

Infimum of the Spectrum of Laplace-Beltrami Operator on Classical Bounded Symmetric Domains with Bergman Metric

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Abstract In this paper, we estimate the infimum of the spectrum of the Laplace-Beltrami operator with Kähler metric on the classical bounded symmetric domains. We will give an explicit range for the infimum of the spectrum of the Laplace-Beltrami operator on the second type classical bounded symmetric domains. In particular, for those domains with rank 1, we obtain an explicit formula, which agrees with a previously known result.

Keywords Laplace-Beltrami operator; classical bounded symmetric domains; Kähler metric

MR(2010) Subject Classification 32Q15; 47F05

1. Introduction

Let (M, g) be a Kähler manifold of complex dimension n with Kähler metric

$$g = \sum_{i,j=1}^n g_{i\bar{j}} dz_i \otimes d\bar{z}_j.$$

The Laplace-Beltrami operator with respect to the Kähler metric g is defined by

$$\Delta_g = -4 \sum_{i,j=1}^n g^{i\bar{j}} \frac{\partial^2}{\partial z_i \partial \bar{z}_j}, \quad (1.1)$$

where $[g^{i\bar{j}}]^t = [g_{i\bar{j}}]^{-1}$. Let

$$\lambda_1(\Delta_g) = \inf \left\{ 4 \int_M g^{i\bar{j}} \frac{\partial h}{\partial z_i} \frac{\partial h}{\partial \bar{z}_j} dV_g : h \in C_0^\infty(M), \int_M |h|^2 dV_g = 1 \right\}. \quad (1.2)$$

Here dV_g is the volume measure of M with respect to the Kähler metric g .

When (M, g) is compact and Δ_g is uniformly elliptic, $\lambda_1(\Delta_g)$ is the first eigenvalue of Δ_g . When (M, g) is a complete noncompact manifold, $\lambda_1(\Delta_g)$ is not an eigenvalue of Δ_g . However,

Received July 19, 2017; Accepted September 10, 2018

Supported by the Natural Science Foundation of Guangxi Province (Grant Nos. 2015GXNSFBA139019; 2016GXNSFDA380031), Guangxi Key Laboratory of Cryptography and Information Security (Grant No. GCIS201612), National Natural Science Foundation of China (Grant No. 11662001), Research Foundation of Fujian Province for Young and Middle-aged Teachers (Grant No. JAT170467) and the Research Foundation of Minjiang University for the Introduction of Talents (Grant No. MJY1706).

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$\lambda_1(\Delta_g)$ is the infimum of the positive spectrum of Δ_g . Estimation of the spectrum of the Laplace-Beltrami operator on Riemannian and Kähler manifolds have been studied by many authors, including Cheng, Li, Wang and Li, etc. There have been many breakthrough results [1–12]. Since the spectral property of Δ_g on M will reflect the geometric properties of the manifold M , many people have studied the geometric properties of manifolds by estimating $\lambda_1(\Delta_g)$ (see [1–6, 10–12]).

In the complete noncompact case, Li and Wang [5] and Munteanu [8] gave upper bounds for $\lambda_1(\Delta_g)$. Li and Wang [5] proved that if the holomorphic bisectional curvature of a manifold has negative lower bound -1 , then $\lambda_1(\Delta_g) \leq n^2$. Munteanu in [8] proved that $\lambda_1(\Delta_g) \leq n^2$ with the assumption that the Ricci curvature satisfies $R_{i\bar{j}} \geq -(n+1)g_{i\bar{j}}$. Both Li and Wang's and Munteanu's results are sharp.

By estimating the upper bound and lower bound of $\lambda_1(\Delta_g)$, Li and Tran [9] gave the infimum of the spectrum of the Laplace-Beltrami operator with Bergman metric on a bounded pseudoconvex domain.

