12} - \sigma_{21}) \equiv \tau_{11}(\tau_{12} - \tau_{21}) \equiv \sigma_{22}(\tau_{12} - \tau_{21}) \equiv \tau_{22}(\tau_{12} - \tau_{21}) \equiv 0(p) \\ \tau_{12}(\tau_{12} - a) \equiv \tau_{11}\tau_{22} \equiv \tau_{21}(\tau_{21} - a)(p), \sigma_{21}(\tau_{12} - a) \equiv \sigma_{12}(\tau_{21} - a)(p), \\ \tau_{22}\sigma_{12} \equiv \sigma_{22}(\tau_{12} - a)(p), \tau_{22}\sigma_{21} \equiv \sigma_{22}(\tau_{21} - a)(p), \\ \sigma_{12}\tau_{12} \equiv \tau_{11}\sigma_{22} \equiv \sigma_{21}\tau_{21}(p). \end{cases} \quad (3)$$

## III Non-nilpotent Case

Let  $N = \text{rad}(R)$ ,  $\bar{R} = R/N$ . Since  $R \supseteq N = \text{rad}(R) \supseteq pR$ , we have  $p^{k-2} = |pR| < |N| \leq p^k$ . Hence  $|N| \in \{p^{k-2}, p^{k-1}, p^k\}$ . If  $|N| = p^k$ , then  $R = N$  is nilpotent. We first consider the other two cases in which  $R$  is non-nilpotent.

I.  $|N| = p^{k-2}$  case.  $N = pR$  and semi-simple  $\bar{R} \cong F_p$ , or  $F_p \oplus F_p$ , where  $F_q$  denote a field with  $q$  elements. It is easy to prove that  $\bar{R} \not\cong F_p^2$ , thus,  $F_p \oplus F_p \cong \bar{R} = (\bar{u}) \oplus (\bar{v})$ , where  $\bar{u}\bar{v} = \bar{v}\bar{u} = 0$ ,  $\bar{u}^2 = \bar{u} = u + N$ ,  $\bar{v}^2 = \bar{v} = v + N$ ,  $v \in E(p)$ ,  $u \in E(p^{k-1})$ ,  $(pu) = pR = N$ ,

$R = (u) + (v)$ . Now (2) is

$$\begin{cases} u^2 = (1 + \beta p)u, & uv = \sigma_{12}p^{k-2}u, \\ vu = \sigma_{21}p^{k-2}u, & v^2 = \sigma_{22}p^{k-2}u + v \end{cases} \quad (\beta \in P_{k-2}, \sigma_{ij} \in P) \quad (2')$$

It follows from (3) that  $\sigma_{12} = \sigma_{21} = -\sigma_{22}$ . Let

$$a = 1 - \beta p + (\beta p)^2 - \dots + (-1)^{k-2}(\beta p)^{k-2}, \quad (4)$$

then  $a(1 + \beta p) = 1 + (\beta p)^{k-1} \equiv 1 (p^{k-1})$ . Under the transformation:  $u' = au$ ,  $v' = -\sigma_{12}p^{k-2}u + v$ , (2') becomes:  $(u')^2 = u'$ ,  $u'v' = v'u' = 0$ ,  $(v')^2 = v'$ , and so  $R = (u') \oplus (v') \cong A \oplus F_p$ .

II.  $|N| = p^{k-1}$  case.  $R \supseteq N \supseteq pR$ ,  $\bar{R} \cong F_p$ . There are two possibilities:

1°.  $N \cap E(p^{k-1}) \neq \phi$ . Thus  $N = (u)$ ,  $u \in E(p^{k-1})$ ,  $R = (u) + (v)$ ,  $v \in E(p) - (u)$ ,  $\bar{R} = R/(u) = (\bar{v}) \cong F_p$ ,  $\bar{v}^2 = \bar{v} = v + N = v + (u)$ . Now (2) becomes

$$\begin{cases} u^2 = p^1 u, & 1 \in \{1, 2, \dots, k-1\}, & uv = \sigma_{12}p^{k-2}u, \\ vu = \sigma_{21}p^{k-2}u, & & v^2 = \sigma_{22}p^{k-2}u + v. \end{cases} \quad (\sigma_{ij} \in p) \quad (2'')$$

It follows from (3) that  $\sigma_{12} = \sigma_{21} = 0$ . We may assume  $\sigma_{22} = 0$ , by replacing  $v$  with  $v + \sigma_{22}p^{k-2}u$  if necessary. Now (2'') is

$u^2 = p^1 u$ ,  $1 \in \{1, 2, \dots, k-1\}$ ,  $uv = vu = 0$ ,  $v^2 = v$ , we get  $k-1$  new representatives:  $R = (u) \oplus (v) \cong (Zp^1 / Zp^{k-1+1}) \oplus F_p$ ,  $1 = 1, 2, \dots, k-1$ .