Let D be a bounded pseudoconvex domain in \mathbb{C}^n and $u(z) \in C^\infty(D)$ be a strictly plurisubharmonic exhaustion function for D . Let $g = \sum_{i,j=1}^n \frac{\partial^2 u}{\partial z_i \partial \bar{z}_j} dz_i \times d\bar{z}_j$ be the Kähler metric induced by u . Let

$$|\partial u|_g^2 = \sum_{i,j=1}^n g^{i\bar{j}} \frac{\partial u}{\partial z_i} \frac{\partial u}{\partial \bar{z}_j} \quad (1.3)$$

and

$$\alpha_g = \sup\{\alpha : \int_D (\det[g_{i\bar{j}}])^\alpha dv < \infty\}. \quad (1.4)$$

Theorem 1.1 ([9]) *Let u be a strictly plurisubharmonic exhaustion function for D and g be the Kähler metric induced by u . Assuming that $|\partial u|_g^2 \leq \beta$, one has*

- (i) $\lambda_1(\Delta_g) \geq n^2/\beta$;
- (ii) $\lambda_1(\Delta_g) \leq \beta c_D^2 (1 - \alpha_g)^2$, where c_D is constant which is dependent on D .
- (ii) If $\rho = -e^{-u}$ is a strictly plurisubharmonic function for D , then $\lambda_1 = n^2$.

Li and Tran [9] gave many examples of bounded strongly pseudoconvex domains with smooth boundary, where $\lambda_1(\Delta_g)$ can be explicitly formulated. However, for most non-smooth domains, like the classical bounded symmetric domains, the value of $\lambda_1(\Delta_g)$ is not clear. In this paper, we will give a sharp range of $\lambda_1(\Delta_g)$ on the second type classical bounded symmetric domains.

2. Classical bounded symmetric domains

Let $M^{(m,n)}$ be the set of all $m \times n$ matrices with entries in \mathbb{C} . For any $A = [a_{ij}] \in M^{(m,n)}$, let

$$A^* = \overline{A}' = [\overline{a_{ji}}].$$

Let I_m be the $m \times m$ identity matrix. The second type classical bounded symmetric domains can be represented by [13],

$$R_{II} := R_{II}(m) = \{Z \in M^{(m,m)} : Z = Z', I_m - ZZ^* > 0\}. \quad (2.1)$$

Let $A = [a_{ij}]$ be an $m \times m$ matrix. Then we define the $\frac{m(m+1)}{2} \times \frac{m(m+1)}{2}$ matrix $(A \dot{\times} A)_s$ as follows:

$$(A \dot{\times} A)_s = [a_{(jr)(ks)}]_{\frac{m(m+1)}{2} \times \frac{m(m+1)}{2}}, \quad (2.2)$$

$$a_{(jr)(ks)} = p_{jr} p_{ks} (a_{jk} a_{rs} + a_{js} a_{rk}) \quad j \leq r, k \leq s \quad (2.3)$$

where

$$p_{ij} = \begin{cases} \frac{1}{\sqrt{2}}, & i = j; \\ 1, & i \neq j. \end{cases} \quad (2.4)$$

According to Lu [14], one has the following proposition:

Proposition 2.1 *Let A and B be $m \times m$ and $n \times n$ matrices. Then*

$$(A \dot{\times} A)_s (B \dot{\times} B)_s = (AB \dot{\times} AB)_s, \quad [(A \dot{\times} A)_s]' = (A' \dot{\times} A')_s \quad (2.5)$$

and

$$(A \dot{\times} A)_s^{-1} = (A^{-1} \dot{\times} A^{-1})_s, \quad \det(A \dot{\times} A)_s = (\det A)^{m+1}. \quad (2.6)$$

Let $C = [c_{pq}]$ be an $s \times s$ matrix where c_{pq} is a function of z_k . Then

$$\frac{\partial \log \det C}{\partial z_k} = \sum_{p,q=1}^s c^{pq} \frac{\partial c_{pq}}{\partial z_k} \quad (2.7)$$

and

$$\frac{\partial^2 \log \det C}{\partial z_k \partial \bar{z}_\ell} = \sum_{p,q=1}^s c^{pq} \frac{\partial^2 c_{pq}}{\partial z_k \partial \bar{z}_\ell} - \sum_{ij,pq=1}^s c^{iq} c^{pj} \frac{\partial c_{pq}}{\partial z_k} \frac{\partial c_{ij}}{\partial \bar{z}_\ell} \quad (2.8)$$

where

$$\sum_{j=1}^s c^{ij} c_{kj} = \delta_{ik}. \quad (2.9)$$

For $Z \in R_{II}$, we denote

$$Z = \left[\frac{z_{jk}}{\sqrt{2} p_{jk}} \right], \quad p_{ij} = \begin{cases} \frac{1}{\sqrt{2}}, & i = j; \\ 1, & i \neq j. \end{cases} \quad (2.10)$$

Let

$$z = (z_{11}, \dots, z_{1m}, z_{22}, \dots, z_{2m}, \dots, z_{qq}) \in \mathbb{C}^{\frac{m(m+1)}{2}}. \quad (2.11)$$

Then $\|z\|^2 = \text{tr}(ZZ^*)$. Let $K_{II}(Z, Z)$ be the Bergman kernel function of R_{II} , and $K_{II}(Z) := K_{II}(Z, Z)$, and $V(R_{II})$ be the volume of R_{II} . The Bergman kernel function was discovered by Hua [13], the details can be found in [14, Section 3.3], and

$$K_{II}(Z) = \frac{1}{V(R_{II})} \cdot \frac{1}{\det(I - ZZ^*)^{m+1}}. \quad (2.12)$$

We consider the Bergman metric g_{II} which is induced by

$$u_{II}(Z) = \frac{1}{m+1} \log K_{II}(Z), \quad Z \in R_{II}. \quad (2.13)$$

Lu proved in [14] the following:

Proposition 2.2 *The complex Hessian matrix for R_{II} can be stated by*

$$H(u_{II})(Z) = [(I - \overline{ZZ}^*)^{-1} \dot{\times} (I - \overline{ZZ}^*)^{-1}]_s \quad (2.14)$$

and

$$H(u_{II})^{-1}(Z) = [(I - \overline{ZZ}^*) \dot{\times} (I - \overline{ZZ}^*)]_s. \quad (2.15)$$

Proof For $Z = [\frac{z_{ij}}{\sqrt{2}p_{ij}}]_{m \times m} \in R_{II}$, let $w_{ij} = \frac{z_{ij}}{p_{ij}}$. We have

$$\begin{aligned} \frac{\partial(\frac{z_{hs} \bar{z}_{sl}}{p_{hs} p_{sl}})}{\partial z_{j\alpha}} &= \frac{1}{\sqrt{2}p_{j\alpha}} \frac{\partial(w_{hs} \bar{w}_{sl})}{\partial w_{j\alpha}} \\ &= \frac{1}{\sqrt{2}p_{j\alpha}} \left[p_{j\alpha} p_{j\alpha} \sum_s (\delta_{hj} \delta_{s\alpha} \bar{w}_{sl} + \delta_{h\alpha} \delta_{js} \bar{w}_{sl}) \right] \\ &= \frac{1}{\sqrt{2}p_{j\alpha}} \left[p_{j\alpha} p_{j\alpha} (\delta_{hj} \bar{w}_{\alpha l} + \delta_{h\alpha} \bar{w}_{jl}) \right], \\ \frac{\partial^2(\sum_{s=1}^n \frac{z_{hs} \bar{z}_{sl}}{p_{hs} p_{sl}})}{\partial z_{j\alpha} \partial \bar{z}_{k\beta}} &= \frac{p_{j\alpha}}{\sqrt{2}} \frac{\partial}{\partial \bar{z}_{k\beta}} (\delta_{hj} \bar{w}_{\alpha l} + \delta_{h\alpha} \bar{w}_{jl}) \\ &= \frac{p_{j\alpha}}{\sqrt{2}} \frac{1}{\sqrt{2}p_{k\beta}} \frac{\partial}{\partial \bar{w}_{k\beta}} (\delta_{hj} \bar{w}_{\alpha l} + \delta_{h\alpha} \bar{w}_{jl}) \\ &= \frac{p_{j\alpha}}{\sqrt{2}} \frac{1}{\sqrt{2}p_{k\beta}} \left[\delta_{hj} p_{k\beta} p_{k\beta} (\delta_{k\alpha} \delta_{\beta l} + \delta_{k\ell} \delta_{\alpha\beta}) + \right. \\ &\quad \left. \delta_{h\alpha} p_{k\beta} p_{k\beta} (\delta_{jk} \delta_{\beta l} + \delta_{j\beta} \delta_{k\ell}) \right], \end{aligned}$$