2°.  $N \cap E(p^{k-1}) = \phi$ . Now  $N \supseteq pR = (pu)$ ,  $u \in E(p^{k-1})$ . Hence there exists  $v \in E(p)$  such that  $N = (pu) + (v)$ ,  $R = (u) + (v)$ ,  $\bar{R} = (\bar{u}) \cong F_p$ ,  $\bar{u}^2 = \bar{u} = u + N$ . Since  $v$  is nilpotent, we have  $\tau_{22} = 0$  in (2), and  $u^2 = \tau_{11}v + (1 + \beta p)u$ . Now (3) is

$$\begin{cases} \tau_{11}(\sigma_{12} - \sigma_{21}) \equiv 0 \equiv \tau_{11}(\tau_{12} - \tau_{21})(p), & \sigma_{22}(\tau_{12} - 1) \equiv 0 \equiv \sigma_{22}(\tau_{21} - 1)(p), \\ \tau_{12}(\tau_{12} - 1) \equiv 0 \equiv \tau_{21}(\tau_{21} - 1)(p), & \sigma_{12}(\tau_{21} - 1) \equiv \sigma_{21}(\tau_{12} - 1)(p), \\ \sigma_{12}\tau_{12} \equiv \tau_{11}\sigma_{22} \equiv \sigma_{21}\tau_{21}(p). \end{cases} \quad (5)$$

1) If  $\tau_{11} = 0$ , then (5) becomes

$$\begin{cases} \sigma_{12}\tau_{12} \equiv 0 \equiv \sigma_{21}\tau_{21}(p), & \tau_{12}(\tau_{12} - 1) \equiv 0 \equiv \tau_{21}(\tau_{21} - 1)(p), \\ \sigma_{12}(\tau_{21} - 1) \equiv \sigma_{21}(\tau_{12} - 1)(p), & \sigma_{22}(\tau_{12} - 1) \equiv 0 \equiv \sigma_{22}(\tau_{21} - 1)(p). \end{cases} \quad (5')$$

①. When  $\sigma_{22} = 0$ , i.e.,  $v^2 = 0$ , our discussion is divided into 4 cases:

(i)  $\tau_{12} \neq 0 \neq \tau_{21}$  case, we have  $\sigma_{12} = \sigma_{21} = 0$ ,  $\tau_{12} = \tau_{21} = 1$ , we may assume  $\beta = 0$  by taking  $a$  as (4), and replacing  $u$  by  $au$ . Thus (2) becomes  $u^2 = u$ ,  $v^2 = 0$ ,  $uv = v = vu$ , and  $R \cong A[\theta]$ ,  $\theta^2 = 0$ .

(ii)  $\tau_{12} \neq 0 = \tau_{21}$  case, we have  $\sigma_{12} = 0$ ,  $\tau_{12} = 1$ , and  $\sigma_{22} = 0$ , i.e.,  $v^2 = 0$ . Taking  $a$  as (4), and using the transformation:  $u' = au$ ,  $v' = v - \sigma_{21}p^{k-2}u$ , we reduce relation (2) to  $(u')^2 = u'$ ,  $u'v' = v'$ ,  $v'u' = 0 = (v')^2$ . Then the right regular representation gives

$$R \cong \left\{ \begin{pmatrix} a & \beta \\ 0 & 0 \end{pmatrix} \mid a \in A, \beta \in B \right\}.$$

(iii)  $\tau_{12} = 0 \neq \tau_{21}$  case is similar as (ii), we may reduce (2) to be  $(u')^2 = u'$ ,  $v'u' = v'$ ,  $u'v' = (v')^2 = 0$ , and the left regular representation gives:  $R \cong \left\{ \begin{pmatrix} a & 0 \\ \beta & 0 \end{pmatrix} \mid a \in A, \right.$

$\beta \in B$ }, which is anti-isomorphic to (ii).

(iv)  $\tau_{12} = 0 = \tau_{21}$  case, we have  $\sigma_{22} = 0, \sigma_{12} = \sigma_{21}$ . Taking  $a$  as (4), and using the transformation:  $u' = au, v' = v - \sigma_{12}p^{k-2}u$ , we reduce relation (2) to  $(u')^2 = u', u'v' = v'u' = (v')^2 = 0$ , and  $R = (u') \oplus (v') \cong A \oplus N_p$ .