by (2.7) and (2.8), one has

$$\begin{aligned} \frac{\partial^2 \log K_{II}}{\partial z_{j\alpha} \partial \bar{z}_{k\beta}} \Big|_{Z=0} &= - (m+1) \frac{\partial^2 \log \det(I_m - ZZ^*)}{\partial z_{j\alpha} \partial \bar{z}_{k\beta}} \Big|_{Z=0} \\ &= (m+1) \sum_{h,\ell=1}^m \left((I_m - Z\bar{Z})^{-1} \right)_{h\ell} \frac{\partial^2(\sum_{s=1}^n \frac{z_{hs} \bar{z}_{sl}}{p_{hs} p_{sl}})}{\partial z_{j\alpha} \partial \bar{z}_{k\beta}} \Big|_{Z=0} \\ &= (m+1) \frac{p_{j\alpha} p_{k\beta}}{2} \sum_{h,\ell=1}^m \delta_{h\ell} \left[\delta_{hj} (\delta_{k\alpha} \delta_{\beta l} + \delta_{k\ell} \delta_{\alpha\beta}) + \right. \\ &\quad \left. \delta_{h\alpha} (\delta_{jk} \delta_{\beta l} + \delta_{j\beta} \delta_{k\ell}) \right] \\ &= (m+1) p_{j\alpha} p_{k\beta} (\delta_{jk} \delta_{\alpha\beta} + \delta_{k\alpha} \delta_{j\beta}). \end{aligned}$$

Thus,

$$H(u_{II})(0) = \left[\frac{\partial^2 u_{II}}{\partial z_{j\alpha} \partial \bar{z}_{k\beta}} \right] \Big|_{Z=0} = [I_m \dot{\times} I_m]_s.$$

(2.14) can be proved by reference to the proof of (3.3.33) in [14, P116-125]. We omit the details here. By (2.14) and Proposition 2.1, (2.15) follows. \square

Proposition 2.3 *With the notations above, one has*

$$\det H(u_{II})(Z) = \frac{1}{\det(I - ZZ^*)^{m+1}}. \quad (2.16)$$

Proof By (2.6) and (2.14), we have

$$\begin{aligned}\det H(u_{II})(z) &= \det[(I - \overline{ZZ}^*)^{-1} \dot{\times} (I - \overline{ZZ}^*)^{-1}]_s \\ &= \det(I - \overline{ZZ}^*)^{-(m+1)} = \det(I - ZZ^*)^{-(m+1)}. \quad \square\end{aligned}$$

3. Main results

Let

$$\frac{\partial}{\partial z} = \left(\frac{\partial}{\partial z_1}, \dots, \frac{\partial}{\partial z_n} \right). \quad (3.1)$$

Since

$$\left(\frac{\partial u}{\partial z} \right)' \frac{\partial u}{\partial \bar{z}} = \begin{pmatrix} \frac{\partial u}{\partial z_1} \frac{\partial u}{\partial \bar{z}_1} & \cdots & \frac{\partial u}{\partial z_1} \frac{\partial u}{\partial \bar{z}_n} \\ \vdots & & \vdots \\ \frac{\partial u}{\partial z_n} \frac{\partial u}{\partial \bar{z}_1} & \cdots & \frac{\partial u}{\partial z_n} \frac{\partial u}{\partial \bar{z}_n} \end{pmatrix}, \quad (3.2)$$

we have

$$|\partial u|_g^2 = \sum_{i,j=1}^n g^{i\bar{j}} \frac{\partial u}{\partial z_i} \frac{\partial u}{\partial \bar{z}_j} = \text{tr} \left[[g^{i\bar{j}}] \left(\frac{\partial u}{\partial z} \right)' \frac{\partial u}{\partial \bar{z}} \right]. \quad (3.3)$$

Proposition 3.1 On R_{II} , one has the following estimate:

$$|\partial u_{II}|_{g_{II}}^2 \leq m. \quad (3.4)$$

Proof For $Z = [\frac{z_{jk}}{\sqrt{2p_{jk}}}] \in R_{II}$, since $z_{jk} = z_{kj}$ and