②. When  $\sigma_{22} \neq 0$ , i.e.,  $v^2 \neq 0$ , we get  $\tau_{12} = \tau_{21} = 1, \sigma_{12} = \sigma_{21} = 0$  from (5'). We take  $a$  as (4). When  $p = 2$  we have  $\sigma_{22} = 1$ . When  $p > 2$ , we may take  $\delta \in p$  such that  $\delta^2 \sigma_{22} = a$  or  $\varepsilon a (p)$ , and so  $\delta^2 \sigma_{22} = 1$  or  $\varepsilon (p)$  according to  $\sigma_{22}$  is a square residue mod  $p$  or not. Under the transformation:  $u' = au, v' = \delta v$ , relation (2) becomes:  $(u')^2 = u', u'v' = v'u' = v', (v')^2 = p^{k-2}u$  or  $\varepsilon p^{k-2}u$ , then  $R \cong A[\theta], \theta^2 = p^{k-2}1$ , or  $\theta^2 = \varepsilon p^{k-2}1$  (if  $p > 2$ ).

2) If  $\tau_{11} \neq 0$ , then we get  $\sigma_{12} = \sigma_{21}, \tau_{12} = \tau_{21}$  from (5). If we take  $a$  as (4), and use the transformation:  $u' = au + \tau_{11}v, v' = v$  when  $\sigma_{22} = 0$ , or  $u' = au - \tau_{11}v, v' = v$  when  $\sigma_{22} \neq 0$ , then both cases are reduced to 1).

Summarizing, we obtain Theorem 1 mentioned in (I). The list of representatives is as follows:

$N = \text{rad}(R)$		$\overline{R} = R/N$	Num	representatives	Multiplication table
$ N $	$N$				
$p^{k-2}$	$(pu)$	$F_p \oplus F_p$	1	$A \oplus F_p$	$u^2 = u, uv = 0 = vu, v^2 = v$
$p^{k-1}$	$(u)$	$F_p$	$k-1$	$(Zp^l/Zp^{k-1+l}) \oplus F_p$ $l = 1, 2, \dots, k-1$	$u^2 = p^l u, uz = 0 = vu, v^2 = v$
	$(pu) + (v)$	$F_p$	1	$A[\theta], \theta^2 = 0$	$u^2 = u, uv = v = vu, v^2 = 0$
			1	$A \oplus N_p$	$u^2 = u, uv = vu = v^2 = 0$
			1	$\left\{ \begin{pmatrix} a & \beta \\ 0 & 0 \end{pmatrix} \mid a \in A, \beta \in B \right\}$	$u^2 = u, uv = v, vu = v^2 = 0$
			1	$\left\{ \begin{pmatrix} a & 0 \\ \beta & 0 \end{pmatrix} \mid a \in A, \beta \in B \right\}$	$u^2 = u, vu = v, uv = v^2 = 0$
			1	$A[\theta], \theta^2 = p^{k-2}1$	$u^2 = u, uv = v = vu, v^2 = p^{k-2}u$
			1	$A[\theta], \theta^2 = \varepsilon p^{k-2}1$	$u^2 = u, uv = v = vu,$ $v^2 = \varepsilon p^{k-2}u (p > 2)$
Total			$k+6$ $k+5$	when $p > 2$ when $p = 2$	

#### IV Nilpotent Case

In an analogous manner to (III) we get theorem 2 mentioned in (I), The following is a list of the representatives