$$\begin{aligned}\text{tr}\{[(A \dot{\times} A)_s] \frac{\partial u}{\partial z'} \frac{\partial u}{\partial \bar{z}}\} &= \sum_{j,k=1}^m \sum_{j \leq r, k \leq s} a_{(jr)(ks)} \frac{\partial u}{\partial z_{jr}} \frac{\partial u}{\partial \bar{z}_{ks}} \\ &= \sum_{j,k=1}^m \sum_{j \leq r, k \leq s} (a_{jk}a_{rs} + a_{js}a_{rk}) p_{jr} p_{ks} \frac{\partial u}{\partial z_{jr}} \frac{\partial u}{\partial \bar{z}_{ks}} \\ &= \frac{1}{2} \sum_{j,k=1}^m \sum_{j \leq r, k \leq s} 2(a_{jk}a_{rs} + a_{js}a_{rk}) p_{jr} p_{ks} \frac{\partial u}{\partial z_{jr}} \frac{\partial u}{\partial \bar{z}_{ks}} \\ &= \frac{1}{2} \sum_{j,k=1}^m \sum_{k \leq s} \left[\left(\sum_{j < r} a_{jk}a_{rs} \frac{\partial u}{\partial z_{jr}} + \sum_{j > r} a_{rs}a_{jk} \frac{\partial u}{\partial z_{jr}} + \sqrt{2} \sum_{j=r} a_{jk}a_{rs} \frac{\partial u}{\partial z_{jr}} \right) + \right. \\ &\quad \left. \left(\sum_{j > r} a_{rk}a_{js} \frac{\partial u}{\partial z_{jr}} + \sum_{j < r} a_{js}a_{rk} \frac{\partial u}{\partial z_{jr}} + \sqrt{2} \sum_{j=r} a_{js}a_{rk} \frac{\partial u}{\partial z_{jr}} \right) \right] p_{ks} \frac{\partial u}{\partial \bar{z}_{ks}} \\ &= \frac{1}{2} \sum_{j,k,r=1}^m \left(\sum_{k < s} a_{jk}a_{rs} \frac{\partial u}{\partial \bar{z}_{ks}} + \sum_{k > s} a_{rk}a_{js} \frac{\partial u}{\partial \bar{z}_{ks}} + \sqrt{2} \sum_{k=s} a_{jk}a_{rs} \frac{\partial u}{\partial \bar{z}_{ks}} \right) \frac{1}{p_{jr}} \frac{\partial u}{\partial z_{jr}} \\ &= \sum_{j,r=1}^m \sum_{k,s=1}^m a_{jk}a_{rs} \frac{1}{2p_{jr}p_{ks}} \frac{\partial u}{\partial z_{jr}} \frac{\partial u}{\partial \bar{z}_{ks}}, \quad (3.5)\end{aligned}$$

by (3.2) and (3.5), one has

$$\begin{aligned}
|\partial u_{II}|_{g_{II}}^2 &= \sum_{i,j=1}^m \sum_{k \geq i, \ell \geq j} u_{II}^{ik,j\ell} \frac{\partial u_{II}}{\partial z_{ik}} \frac{\partial u_{II}}{\partial \bar{z}_{j\ell}} \\
&= \text{tr}\{[(I - \overline{ZZ}^*) \dot{\times} (I - \overline{ZZ}^*)]_s \frac{\partial u_{II}}{\partial z'} \frac{\partial u_{II}}{\partial \bar{z}}\} \\
&= \sum_{i,j=1}^m \sum_{k,\ell=1}^m (I - ZZ^*)_{(ij)} (I - ZZ^*)_{(k\ell)} \frac{1}{2p_{ik}p_{j\ell}} \frac{\partial u_{II}}{\partial z_{ik}} \frac{\partial u_{II}}{\partial \bar{z}_{j\ell}}. \tag{3.6}
\end{aligned}$$

Let $D[\lambda_1, \dots, \lambda_m]$ be $m \times m$ diagonal matrix with all diagonal entries being $\lambda_1, \dots, \lambda_m$. For $Z \in R_{II}$, since ZZ^* is the Hermite matrix, there exists $m \times m$ unitary matrix U such that

$$UZZ^*U^* = D[\lambda_1, \dots, \lambda_m] \tag{3.7}$$

and $\lambda_j \in [0, 1)$, $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_m \geq 0$. Without loss of generality, we assume that $ZZ^* = D[\lambda_1, \dots, \lambda_m]$, otherwise we let $Z = UZU'$. Then

$$(I_m - ZZ^*)^{-1} = D\left[\sum_{k=0}^{\infty} \lambda_1^k, \dots, \sum_{k=0}^{\infty} \lambda_m^k\right]. \tag{3.8}$$

When $i = k$,

$$\begin{aligned}
\frac{\partial u_{II}}{\partial z_{ik}} &= - \frac{\partial \log \det(I - ZZ^*)}{\partial z_{ik}} \\
&= - \sum_{s,t=1}^m ((I_m - ZZ^*)^{-1})_{st} \frac{\partial}{\partial z_{ik}} \left(\delta_{st} - \sum_{\ell=1}^m \frac{z_{s\ell}}{\sqrt{2}p_{s\ell}} \frac{\bar{z}_{t\ell}}{\sqrt{2}p_{t\ell}} \right) \\
&= - \frac{1}{\sqrt{2}p_{ik}} \sum_{s,t=1}^m ((I_m - ZZ^*)^{-1})_{st} \left(- \sum_{\ell=1}^m \delta_{is}\delta_{k\ell} \frac{\bar{z}_{t\ell}}{\sqrt{2}p_{t\ell}} \right) \\
&= \frac{1}{\sqrt{2}p_{ik}} \frac{\bar{z}_{ik}}{\sqrt{2}p_{ik}} \frac{1}{1 - \lambda_i}. \tag{3.9}
\end{aligned}$$

When $i < k$,

$$\begin{aligned}
\frac{\partial u_{II}}{\partial z_{ik}} &= - \frac{\partial \log \det(I - ZZ^*)}{\partial z_{ik}} \\
&= \frac{1}{\sqrt{2}p_{ik}} \sum_{s,t=1}^m ((I_m - ZZ^*)^{-1})_{st} \sum_{\ell=1}^m \left(\delta_{is}\delta_{k\ell} \frac{\bar{z}_{t\ell}}{\sqrt{2}p_{t\ell}} + \delta_{i\ell}\delta_{ks} \frac{\bar{z}_{t\ell}}{\sqrt{2}p_{t\ell}} \right) \\
&= \frac{1}{\sqrt{2}p_{ik}} \left(\frac{\bar{z}_{ik}}{\sqrt{2}p_{ik}} + \frac{\bar{z}_{ki}}{\sqrt{2}p_{ki}} \right). \tag{3.10}
\end{aligned}$$

Thus,

$$\frac{\partial u_{II}}{\partial z_{ik}} = \frac{p_{ik}}{\sqrt{2}} \frac{\bar{z}_{ik}}{\sqrt{2}p_{ik}} \left(\frac{1}{1 - \lambda_i} + \frac{1}{1 - \lambda_k} \right). \tag{3.11}$$

Similarly,

$$\frac{\partial u_{II}}{\partial \bar{z}_{j\ell}} = \frac{p_{j\ell}}{\sqrt{2}} \frac{z_{j\ell}}{\sqrt{2}p_{j\ell}} \left(\frac{1}{1 - \lambda_j} + \frac{1}{1 - \lambda_\ell} \right). \tag{3.12}$$

Therefore,

$$\begin{aligned}
|\partial u_{II}|_{g_{II}}^2 &= \sum_{i,j=1}^m \sum_{k \geq i, \ell \geq j} u_{II}^{ik,j\ell} \frac{\partial u_{II}}{\partial z_{ik}} \frac{\partial u_{II}}{\partial \bar{z}_{j\ell}} \\
&= \frac{1}{4} \sum_{i=1}^m \sum_{k=1}^m (1 - \lambda_i)(1 - \lambda_k) \left(\frac{1}{1 - \lambda_i} + \frac{1}{1 - \lambda_k} \right)^2 \left| \frac{z_{ik}}{\sqrt{2p_{ik}}} \right|^2 \\
&= \frac{1}{2} \sum_{i,k=1}^m \left(\frac{1 - \lambda_k}{1 - \lambda_i} + 1 \right) \left| \frac{z_{ik}}{\sqrt{2p_{ik}}} \right|^2 \leq m.
\end{aligned} \tag{3.13}$$

For the convenience of reader, we state the following proposition [13, Theorem 2.2.1]:

Proposition 3.2 ([13]) *With the notations above, one has,*

$$J_m(\lambda) := \int_{R_{II}} \det(I - ZZ^*)^\lambda dZ < +\infty \iff \lambda > -1. \tag{3.14}$$

Finally, we will be able to prove the following main theorem of this article.