multiplication table	representatives	number
$u^2 = p^l u + v, uv = vu = v^2 = 0$ $l = 1, 2, \dots, k-2$	$N_l \cong \left\{ \left( \begin{array}{ccc} 0 & 0 & 0 \\ a & p^l a & 0 \\ \beta & a & 0 \end{array} \right) \mid a \in A, \beta \in B \right\}$	$k-2$
$u^2 = p^l u + \tau v, v^2 = 0, uv = vu = p^{k-2} u$ $l = 1, 2, \dots, k-3, \tau = 1, 2, \dots, p-1;$	$N_l(\tau) \cong \left\{ \left( \begin{array}{ccc} p^l a + p^{k-2} \beta & p^{k-2} a & \\ \tau a & 0 & \end{array} \right) \mid a \in A, \beta \in B \right\}$	$(p-1)(k-3)$
$u^2 = v, v^2 = 0, uv = vu = p^{k+2} u$	$N_{k-1}(1) \cong \left\{ \left( \begin{array}{ccc} p^{k-2} \beta & p^{k-2} a & \\ a & 0 & \end{array} \right) \mid a \in A, \beta \in B \right\}$	$1$
$u^2 = \varepsilon v, v^2 = 0, uv = vu = p^{k+2} u$ $(p > 2)$	$N_{k-1}(\varepsilon) \cong \left\{ \left( \begin{array}{ccc} p^{k-2} \beta & p^{k-2} a & \\ \varepsilon a & 0 & \end{array} \right) \mid a \in A, \beta \in B \right\}$	$1$
$u^2 = p^l u, uv = vu = v^2 = 0,$ $l = 1, 2, \dots, k-1$	$(\mathbb{Z}p^l / \mathbb{Z}p^{k-1+l}) \oplus N_p$	$k-1$
$u^2 = p^l u, v^2 = 0,$ $-vu = uv = p^{k-2} u,$ $l = 1, 2, \dots, k-1$	$N'_l \cong \left\{ \left( \begin{array}{ccc} 0 & 0 & 0 \\ a & p^l a - p^{k-2} \beta & p^{k-2} a \\ \beta & 0 & 0 \end{array} \right) \mid a \in A, \beta \in B \right\}$	$k-1$
$u^2 = p^l u, vu = v^2 = 0,$ $uv = p^{k-2} u$ $l = 1, 2, \dots, k-2$	$N''_l = \left\{ \left( \begin{array}{ccc} 0 & 0 & 0 \\ a & p^l a & p^{k-2} a \\ \beta & 0 & 0 \end{array} \right) \mid a \in A, \beta \in B \right\}$	$k-2$
$u^2 = p^l u, v^2 = 0, uv = \sigma vu =$ $\sigma p^{k-2} u, l = 1, 2, \dots, k-2;$ $\sigma = 0, 1, \dots, p-2$	$N'_l(\sigma) = \left\{ \left( \begin{array}{ccc} 0 & 0 & 0 \\ a & p^l a + p^{k-2} \beta & p^{k-2} a \\ \beta & 0 & 0 \end{array} \right) \mid a \in A, \beta \in B \right\}$	$(p-1)(k-2)$
$u^2 = vu = 0, \sigma v^2 = uv = \sigma p^{k-2} u,$ $\sigma = 0, 1.$	$\overline{N}_{k-1}(\sigma) = \left\{ \left( \begin{array}{ccc} 0 & 0 & 0 \\ a & 0 & p^{k-2}(\sigma a + \beta) \\ \beta & 0 & 0 \end{array} \right) \mid a \in A, \beta \in B \right\}$	$2$
$u^2 = p^l u, vu = 0, \sigma v^2 = uv =$ $\sigma p^{k-2} u, l = 1, 2, \dots, k-2;$ $\sigma = 0, 1, \dots, (p-1)/2.$	$\overline{N}_l(\sigma) = \left\{ \left( \begin{array}{ccc} 0 & 0 & 0 \\ a & p^l a & p^{k-2}(\sigma a + \beta) \\ \beta & 0 & 0 \end{array} \right) \mid a \in A, \beta \in B \right\}$	$(p+1)(k-2)$ if $p > 2,$
$u^2 = p^l u, vu = 0, uv = \sigma p^{k-2} u,$ $v^2 = \varepsilon p^{k-2} u, l = 1, 2, \dots, k-2;$ $\sigma = 0, 1, \dots, (p-1)/2.$	$N'_l(\sigma) = \left\{ \left( \begin{array}{ccc} 0 & 0 & 0 \\ a & p^l a & p^{k-2}(\sigma a + \varepsilon \beta) \\ \beta & 0 & 0 \end{array} \right) \mid a \in A, \beta \in B \right\}$	$2(k-2)$ if $p = 2$
<b>Total</b>	$p > 2 \quad (p+1)(3k-7) + 8$	
	$p = 2 \quad 4(2k-3)$	

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## 加群 $(P^{k-1}, P)$ 型的 $p^k$ ( $k > 3$ ) 阶结合环的同构分类

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### 摘 要

本文给出加群  $(p^{k-1}, p)$  型的  $p^k$  ( $k > 3$ ) 阶结合环的同构分类, 类数如下表:

	非 幂 零 环	幂 零 环	合 计
$p > 2$	$k + 6$ (个)	$(p + 1)(3k - 7) + 8$ (个)	$(3k - 7)p + (4k + 7)$ (个)
$p = 2$	$k + 5$ (个)	$4(2k - 3)$ (个)	$9k - 7$ (个)

并按幂零和非幂零分别列表举出一个全体代表团.