Theorem 3.3 *Let $\Delta_{g_{II}}$ be the Laplace-Beltrami operator with respect to g_{II} , and $\lambda_1(\Delta_{g_{II}})$ be the infimum of the spectrum of the Laplace-Beltrami operator on R_{II} with Bergman metric g_{II} . Then*

$$\lambda_1(\Delta_{II}) \in \left[\frac{m(m+1)^2}{4}, m^3 \right]. \tag{3.15}$$

Proof By statement (i) of Theorem 1.1 ([9, Proposition 2.1]) and Proposition 3.1, one has

$$\lambda_1(\Delta_{II}) \geq \frac{\left(\frac{m(m+1)}{2}\right)^2}{m} = \frac{m(m+1)^2}{4}.$$

For $f(Z) = e^{-\tau u_{II}(z)}$, by Proposition 2.3, one has

$$\begin{aligned}
\int_{R_{II}} |f(Z)|^2 dV_{u_{II}}(Z) &= \int_{R_{II}} K_{II}(Z, Z)^{\frac{-2\tau}{c_{II}}} dV_{u_{II}}(Z) \\
&= C_{II} \int_{R_{II}} K_{II}(Z, Z)^{(1-2\frac{\tau}{c_{II}})} dv(z),
\end{aligned} \tag{3.16}$$

where $dV_{u_{II}} = \det[H(u_{II})]dv$, $c_{II} = m + 1$ and C_{II} is a constant which depends on R_{II} .

By Faraut and Koranyi [15] and Proposition 3.2,

$$\int_{R_{II}} K_{II}(Z, Z)^\alpha dv \begin{cases} = +\infty & \alpha \geq \alpha_{II} =: \frac{1}{m+n}, \\ < +\infty & \alpha < \alpha_{II} = \frac{1}{m+n}. \end{cases} \tag{3.17}$$

Now choose τ such that

$$1 - 2\frac{\tau}{c_{II}} < \alpha_{II} \iff \tau > \frac{1}{2}c_{II}(1 - \alpha_{II}). \tag{3.18}$$

Applying the argument of the proof of [9, Theorem 2.2] and Proposition 3.1, one has

$$\begin{aligned}\lambda_1(\Delta_{g_{II}}) &\leq 4 \frac{\int_{R_{II}} \sum u_{II}^{ik,j\ell} \frac{\partial f}{\partial z_{ik}} \frac{\partial f}{\partial \bar{z}_{j\ell}} dV_{u_{II}}}{\int_{R_{II}} |f|^2 dV_{u_{II}}} \\ &= 4\tau^2 \frac{\int_{R_{II}} |f|^2 \sum u_{II}^{ik,j\ell} \frac{\partial u_{II}}{\partial z_{ik}} \frac{\partial u_{II}}{\partial \bar{z}_{j\ell}} dV_{u_{II}}}{\int_{R_{II}} |f|^2 dV_{u_{II}}} \\ &= 4\tau^2 \frac{\int_{R_{II}} |f|^2 |\partial u_{II}|_{g_{II}}^2 dV_{u_{II}}}{\int_{R_{II}} |f|^2 dV_{u_{II}}} \leq 4\tau^2 m.\end{aligned}\quad (3.19)$$

Let $\tau \rightarrow \frac{1}{2}c_{II}(1 - \alpha_{II})$. Then

$$\lambda_1(\Delta_{g_I}) \leq 4m \left[\frac{1}{2}c_{II}(1 - \alpha_{II}) \right]^2 = mc_{II}^2(1 - \alpha_{II})^2. \quad (3.20)$$

Thus,

$$\lambda_1(\Delta_{g_I}) \leq mc_{II}^2(1 - \alpha_{II})^2 = m(m+1)^2 \left(1 - \frac{1}{m+1}\right)^2 = m^3. \quad \square$$

Remark 3.4 In particular, when R_{II} has rank 1, the upper bound and lower bound of $\lambda_1(\Delta_{g_{II}})$ are equal, and $\lambda_1(\Delta_{g_{II}}) = 1$, which agrees with the known result in [9].

Acknowledgements The authors gratefully acknowledge their appreciations to Professor Songying LI for his supervision.

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